

Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
May 2, 2012

Regent Terry L. Mann, Chair, called the regular meeting of the Board of Regents to order at 2:00 p.m., Wednesday, May 2, 2012.

Roll Call: Eric M. Brose, Chuck H. Brown, Martin C. Butler, Virginia G. Fox, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Nathaniel G. Smith, Elizabeth L. Thompson, Brenda L. Wilson

Other Attendees: James Votruba, Katie Herschede, Kate Ritter, Tammy Knochelmann, Tracy Insko, Chris Bowling, Bill Farro, Sara Sidebottom, Lisa Rhine, Gerry St. Amand, Gail Wells, Ken Ramey, Joe Wind, Sue Hodges Moore, Chris Cole, Tim Sofranko, Rick Kolbe, Denise Robinson, Kevin Kirby, Jason Willis, Kathy Stewart, Sarah Aikman, Jeff Waple, Francois Le Roy, Jan Hillard, Don Gorbandt, Russ Kerdolff, Larry Meyer, Vickie Natale, Peggy Dejaco, Alar Lipping, Paul Orscheln, Ken Kline, Pat Moynahan, Josh Myers, Rick Meyers, Larry Blake, Erik Pederson, Lori Southwood, Steve Meier, Jacqueline McNally, Karen Kruer, Diane Hunley, Mary Paula Schuh, Jane Meier, Kristi Haik, Rachel Votruba

Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to approve the minutes of the March 14, 2012 Board of Regents meetings. (**Motion carried**)

Resolutions for Completion of Regent Term:

Chair Terry L. Mann offered a resolution recognizing Regent Martin C. Butler for the completion of his term as Chair of the Presidential Search and Screening Committee.

Chair Terry L. Mann offered a resolution recognizing Regent Dustin R. Robinson for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Eric M. Brose for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Charles E. Hawkins for the completion of his term and dedication to the university.

Chair Terry L. Mann offered a resolution recognizing Regent Chuck H. Brown for the completion of his second term and dedication to the university.

Subcommittee on Presidential Transition Report:

Regent Chuck H. Brown seconded Regent Brenda L. Wilson's motion to approve President Emeritus status for James C. Votruba, effective immediately. **(Motion carried)**

Chair Terry L. Mann offered a resolution recognizing President James C. Votruba for his tenure as President of the university.

Presidential Comments:

Dr. James Votruba noted:

This is a time of celebration. We will award 1,600 degrees this Sunday. We were also named the Healthiest Employer of Greater Cincinnati in the 1,000-5,000 employees category for the second year in a row.

Later in this meeting, the board will be asked to approve the 2012-13 annual operating budget. Even with another significant state budget reduction, this budget invests in priorities important to our ability to sustain institutional momentum. Included in these priorities are more full-time tenure and tenure track faculty, the launch of a new systems engineering program, a significant upgrade in smart classrooms, a nearly \$5 million investment in the University Center renovation to become a new center for student success, deferred maintenance and a 3% non-recurring merit salary increase.

This budget also depends on a 5% tuition increase. The continuing transfer of college cost for the state to the individual student is shortsighted and will weaken the capacity of Americans to achieve the American dream. The Council on Postsecondary Education has done research that suggests tuition increases have done nothing but cover the multiple budget reductions imposed over the past several years. I am recommending this tuition increase because it is essential in order to preserve the quality of our academic experience.

This is my last Board of Regents meeting as President. It has been said that a successful presidency depends on a strong partnership between the board and the president.

I would like to give a special thanks to the departing Regents: Chuck Brown, Chuck Hawkins, Eric Brose and Dustin Robinson for their service to the university.

Today, we welcome three new Regents: Dr. Jacqueline McNally, Associate Professor of Communication Studies; Steve Meier, Associate to the Dean of Students; Erik Pederson, newly elected Student Government Association President.

Today, I would like to thank the devoted university leaders who comprise our Executive Team. Katie Herschede, Executive Assistant to the President/Secretary to the Board of Regents; Gail Wells, Vice President for Academic Affairs and Provost; Ken Ramey, Vice President for Administration and Finance; Gerry St. Amand, Vice President for Advancement; Sue Hodges Moore, Vice President for Planning, Policy and Budget; Lisa Rhine, Interim Vice President for Student Affairs; Joe Wind, Vice President for Government and Community Relations; Sara Sidebottom, Vice President for Legal Affairs and General Counsel. I would also like to thank my Assistant, Tammy Knochelmann.

Each of these individuals has understood that one of their most important functions is to support the president and the board in making informed decisions that move the university forward. They have worked tirelessly to frame issues, prepare board materials and do the due diligence necessary before a matter ever reaches the board for its consideration.

On a final note, the most important function of a governing board is the selection and evaluation of the president. Eighteen months ago, we set in motion what we hoped would be a textbook presidential transition process. As the process draws to a close, I believe it has met that high standard. On behalf of the entire university, thank you for your leadership.

Geoff Mearns and I are working closely to assure a smooth transition. We are speaking regularly and reviewing those matters that will require his attention.

A. Joint Finance and Policy Committee: (Reports given by Secretary, Dennis Repenning)
Regent Attendees: Eric M. Brose, Chuck H. Brown, Charles E. Hawkins, Terry L. Mann, Dennis Repenning, Dustin R. Robinson, Elizabeth L. Thompson, Brenda L. Wilson

Report on Housing:

Lisa Rhine, Interim Vice President for Student Affairs, Peter Trentacoste, Interim Assistant Vice President for Student Affairs and Arnie Slaughter, Interim Director of University Housing along with representatives from MGT America Inc., Joseph Carter and Ray Thompson, provided a presentation on University Housing.

Results of the MGT Feasibility Study conducted in 2008:

- ♦ Indicated that there is no immediate need for expansion of university housing.
- ♦ Identified physical deficiencies in on-campus accommodations and made recommendations for improvement.
- ♦ Provided key targets for improvements in Kentucky, Commonwealth, Norse Halls, and Woodcrest Apts
- ♦ Offered a near-term plan for renovations and improvements to existing housing stock vs. building additional beds

- ♦ Focused on maintaining housing system's financial self-sufficiency

Since the feasibility Study was conducted in 2008, University Housing has invested over 4 million dollars in major and minor improvements. University Housing will continue to monitor demand for on-campus housing, continue to address deferred maintenance in residential housing properties and consider building or purchasing a facility to add 200 beds by 2014 in order to meet projected demand.

Report on 2012-13 Operating Budget and Tuition Rates:

President Votruba, Dr. Sue Hodges Moore, Vice President for Planning, Policy & Budget, and Ken Kline, Senior Budget Director, provided an overview of the 2012-13 annual operating budget, and proposed tuition rates and fees for 2012-13.

The total operating budget for the university is proposed at \$223 million. Projected revenue increases and reallocated funds will be used to fund fixed cost increases, state mandated increases in employer retirement contributions, reductions in state appropriations, essential expenditures, and high priority investments.

Tuition rates are proposed at a 5% increase in undergraduate resident tuition in accordance with the Council on Postsecondary Education's tuition caps. Other rates are based on CPE policy and market forces.

Audit Committee Summary: (Report given by Secretary, Dennis Repenning)

On May 1st members of the Audit Committee met with Larry Meyer, Director of the Financial and Operational Audit Office. Vice-President Ramey and President Votruba were also present. This was one of four regularly scheduled meetings between the Internal Auditor and the Committee.

Larry provided a report on his office's activity since our last meeting in January. He summarized the findings and recommendations for seven recently completed audits. He also gave the committee an update on the projects that are currently in process and on track to be completed prior to the end of the fiscal year. The Committee was also informed that next week the external auditor firm Dean Dorton Allen Ford will be on campus to begin preliminary testing for the June 30, 2012 year-end audit.

Finally, Internal Audit is in the process of developing their audit plan for the next fiscal year. Larry provided the committee with an update on the status of that process. Once finalized the audit plan will be submitted to the members of the Audit Committee for comment and approval.

B. Presidential Reports:

1. Facilities Management Report: (Vice President Ken Ramey)

- a. UC Renovation- Student Success Center
- b. Campus Recreation Center Expansion/Renovation
- c. Fine Arts Floor Heaving
- d. Fine Arts 3rd Floor Practice Music Rooms Renovation Project
- e. Fire Alarm Equipment Repair Renovation
- f. Landrum HVAC Upgrades
- g. Steely Library 2nd floor Restroom Renovation Project
- h. Roadway Lighting and Campus Gateways
- i. Johns Hill Road
- j. Landrum 4th Floor Classroom Renovation
- k. Business Academic Center Hydraulic Elevator Jack Replacement
- l. Ceramics Building Roof Replacement
- m. Steely Library Roof Repairs and Replacement
- n. Fine Arts Roof Repairs and Replacement
- o. Sustainability

2. Quarterly Financial Report (Vice President Ken Ramey)

The Quarterly Financial Report depicts the financial activity of the University during the period July 1, 2011 through March 31, 2012, compared to the annual budget and the prior year's activity.

3. Fundraising Report: (Vice President Gerard St. Amand)

The Fundraising Report summarizes fundraising resources committed from July 1, 2011 through March 31, 2012 totaling \$5,076,661 in support of the university.

4. Research/Grants/Contracts Report: (Provost and Vice President Gail Wells)

This report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff during the February – March 2012 time period, 15 grants were awarded. The total amount of money awarded was \$330,450. From July 1, 2011 through March 31, 2012, the cumulative total number of grants awarded is 76 totaling \$5,504,417.

5. Organizational Changes Report: (Vice President Sue Moore)

Under the direction of University Advancement, the Office of Special Events was renamed to the Office of Special Events and Stewardship in the fall 2011. The Office of Special Events was established in 2002 to produce and coordinate a variety of events on and off campus that recognize, thank, congratulate and celebrate special people and moments in the life of NKU. In this way, they help support and cultivate enduring relationships with NKU faculty, staff, students, alumni, the Northern Kentucky community and our donors. The major events on their annual schedule are winter and spring commencement ceremonies and the Lincoln Award ceremony, which recognizes individuals who have had a tremendous and positive influence on our community. Other types of events that the office helps coordinate include

building groundbreaking, dedications, and donor gift recognition events. They also partner with community organizations to produce public interest events such as political debates and forums.

In 2008 a decision was reached by University Advancement to eliminate a newly created position, Director of Donor Relations and Stewardship, to meet FY09 budget reduction targets. At the same time, Advancement and university leadership acknowledged the urgent need to steward a growing number of significant major donors secured from a recently completed comprehensive fundraising campaign. Consequently, recurring salary reserve funds within University Advancement were authorized to be used in support of supplemental pay for staff members in the Office of Special Events. In consideration of this pay, two positions, Director and Coordinator, have assumed responsibilities for planning, implementing, and monitoring the president's and vice president of advancement's stewardship activities for the university's highest level donors.

Given the economic climate and subsequent re-structuring efforts within University Development in support of major gift efforts, it is not expected that a new dedicated stewardship position will be considered in the foreseeable future. Additionally, the current approach has been very successful. As a result, it was determined that the permanent assignment of planning and coordination of presidential stewardship activities go to the Director and Coordinator positions in the office of Special Events and that the department be given the new, more appropriate name of Special Events and Stewardship.

Under the direction of Academic Affairs and the Office of Information Technology, the IT Learning Systems organization unit was renamed IT Infrastructure as of March 1, 2012. Since IT Learning Systems no longer exists within the OIT, this change will facilitate administrative changes and ensure that charges are moved to the appropriate cost center/org unit.

C. Presidential Recommendations:

Consent Agenda Items: Regent Nathaniel G. Smith seconded Regent Martin C. Butler's motion to approve the following Presidential Recommendations as listed: *C-1 through C-8*.
(Motion carried)

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Katherine Kurk, continuing as Interim Chair and Professor in the Department of World Languages and Literatures, effective July 1, 2012 through December 31, 2012; **Verl Pope**, Professor and Chair, Department of Counseling, Social Work, and Leadership, effective July 1, 2012; **Keith Walters**, from Associate Professor in the Department of Chemistry to Chair and Associate Professor in the Department of Chemistry, effective July 1, 2012

b. Faculty Appointments

Matthew Albritton, Assistant Professor in the Department of Visual Arts, effective August 13, 2012; **Matthew Asare**, Assistant Professor in the Department of Kinesiology

and Health, effective August 13, 2012; **David Childs**, Assistant Professor in the Department of Teacher Education, effective August 13, 2012; **James Koschoreck**, Associate Professor in the Department of Counseling, Social Work & Leadership, effective August 13, 2012; **S. Austin Lee**, Assistant Professor in the Communication Department effective August 13, 2012; **Gabriel Sanders**, Assistant Professor in the Department of Kinesiology and Health, effective August 13, 2012; **Kirsten Schwarz**, Assistant Professor in the Department of Biological Sciences, effective August 13, 2012; **Jennifer Sharp**, Assistant Professor in the Department of Counseling, Social Work and Leadership, effective August 13, 2012; **Justin Smith**, Assistant Professor in the Computer Science Department effective August 13, 2012; **Rachele Vogelpohl**, Assistant Professor in the Department of Kinesiology and Health, effective August 13, 2012; **Nicholas Zeman**, Assistant Professor in the Communication Department effective August 13, 2012

c. Leaves Paid/ Unpaid

Robin Bartlett, Associate Professor in the Department of Psychological Science, unpaid leave with benefits during the 2012-2013 academic year; **Kim Dinsey-Read**, Assistant Professor in the Department of Nursing, unpaid leave at 50% with benefits for the 2012-2013 academic year; **Carol Lawrence**, Professor in the Department of Accounting, Finance and Business Law, paid administrative re-entry leave with benefits, for the fall 2012 semester; **Greg Martin**, Associate Professor in the Department of Marketing, Economics and Sports Business, paid administrative re-entry leave with benefits, for the fall 2012 semester

d. Resignation

Helene Harte, from the position of Assistant Professor in the Department of Teacher Education, effective August 1, 2012; **Carol Lawrence**, from the position of Professor and Chair in the Department of Accounting, Finance and Business Law, to the position of Professor in the Department of Accounting, Finance and Business Law, effective June 30, 2012; **Greg Martin**, from the position of Associate Professor and Chair in the Department of Marketing, Economics and Sports Business, to the position of Associate Professor in the Department of Marketing, Economics and Sports Business, effective June 30, 2012; **Rachel Noll**, Assistant Professor in the Department of Teacher Education, effective May 31, 2012; **Paul Tenkotte**, from the position of Professor and Chair in the Department of History and Geography, to the position of Professor in the Department of History and Geography, effective June 30, 2012; **Melanie Walters**, Lecturer in the Department of Nursing, effective June 30, 2012; **Jack Warfield**, Lecturer in the Department of Advanced Nursing (HRSA – NEL Grant Position), effective February 29, 2012

2. Non-Academic Affairs Personnel Actions:

The following categories of non-academic personnel actions which occurred between February 15, 2012 and April 3, 2012 require approval by the Board of Regents: Activations, Reassignments, Reclassifications, Title/Status Changes, Promotions, Transfers, Contract/Temporary to Regular, Departures, Administrative.

3. Academic Affairs First Year Faculty

The following recommendations on continued probation received Board of Regents approval.

Erin D. Strome, Department of Biological Sciences; **Kebede Gemene**, Department of Chemistry; **Whitfield Knox**, Department of Music; **Grant Thomas E. Lambert**, Department of Political Science & Criminal Justice; **Frederick Klaitz**, Sociology, Anthropology, & Philosophy; **Michael Hatton**, Department of Theatre & Dance; **Benjamin E. Baran**, Department of Management; **Carole Cangioni**, Department of Management; **Sandra Spataro**, Department of Management; **Dana Harley**, Department of Counseling, Social Work & Leadership; **Kerry Sebera**, Department of Counseling, Social Work & Leadership; **Tammie Sherry**, Department of Teacher Education; **Julie Miller**, Department of Advanced Nursing Studies; **Lynn Smith**, Department of Nursing; **Samuel Goh**, Business Informatics; **Stephanie Klatzke**, Department of Communication; **Sara Lynn Drabik**, Department of Communication; **Eric Alden**, Department of Law; **Amy Halbrook**, Department of Law; **Jack Harrison**, Department of Law; **David Singleton** Department of Law

4. Academic Affairs Reappointment, Promotion and Tenure (RPT):

The recommendation on promotion received Board of Regents approval.

5. Admissions & Academic Policy Committee Proposal- Awarding Posthumous Degrees

The proposal on the awarding of posthumous degrees received approval by the Board of Regents.

6. Major Gifts Acceptance:

The Board of Regents officially hereby accepted contributions totaling \$836,276.50 received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2012 through March 31, 2012 per the following list.

7. 2012-13 Operating Budget

The Board of Regents approved the following resolution establishing and approving the Fiscal Year 2012-13 Annual Unrestricted Operating Budget for Northern Kentucky University.

8. 2012-13 Tuition Rate Schedule

The Board of Regents endorsed the tuition and mandatory fee (Campus Recreation Building Fee) rates for the 2012-13 academic year at the rates proposed in the Authorized Schedule of Tuition (attached). The President submitted proposed tuition rates for the 2012-13 academic year to the Council on Postsecondary Education (CPE) for approval at the April 20, 2012 CPE meeting. The Board of Regents will be notified of CPE's decision on NKU's proposed rates. CPE has the statutory authority to set tuition rates and mandatory fees for Northern Kentucky University in accordance with KRS 164.020.

D. Executive Session

Regent Nathaniel G. Smith seconded Regent Terry L. Mann's motion to enter into Executive Session pursuant KRS 61.810(1)(F) in order to discuss a personnel issue. **(Motion carried)**

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Regent Dennis Repenning seconded Regent Elizabeth L. Thompson's motion to close Executive Session. **(Motion carried)**. No business other than the personnel issue was discussed.

Regent Eric M. Brose seconded Regent Nathaniel G. Smith's motion to allocate \$500,000 of the reserve funds to Geoffrey Mearns during his first year as President. **(Motion carried)**

At 3:30 p.m., Regent Virginia G. Fox seconded Regent Nathaniel G. Smith's motion to adjourn. **(Motion carried)**

Signature on File
Kate M. Ritter
Senior Administrative Assistant
Office of the President

Signature on File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Dennis Repenning, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on May 2, 2012, and that such matters are still in force and effect.

Signature on File
Dennis Repenning
Secretary of the Board of Regents