



Northern Kentucky University
Board of Regents Materials

January 16, 2019

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AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 15, 2019 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Wilder, KY)
-

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 16, 2019 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

- | | |
|--|--|
| 1. Sabbaticals, Project Grants, Summer Fellowships | (Ott Rowlands, Neal, Schwarz, Yates) |
| 2. Chase College of Law | (Ott Rowlands, Whiteman, Brockman, Duncan) |
| 3. Institute for Health Innovation | (Ott Rowlands, Hardcastle, Noe, Peters) |

Board Lunch
NKU, Student Union 108 – Wednesday, January 16, 2019 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union 104 – Wednesday, January 16, 2019 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 14, 2018, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

- | | |
|--|----------------------|
| 1. Facilities Management Report | (Hales/Southwood) |
| 2. Research/Grants/Contracts Report (September 25, 2018 – November 30, 2018) | (Ott Rowlands) |
| 3. Fundraising Report (July 1, 2018 – November 30, 2018) | (Gentry) |
| 4. Quarterly Financial Report | (Hales/Southwood) |
| 5. Policies Report | (Gates, Ott Rowland) |
| 6. Faculty Development Awards – Project Grants | (Ott Rowlands) |
| 7. Faculty Development Awards – Faculty Summer Fellows | (Ott Rowlands) |

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations
5. *Sabbatical Leaves

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 14, 2019).

**Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 14, 2018**

Regent W. Lee Scheben, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 14, 2018.

Roll Call: Michael Baranowski, David Bauer, Richard Boehne, Normand Desmarais, Hannah Edelen, Ashley Himes, Terry Mann, Dennis Repenning, W. Lee Scheben, Gregory Shumate.
Absent: Andr  Ward.

Other Attendees: Ashish Vaidya, Wendy Peek, Tammy Knochelmann, Joan Gates, Eric Gentry, Daniel Nadler, Sue Ott Rowlands, Kathleen Roberts, Kim Scranage, Mike Hales, Lori Southwood, Diana McGill, Greg Martin, Kevin Kirby, Dale Stephenson, Michael Whiteman, Chris Bowling, Travis Gibbons, Jonathan Erion, Ben Bardgett, Ande Durojaiye, Melissa Gorbandt, Sara Kelley, Samantha Langley, Larry Meyer, Russ Kerdolff, Leah Stewart, Syed Zaidi, Janel Bloch, Chad Ogle, Christian Gamm, Matthew Zacate, Andy Meeks, Trenae Johnson, Anna Wright, Tom Ramstetter, Sam Rosenthiel.

Regent Terry Mann seconded Regent Gregory Shumate’s motion to approve the minutes of the September 12, 2018 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Thank you Chair Scheben and members of the Board.

An update on Success by Design

- During NKU’s 2018 fall convocation, I shared with the campus that design thinking tools and Vijay Govindarajan’s 3-box solution methodology would be utilized in an accelerated timeframe of six months to develop a 3-year strategic framework for NKU.
- Since October 1, 2018, the Strategic Framework Core Team has been collecting input and ideas through TalkShop sessions conducted with students, faculty, staff, and members of the community and the region. To date, we have had almost 1,700 face-to-face engagements with all stakeholders through 77 TalkShop sessions.
- On October 22, we launched our website at nku.edu/SuccessByDesign, which included an online survey format, as well as Whiteboard Wednesdays. This has led to additional engagement, including online responses to questions focused on access, completion and career & community engagement, plus 62 respondents to a closed-ended survey.
- Overall, engagement — both internally and externally — has been incredibly beneficial to this important work and we will continue our external face-to-face discussions through December.

One-time investments

- Through the summer and the start of the fall semester, we witnessed an unanticipated decline in enrollment, largely due to a smaller entering first-year class and a decrease in retention of continuing students.
- This challenge needed immediate attention and action. After consulting with various campus constituents, I authorized a one-time investment of \$1.2 million for marketing, retention and recruitment efforts that will impact both current and new students enrolling in fall 2019.
- Vice Presidents Eric Gentry and Kim Scranage have taken the lead in our marketing efforts.
- Provost Sue Ott Rowlands and Vice President Dan Nadler co-lead the retention efforts.
- Vice President Scranage and Assistant Vice President Leah Stewart are leading our recruitment efforts.
- Key efforts include:
 - Promoting the EDGE program
 - Nov is free application month
 - Expanded micro-grants, advising, and strengthening programming in the Center for Student Inclusiveness
 - Targeted marketing to international students, high demand programs, 12 high schools, and bilingual students
- They are all engaging faculty, chairs, deans, staff and students in this collaborative work to deliver results.

FUEL NKU and Kroger partnership

- On November 8, 2018, NKU, the NKU Foundation and The Kroger Foundation announced a partnership to fight food insecurity on this university's campus.
- The first phase of the partnership will expand FUEL NKU, our food pantry, to more than seven-times its current size—from 300-square-feet to 2,300-square-feet.
- During the 2016-2017 academic year, 650 NKU students visited the pantry and that number has increased every year.
- Construction will begin in the late fall and is expected to be complete by early 2020. We are so grateful for Kroger's commitment to our pantry and their help in making a lasting impact on the lives of our students and their success.

Health Innovation Center Grand Opening

- Northern Kentucky University dedicated the new Health Innovation Center (HIC) on October 17, 2018.
- We welcomed Kentucky Gov. Matt Bevin and St. Elizabeth Healthcare President and CEO Garren Colvin in dedicating the university's newest building.
- This \$105 million project is home to NKU's College of Health Professions and the Institute for Health Innovation. The Kentucky General Assembly allocated \$97 million toward the construction of the HIC, which is the first new academic building constructed on NKU's campus since Griffin Hall opened in October 2011.

St. E Physicians ground breaking at NKU's entrance

- Leaders from NKU, St. Elizabeth Healthcare, OrthoCincy, Fairmount Properties, Campbell County and Highland Heights broke ground on the new St. Elizabeth Physicians medical office building on October 18, 2018.
- This facility, located on the north side of Nunn Drive and US 27, will offer urgent care, primary care and specialty physicians, in addition to OrthoCincy's onsite orthopedic office, physical therapy and other emergent orthopedic services. It is scheduled to open in early 2020.
- The medical office building is Phase I of the Town Center mixed-use project that will transform the gateway to NKU's campus. Later phases will see the development of restaurants, retail space, market-rate apartments, hotel, parking and new public gathering spaces.

OKI award for NKU's town center project

- NKU's Town Center project will receive \$861,704 to improve access to the mixed-use development, thanks to the award from the Ohio-Kentucky-Indiana Regional Council of Governments (OKI).
- The improvements will include a transit super stop, turn lanes on Nunn Drive, upgrade and reconstruction of old sidewalks and a new pedestrian/bicycle path linking NKU's campus to the new development.

Highlights and Accomplishment Updates

- The inaugural Spotlight on Scholarship event was held at the end of October, showcasing the research, scholarship and creative activity of over 30 faculty members.
 - The winner of the Sol award (named for the Norse goddess of enlightenment) was Kirsten Schwarz from Environmental Science for her research and community engagement work focused on strategic depaving on the westside of Newport.
 - The runner-up was Sara Drabik from Electronic and Media Broadcasting for her work on women in coffee.
- The Institute of Health Innovation has been awarded a Rural Communities Opioid Response Program Planning grant for 2018 from the Federal Office of Rural Health Policy, part of the Health Resources and Services Administration.
 - This federal grant supports treatment for and prevention of substance-use disorder in rural counties with the highest risk. Our partners are Owen County Schools, Three Rivers Health District, the Northern Kentucky Area Development District, the Owen County Drug Prevention Coalition, and Northkey Community Care.
 - With this grant, we will seek to identify and close gaps in early intervention and access to treatment and services in Owen County and across the entire Northern Kentucky region.
- For the first time since 2010, the Chase trial team won the Kentucky mock trial competition, beating both UK and Louisville. Congratulations are in order for the team of Stephanie Brockman, Zach Epperson, Christy Hiance, and Sheree Weichold.
- The Haile/US Bank College of Business' Center for Innovation and Entrepreneurship (CIE) was selected as one of the five finalists for the "Outstanding Contribution to Venture

Creation” award at the 2018 Global Consortium of Entrepreneurship Centers’ annual conference in October.

- NKU is among some great company for the 2018 honor – Michigan State, Babson College, The University of Notre Dame and University of Stockholm, Sweden.
- The CIE was selected from more than 100 submissions that were reviewed by peer institutions to recognize the very best in entrepreneurship education.
- More than 800 young women from area high schools attended the 8th annual Young Women LEAD conference hosted by the College of Education & Human Services November 1 & 2 here at NKU. Young Women LEAD is a leadership conference for high school girls focusing on leadership, education and development. The purpose of the conference is to empower high school girls to embrace their strengths and to reach their full potential.
- A highly successful partnership to operate our on-campus Early Childhood Center was created between Northern Kentucky University and the University of Cincinnati. This partnership has resulted in a significant increase in enrollment and also allowed for continuation of NKU’s Child Care Access Means Parents In School (CCAMPIS) Grant, which provides funds of approximately \$135,000 on an annual basis to help low-income parents with campus-based child care services.
- The Division of Student Affairs received a three-year grant of nearly \$300,000 from the Office of Violence Against Women – Campus Grant to continue the university’s efforts to reduce sexual assault, domestic violence, dating violence, and stalking on campus.
 - The grant is housed in the Norse Violence Prevention Center and will make possible a range of services, including specialized training for campus law enforcement, healthcare providers, university personnel, and others who are often first responders.
 - With the grant, we are able to hire a full-time project coordinator/prevention specialist, hire a part-time advocate to expand our direct service capacity, expand our prevention efforts with African American, Latino, and international students, and provide trauma-informed yoga for survivors of sexual assault.
- More than 10,000 student community service hours have been performed to date this semester.
- Norse student-athletes continue to excel in the classroom. Following an impressive 3.37 cumulative GPA in fall 2017, NKU’s student-athletes elevated the bar to a department-record 3.47 GPA in the spring semester. Every program posted a cumulative grade point average above 3.13, including a 3.7 by the men’s tennis program that led the department. The softball program registered a 3.67 GPA, which earned recognition by the National Fastpitch Coaches Association for being the second-highest in the country.
- Baseball’s Will Hauter was named a CoSIDA Academic All-American over the summer, while both Rikard Lindqvist and Hannah Fischer of the men’s and women’s soccer programs, respectively, were recently named CoSIDA Academic All-District honorees and voting is currently taking place for Academic All-America honors.
- Northern Kentucky Athletics’ core values include both inclusiveness and community engagement. While the 2,909 hours of community service attributed to the athletic department last year are impressive, three of our teams in the last four years have partnered with Team IMPACT to truly impact the lives of three youth with chronic illnesses.
 - The baseball program first got the ball rolling when it signed Alex Murray.
 - Volleyball followed suit in January 2018 with the signing of Taylor and Leah Conrad and, most recently, softball signed Destiny Owen.

- Volleyball senior Haley Libs is one of 10 national finalists for the Senior CLASS Award, an accolade aimed at honoring student-athletes for staying in school and excelling in the community, classroom, character and competition. Libs is a perfect 4.0 student-athlete in Exercise Science, who is also a three-time team captain and three-time All-Horizon League First Team performer.
 - Further, Libs makes annual trips to Norton's Children's Hospital, which treated her own pediatric leukemia, to support the youth currently hospitalized.
- NKU's student-athletes are also excelling in competition. Women's soccer earned yet another top-3 regular-season Horizon League finish, while the volleyball program recently tied for second place in the Horizon League, its best finish in the brief Division I-era. Alex Willis was named the Horizon League Men's Soccer Freshman of the Year after recording 16 goals, which was the third-most in the NCAA at the conclusion of the regular season and seven assists. And, Drew McDonald was named the Horizon League Preseason Player of the Year for the second year in a row.
- NKU's Lead Enterprise Architect, Kasilingham Vimalan was awarded the Rising Tech Stars Award. This award honors IT professionals who are on the fast track to becoming the future technology leaders in our region. Lead Tribune Media Group, the publisher of Venue Magazine, Greater Cincinnati's Lifestyle publication, and Lead Magazine, the publication for Business Executives, selected Vimalan for this award. Vimalan's outstanding achievements were highlighted when he received this award at The Rising Star Awards Ceremony that took place at the comSpark Tech and Innovation Summit on September 18.
- Kathleen Roberts partnered with Norse Advising in the design and delivery of a 5-module series on cultural competence for Master Advising Certification. The program will be scaled to include all administrative and academic units and eventually be developed into an online course.
- *To A Degree* is the Gates Foundation's postsecondary success podcast that highlights the people, institutions and organizations working to provide all students with a high-quality and affordable higher education experience.
 - The American Association of State Colleges and Universities (AASCU) collaborated with *To A Degree* and the Gates Foundation to capture the perspectives of campus leaders at its 2018 Annual Meeting in late October.
 - *To A Degree* recorded three podcasts at the meeting focusing on leadership and innovative solutions to improve equity and student success.
 - I had the pleasure of taking part in the "Keeping the Promise" podcast along with Shippensburg University of Pennsylvania President Laurie A. Carter and State University of New York at Fredonia President Virginia Schaefer Horvath.

That concludes my comments for today's Board meeting.

B. Presidential Reports:

1. Facilities Management Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

- a. Health Innovation Center/Founders Hall Renovation
- b. Energy Savings Performance Contract
- c. Herrmann Science Center Roof Restoration

- d. Elevator Improvements (Lucas Administrative Center)
- e. Switchgear Replacement (MEP – Exterior Unit)
- f. University Center Caulk and Seal
- g. US 27 Development
- h. UK College of Medicine – Northern Kentucky Campus

2. Research, Grants, and Contracts Report (July 1, 2018 through September 25, 2018)
(Provost and Executive Vice President Sue Ott Rowlands).

During the July 1, 2018 through September 25, 2018 time period 28 grants were awarded. The total amount of money awarded was \$3,258,333. For the fiscal year 2018–2019 the cumulative total number of grants awarded is 28 totaling \$3,258,333.

3. Fundraising Report (July 1, 2018 through September 30, 2018) (Vice President Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2018 through September 30, 2018 totaling \$1,512,192 in support of the university.

4. Organizational Changes Report (Provost and Executive Vice President Sue Ott Rowlands).

The organizational changes report reflected the following:

University Advancement

University Advancement Restructure

University Advancement seeks to merge various organizational units and align positions within evolving units to better serve key constituents including students, alumni and donors. The restructure facilitates collaboration, enhances the division's ability to make data driven decisions and aligns resources to take advantage of divisional teams' strengths.

These changes include:

- Created a new organizational unit titled Campaign Operations & Donor Relations
- Made the following organizational unit name changes:
 - From Donor Relations to Special Events
 - From Advancement Services to Advancement Operations & Annual Giving

5. Annual Financial Report (Co-Interim Chief Administration Officers Mike Hales and Lori Southwood).

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Terry Mann and seconded by Regent Dennis Repenning to approve the Presidential Recommendations as listed; C-1 through C-6.
(Motion carried)

1. Academic Affairs Personnel Actions:

1. Faculty Appointments:

Dr. Tom Baxter, assistant clinical professor in the Department of Allied Health, College of Health Professions, effective August 13, 2018; **Ms. Caitlin Drouillard-Ahlrichs**, advisor and lecturer in the Advising Center in the College of Health Professions, effective September 12, 2018; **Ms. Jennifer Moreland**, assistant clinical professor in the Department of Nursing, College of Health Professions, effective August 13, 2018; **Ms. Crystal Summers**, lecturer in the Department of Business Informatics, College of Informatics, effective August 13, 2018; **Dr. Michelle Teschendorf**, assistant clinical professor in the Department of Nursing, College of Health Professions, effective August 13, 2018.

b. Transitions:

Ms. Michele Day, from lecturer II and student media advisor to student media advisor and professor of practice in the Department of Communication, College of Informatics, effective August 13, 2018; **Mr. Mike King**, from associate professor and program head of Theatre and Dance to associate professor in the School of the Arts, College of Arts and Sciences, effective August 13, 2018; **Dr. Mary Kishman**, from associate professor and interim associate dean to associate professor in the Department of Nursing and chief nurse administrator, College of Health Professions, effective July 1, 2018; **Ms. Angela Riley**, from lecturer II to clinical assistant professor in the Department of Nursing, College of Health Professions, effective August 13, 2018; **Dr. David Tataw**, from associate dean to associate professor in the Department of Allied Health, College of Health Professions, effective July 1, 2018; **Dr. Belle Zembrodt**, from senior lecturer to senior lecturer and director of the Office of Nationally Competitive Scholarships and Opportunities, Honors College, effective July 1, 2018.

c. Departures:

Dr. Thaddeus Bissett, assistant professor in the Department of Sociology, Anthropology and Philosophy, College of Arts and Sciences, effective August 3, 2018; **Ms. Jane Hammons**, instructional services librarian in W. Frank Steely Library, effective December 19, 2018; **Ms. Rachel Parks**, advisor and lecturer in the Advising Center in the College of Health Professions, effective September 7, 2018; **Dr. Verl T. Pope**, professor in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective August 31, 2018; **Dr. Alessandra Rhinehart**, assistant professor in the Department of Counseling, Social Work and Leadership, College of Education and Human Services, effective August 16, 2018.

d. Phased Retirement:

Dr. Bill Attenweiler, associate professor in the Department of Psychological Science, College of Arts and Sciences, beginning fall semester 2019 and terminating at the end of June, 2021.

e. Temporary Faculty Appointments:

Mr. Phil Resnick, Department of History and Geography, effective 2018 Fall Semester; **Ms. Chrystal Culbertson**, Department of Mathematics and Statistics, effective 2018-2019 Academic Year; **Mr. John Zappa**, Department of Music, effective 2018-2019 Academic Year; **Ms. Denise Knisely**, Department of Sociology, Anthropology and Philosophy, effective 2018-2019 Academic Year; **Beth Hickey**, Department of Nursing, effective 2018-2019 Academic Year; **Amber Thomas**, Department of Nursing, effective 2018-2019 Academic Year; **Dr. Aziz Bahha**, Department of Computer Science, effective 2018-2019 Academic Year.

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 14, 2018 and October 15, 2018 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling **\$388,950** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2018 through September 30, 2018.

4. Naming Recommendations:

The Board of Regents approved the following naming actions:

1. The naming of a fund to provide financial support for a student in Philosophy, History, Integrative Studies, Celtic Studies, Women and Gender Studies, and/or Religious Studies, "Ashley Stuck Memorial Scholarship".
2. The naming of an endowed scholarship in support of students in the Chase College of Law, "Joseph P. and Sheila J. Thomas Endowed Scholarship".

5. Center for Law and Entrepreneurship Name Change:

The Board of Regents approved that the Transactional Law Practice Center in the College of Law will be renamed the Center for Law and Entrepreneurship.

6. Resolution – Master-lease Purchase Agreement:

The Board of Regents adopted the Resolution approving a master-lease purchase agreement with Bank of America Public Capital Corp. to finance up to \$4.25 million for the acquisition and installation of energy savings improvements. The Resolution also authorized the Chief Financial Officer to act on behalf of the Board of Regents in executing the master lease and all related documents.

D. Executive Session:

Regent David Bauer seconded Regent W. Lee Scheben's motion to enter into executive session pursuant to KRS 61.810(1) (b) and (c). **(Motion carried)**

At 1:35 p.m. Executive Session concluded and the regular meeting was reconvened for further discussion.

At 1:37 p.m., Regent Hannah Edelen seconded Regent Terry Mann's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

I, Normand Desmarais, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 14, 2018, and that such matters are still in force and effect.

Signature On File
Normand Desmarais
Secretary of the Board of Regents

FACILITIES MANAGEMENT REPORT

1. Energy Savings Performance Contract

In September 2016, an RFP was issued to select an ESCO (Energy Savings Contractor) to serve as a partner on a potential Energy Savings Performance Contract (ESPC). CMTA Energy Solutions was selected and completed a comprehensive technical energy audit in May 2017. The audit report identified potential energy conservation measures. Results of the audit and recommended ECMs (Energy Saving Measures) were duly reviewed and CMTA obtained costs for the selected measures. Bank of America is providing the lease financing for the project with the avoided electric costs funding the lease payments. Some work will begin in spring 2019 with the remaining construction taking place during the summer and early fall.

Engineer: CMTA Energy Solutions

Estimated Scope: \$4,100,000

Fund Source: Guaranteed Energy Savings

Anticipated Completion: Fall 2019

2. Herrmann Science Center Roof Restoration

This project is complete. We are currently waiting on the warranty inspection and closeout documents.

3. Elevator Improvements (Lucas Administrative Center)

The elevators in Lucas Administrative Center require control and mechanical modifications to ensure reliability and safe operation. The electrical and mechanical components are worn and misaligned, resulting in intermittent malfunctions and downtime. Both elevators are scheduled to be modernized and upgraded to improve safety and performance. Pedco E&A Services prepared the bid documents and ThyssenKrupp will perform the installation. Equipment orders have been placed but many components require 3-4 months of lead time due to custom manufacturing requirements. The plan is to begin on site work in May with project completion anticipated in 2020.

Engineer: Pedco E&A Services

Contractor: ThyssenKrupp

Scope: \$610,000

Fund Source: Deferred Maintenance Project Pool

Anticipated Completion: Spring 2020

4. Switchgear Replacement (Mathematics-Education-Psychology - Exterior Unit)

Switchgear equipment distributes a building's incoming electric power to its internal electrical systems. The electrical high voltage switchgear at the Mathematics-Education-Psychology Center has an internal and external component.

The unit inside the building is in good condition. The external unit is exposed to weather and has reached the end of its useful life. Failure would cause loss of power resulting in a building shutdown.

Bid documents were prepared by CMTA and DeBra Kuempel was the successful bidder. The building's power was switched off during part of the winter recess to enable replacement of exterior equipment. A generator provided power for the balance of the project duration to accommodate events and activities scheduled in the building. The work was substantially complete before classes resumed and the project will be completed by February 2019.

Engineer: CMTA

Contractor: DeBra Kuempel

Scope: \$242,000

Fund Source: Deferred Maintenance Project Pool

Anticipated Completion: February 2019

5. Kenton Garage Restoration

Kenton Garage, with 241,000 gross square feet and just under 700 parking spaces, was built in 2005. It is in need of restoration, to assure its long term durability. Based on a professional condition assessment by THP Limited, Inc., a structural engineering firm, planned work includes concrete repairs to address minor slab deterioration; installation of a water repellant on supported slabs; painting of exposed decorative and structural steel components; replacement of slab and façade expansion and construction joint sealants; and, other miscellaneous concrete and masonry repairs.

Design work is expected to begin in January and the project will be bid in March. Restoration work will begin in mid-May after commencement and will be scheduled for completion by August 2019. Kenton Garage will remain closed (for summer), while the restoration work is underway.

6. Commonwealth Hall Renovation

Commonwealth Hall is a traditional style residence hall with two residents per room and shared restrooms on each floor. Constructed in 1982, it has three wings radiating from an open, common lobby space and a total of 196 beds. The primary goal of this project is to install a new mechanical system in the building. The new system will provide greater energy efficiency while significantly improving occupant comfort given the ability to provide either heating or cooling in each room year round.

Commonwealth Hall Renovation - Continued

The project is out to bid. Depending on bid pricing compared to overall budget, upgrades to building interior finishes may also be included in the project. Construction will begin in late January 2019 and is scheduled to be complete by early July 2019.

Architects: SHP Architects

Engineers: CMTA

Contractor: TBD

Scope: \$2.8M

Fund Source: NKU Bonds

Anticipated Completion: Summer 2019

7. New Residence Hall

Design work for a new residence hall has begun. The design team of Moody Nolan (MN) architects, a nationally recognized firm with nearby offices in Covington, Kentucky and Columbus, Ohio and Lord Aeck Sargent (LAS) of Lexington, Kentucky and Atlanta, have been selected to design this new student life facility. A significant part of LAS' higher education practice is the planning and design of residential life facilities. Messer Construction has been selected as construction manager for the project.

Programming and concept design is currently underway, including an assessment of possible sites. The internal planning committee has shown preference for a site centrally located in the Boothe Village, and additional due diligence on this site is underway. Scope of the project is still being refined in consideration of the condition of existing housing inventory, expected demand and financial capacity.

It is anticipated that the project will be fast tracked, with release of early bid packages in late spring or early summer. Ground breaking could occur in that same timeframe. The project is scheduled for completion by July 1, 2020.

Architect of Record: Moody/Nolan

Student Life/Interior Design Consultant: Lord Aeck Sargent

Engineers: CMTA, THP, The Kleingers Group, Geotechnology, Inc.

Landscape Architect: Vivian Llambi & Associates

Construction Manager: Messer Construction

Anticipated Completion: Summer 2020

8. US 27 Development

Fairmount Properties continues their due diligence and planning for the US 27 Development. The project will be a mixed-use development with a pedestrian-friendly, ground floor street presence. Phase One is a 65,000 square foot office building and associated parking garage on the north side of the Nunn Drive intersection for St. Elizabeth Healthcare and OrthoCincy. Phase Two, on the south side of Nunn Drive, will be a mixed-use development of 20-30,000 square feet of full-service and casual restaurant and retail tenants, a 100-110 room hotel, 150-200 market rate apartments, parking and office space.

Retail uses will result in a safe, active pedestrian experience complete with al fresco dining on patios, sidewalk amenities, public art installations and an urban environment that embraces the notion of a unique street experience.

The State TIF (Tax Increment Financing) application has been submitted and has received preliminary approval. State and local TIF funds are needed to help with the cost of structured parking and other infrastructure development. OKI recently approved the \$861,704 STP/SNK Transit infrastructure support grant for the project. Funds were allocated through two federal programs, the Surface Transportation Block Grant program and the Transportation Alternatives program, and will be spent on road, transit and pathway improvements benefitting the project. Fairmount will match the grant funds with \$369,302 in project funds.

Phase I Progress - North side of Nunn Drive:

- Site clearing is complete and site grading continues on the east side of the site
- Work on drilled piers for the parking garage, located on the west side of the site, started in early December.
- Danis Construction is the construction manager.

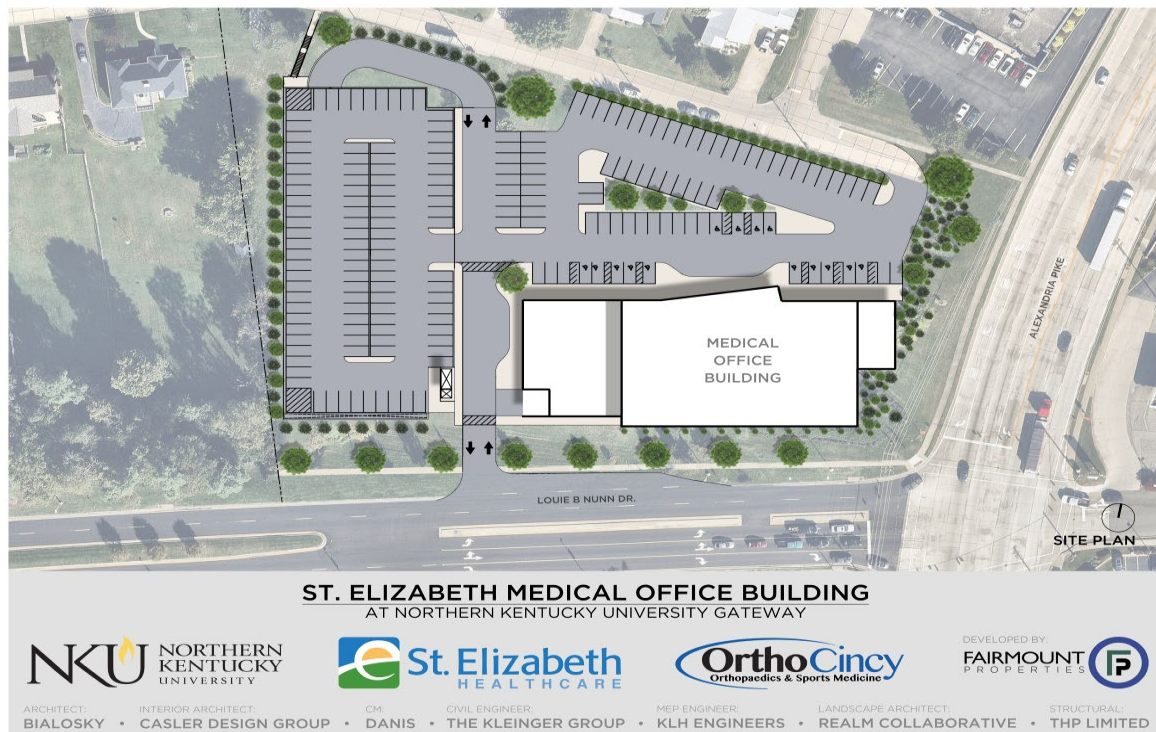
Phase II Progress - South side of Nunn Drive:

- Fairmount continues to have discussions with potential hoteliers and retailers.
- Master Planning is underway to incorporate design for both sides of Nunn Drive, to ensure a look that is consistent with the objective of creating a new campus gateway.
- Negotiations with Fairmount regarding ground lease are ongoing.

US 27 Development – Continued



St. Elizabeth Medical Office Building, October 16, 2018 Rendering, View from Nunn Drive



St. Elizabeth Medical Office Building Site Plan

9. UK College of Medicine-Northern Kentucky Campus

Joint efforts to create the University of Kentucky College of Medicine-Northern Kentucky Campus have resulted in significant progress. The M1 and M2 students will have classes and labs at NKU; the M3 and M4 students will be based at St. Elizabeth's campus in Edgewood, although these students will use the Albright Health Center space for study and meetings.

The UK College of Medicine facility at NKU will be located on the third floor of the Albright Health Center, in space previously occupied by the Department of Nursing. The space is being renovated to meet the needs and program requirements of College of Medicine. Design and construction is being managed by NKU and funded by UK. The renovated space includes two large classrooms, a Physical Exam Lab, a Standardized Patient Suite, multiple small meeting rooms, a large student lounge and office/support space.

Construction began in September 2018 and is scheduled to be complete by March 2019. UK will then install their audio-visual and lab equipment as well as all furnishings. The first class of students will be enrolled for fall 2019. The University has signed a lease with UK for use of the space.

Architects: OMNI Architects

Engineers: CMTA

Contractor: Century Construction

Scope: \$1.99M

Fund Source: University of Kentucky

Anticipated Completion: Spring 2019

OFFICE OF RESEARCH, GRANTS, AND CONTRACTS REPORT

The attached report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for September 25, 2018 through November 30, 2018, for Fiscal Year 2018-19:

- During the September 25, 2018 through November 30, 2018 time period **13** grants were awarded. The total amount of money awarded was **\$486,623**.
- For the fiscal year 2018 – 2019 the cumulative total number of grants awarded is **41** totaling **\$3,759,189**.

NKU Office of Research, Grants and Contracts
Grants Awarded Funding - September 25, 2018 -November 30, 2018
FY 2018-2019

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office Department</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor Total</u>
<i>Chase College of Law</i>					
Other	New	Dean's Office	Colorado Professional Services	University of Colorado College of Law	\$76,775
<i>College of Arts & Sciences</i>					
Instruction	New	Biological Sciences	CAS Strategic Investment Funds - Student Internships - 2019	City of Covington	\$500
Instruction	New	Physics, Geology and Engineering Technology	Engineering Technology Funding Year 2	Duke Energy	\$5,000
Basic Research	New	Physics, Geology and Engineering Technology	Micro-CT scans of sphenodont lizards	Utah Friends of Paleontology State Treasurer	\$600
Basic Research	New	Physics, Geology and Engineering Technology	Milky Way Mapper 2018	Astrophysical Research Consortium	\$3,500
<i>College of Informatics</i>					
Applied Research	New	Business Informatics	Kentucky Health Information Exchange Consulting 18-19 IHIE	Kentucky Cabinet for Health and Family Services	\$106,200
<i>Haile/US Bank College of Business</i>					
Basic Research	New	Economics and Finance	Econ Beats	Plutus Foundation	\$2,000
Public Service	New	Small Business Development Center	Small Business Development Center FY 18-19 Federal	University of Kentucky Research Foundation - Federal Flow Through	\$106,000
Public Service	New	Small Business Development Center	Small Business Development Center FY 18-19 House Bill State	State of Kentucky	\$13,500
<i>Information Technology</i>					
Public Service	New	Infrastructure and Operations	Student Technology Leadership Program Showcase	Kentucky Department of Education	\$4,000
<i>Student Affairs</i>					

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office Department</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor Total</u>
Student Support	New	Assistant VP	CCAMPIS Year 2	Department of Education - Office of Postsecondary Education	\$154,003
Institutional Support	New	University Police	Northern Kentucky University Police Department Body Worn Camera Project	U.S. Department of Justice	\$14,045
<i>Vice Provost for Graduation Education, Research & Outreach</i>					
Public Service	New	Scripps Howard Center for Civic Engagement	Newport Arts Project	Cleveland Foundation	\$500

Total Number of Awards 09/25/2018 - 11/30/2018	13	Total Funds Awarded	\$ 486,623
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<i>Total Number of Awards</i>	<u>41</u>	<i>Total Funds Awarded</i>	<u>\$3,759,189</u>
<i>FY 2018-19</i>		<i>FY 2018-19</i>	

FUNDRAISING REPORT

The following Fundraising Report **summarizes fundraising resources committed from July 1, 2018 through November 30, 2018 totaling \$2,609,802** in support of the university.

The report includes:

1. Resources in support of the colleges, Academic Affairs and University Designated programs, Steely Library, Norse Athletics, Institute for Health Innovation/Health Innovation Center, and Student Affairs.
2. Resources for Fiscal Year 2019.

FY19 Fundraising Resources Through 11/30/2018

Designation	FY 2019 at 11/30/2018
Academic Affairs/Univ. Designated	422,326
Athletics	439,840
Chase College of Law	455,614
College of Arts & Sciences	781,640
College of Education & Human Services	105,840
College of Health Profession	29,098
College of Informatics	285,960
Haile US Bank College of Business	35,860
Honors College	225
Institute for Health Innov./Health Innov Ctr.	25,000
Steely Library	3,973
Student Affairs	24,426
Total	2,609,802

NORTHERN KENTUCKY UNIVERSITY

QUARTERLY FINANCIAL REPORT

FOR THE PERIOD JULY 1, 2018 THROUGH SEPTEMBER 30, 2018

Northern Kentucky University
Quarterly Financial Report

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Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Statement of Net Position
As of September 30, 2018 and 2017
(in thousands)

	<u>2019</u>	<u>2018</u>
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 119,822	\$ 114,588
Notes, loans and accounts receivable, net	16,817	15,192
Other current assets	768	895
Total current assets	<u>137,407</u>	<u>130,675</u>
Noncurrent Assets		
Cash and cash equivalents	16,668	19,159
Investments	13,631	13,035
Notes, loans and accounts receivable, net	1,881	1,081
Capital assets, net	385,543	364,962
Other noncurrent assets	198	174
Total noncurrent assets	<u>417,921</u>	<u>398,411</u>
Total assets	<u>555,328</u>	<u>529,086</u>
DEFERRED OUTFLOWS OF RESOURCES		
Bond refunding loss	3,185	3,553
Pension and OPEB	67,703	40,433
Total deferred outflows of resources	<u>70,888</u>	<u>43,986</u>
LIABILITIES		
Current Liabilities		
Accounts payable and accrued liabilities	10,975	12,930
Unearned revenue	1,061	1,481
Long-term debt-current portion	861	815
Other long-term liabilities-current portion	497	710
Total current liabilities	<u>13,394</u>	<u>15,936</u>
Noncurrent Liabilities		
Deposits	11,984	12,079
Long-term debt	104,353	112,339
Other long-term liabilities	2,012	2,605
Net pension and OPEB liability	375,369	275,585
Total noncurrent liabilities	<u>493,718</u>	<u>402,608</u>
Total liabilities	<u>507,112</u>	<u>418,544</u>
DEFERRED INFLOWS OF RESOURCES		
Service agreements	1,418	1,444
Pension and OPEB	10,539	4,483
Total deferred inflows of resources	<u>11,957</u>	<u>5,927</u>
NET POSITION		
Net investment in capital assets	284,684	255,372
Restricted		
Nonexpendable	7,616	7,616
Expendable	4,480	7,787
Unrestricted	(189,633)	(122,174)
Total net position	<u>\$ 107,147</u>	<u>\$ 148,601</u>

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Statement of Revenues, Expenses and Changes in Net Position
For the Quarter Ended September 30, 2018
(in thousands)

	2019	2018
OPERATING REVENUES		
Student tuition and fees (net of scholarship allowances of \$22,472 in 2019 and \$21,978 in 2018)	\$ 55,987	\$ 53,770
Federal grants and contracts	574	616
State and local grants and contracts	259	290
Nongovernmental grants and contracts	717	532
Sales and services of educational departments	885	1,232
Auxiliary enterprises		
Housing and food service (net of scholarship allowances of \$737 in 2019 and \$721 in 2018)	4,488	4,733
Other auxiliaries	2,142	2,253
Other operating revenues	1,970	1,916
Total operating revenues	<u>67,022</u>	<u>65,342</u>
OPERATING EXPENSES		
Educational and general		
Instruction	13,666	15,033
Research	531	360
Public service	2,088	2,154
Libraries	1,768	2,012
Academic support	4,953	4,916
Student services	5,788	5,864
Institutional support	7,631	7,569
Operation and maintenance of plant	3,324	4,126
Depreciation	4,673	4,010
Student aid	8,221	8,024
Auxiliary enterprises		
Housing and food service	877	986
Other auxiliaries	354	384
Auxiliary depreciation	761	778
Other expenses	11	23
Total operating expenses	<u>54,646</u>	<u>56,239</u>
Net income (loss) from operations	<u>12,376</u>	<u>9,103</u>
NONOPERATING REVENUES (EXPENSES)		
State appropriations	15,995	15,486
Federal grants and contracts	7,986	9,076
State and local grants and contracts	5,596	5,269
Private gifts and grants	18	-
Investment income (loss)	914	495
Interest on capital asset-related debt	(978)	(1,045)
Other nonoperating revenues (expenses)	(353)	136
Net nonoperating revenues	<u>29,178</u>	<u>29,417</u>
Income (loss) before other revenues, expenses, gains or losses	<u>41,554</u>	<u>38,520</u>
Capital appropriations	-	4,405
Capital grants, gifts and contracts	683	162
Total other revenues	<u>683</u>	<u>4,567</u>
Increase (decrease) in net position	<u>42,237</u>	<u>43,087</u>
NET POSITION		
Net position-beginning of year	64,910	105,514
Net position-end of quarter	<u>\$ 107,147</u>	<u>\$ 148,601</u>

Statements for Management Use Only
Excludes required GASB Pension/OPEB Reporting

Condensed Statement of Net Position

	9/30/2018	9/30/2017
ASSETS		
Current assets	\$ 137,407	\$ 130,675
Capital assets, net	385,543	364,962
Noncurrent assets	32,378	33,449
Total assets	<u>555,328</u>	<u>529,086</u>
DEFERRED OUTFLOWS OF RESOURCES	<u>3,185</u>	<u>3,553</u>
LIABILITIES		
Current liabilities	13,394	15,936
Noncurrent liabilities	118,349	127,023
Total liabilities	<u>131,743</u>	<u>142,959</u>
DEFERRED INFLOWS OF RESOURCES	<u>1,418</u>	<u>1,444</u>
NET POSITION		
Net investment in capital assets	284,684	255,372
Restricted		
Nonexpendable	7,616	7,616
Expendable	4,480	7,787
Unrestricted	128,572	117,461
Total net position	<u>\$ 425,352</u>	<u>\$ 388,236</u>

Condensed Statement of Revenues, Expenses and Changes in Net Position

	9/30/2018	9/30/2017
OPERATING REVENUES		
Student tuition and fees, net	\$ 55,987	\$ 53,770
Grants and contracts	1,550	1,438
Sales and services of educational departments	885	1,232
Auxiliary enterprises	6,630	6,986
Other operating revenues	1,970	1,916
Total operating revenues	<u>67,022</u>	<u>65,342</u>
OPERATING EXPENSES		
Educational and general	47,970	50,058
Depreciation	4,673	4,010
Auxiliary enterprises (including depreciation)	1,992	2,148
Other expenses	11	23
Total operating expenses	<u>54,646</u>	<u>56,239</u>
Net income (loss) from operations	<u>12,376</u>	<u>9,103</u>
NONOPERATING REVENUES (EXPENSES)		
State appropriations	15,995	15,486
Gifts, grants and contracts	13,600	14,345
Investment income	914	495
Interest on capital asset-related debt	(978)	(1,045)
Other nonoperating revenues (expenses)	(353)	136
Net nonoperating revenues	<u>29,178</u>	<u>29,417</u>
Income (loss) before other revenues, expenses, gains or losses	<u>41,554</u>	<u>38,520</u>
Capital appropriations	-	4,405
Capital grants, gifts and contracts	683	162
Total other revenues	<u>683</u>	<u>4,567</u>
Increase (decrease) in net position	<u>42,237</u>	<u>43,087</u>
Net position-beginning of year as previously reported	64,910	105,514
Add back effect of Pension	<u>318,205</u>	<u>239,635</u>
Net position-end of quarter	<u>\$ 425,352</u>	<u>\$ 388,236</u>

NORTHERN KENTUCKY UNIVERSITY

Current Unrestricted Fund

Schedule of Revenue, Budgeted and Actual

For the Period from July 1, 2018 to September 30, 2018

With Comparative Prior Year Data

	Fiscal Year 2018/19			Fiscal Year 2017/18		
	Revised Budget	Actual Revenue	% of Bgt	Revised Budget	Actual Revenue	% of Bgt
STUDENT TUITION AND FEES						
Tuition - Summer	\$ 9,510,500	\$ 3,824,580	40 %	\$ 9,101,610	\$ 3,601,751	40 %
Tuition - Fall	69,562,800	70,533,491	101	67,547,100	68,264,630	101
Tuition - Spring (Including Winter)	62,984,719	(25,881)	0	60,412,100	(38,550)	0
Mandatory Fees	4,449,900	2,261,470	51	4,397,600	2,281,429	52
Class Fees	<u>3,202,520</u>	<u>1,683,316</u>	53	<u>3,262,739</u>	<u>1,638,516</u>	50
TOTAL STUDENT TUITION AND FEES	<u>\$ 149,710,439</u>	<u>\$ 78,276,976</u>	52 %	<u>\$ 144,721,149</u>	<u>\$ 75,747,776</u>	52 %
STATE APPROPRIATIONS GENERAL	<u>\$ 53,944,100</u>	<u>\$ 15,994,400</u>	30 %	<u>\$ 51,621,100</u>	<u>\$ 15,486,300</u>	30 %
SALES AND SERVICES OF EDUCATIONAL ACTIVITIES	<u>\$ 4,723,127</u>	<u>\$ 884,933</u>	19 %	<u>\$ 4,712,293</u>	<u>\$ 1,231,770</u>	26 %
SALES AND SERVICES OF AUXILIARY ACTIVITIES	<u>\$ 17,219,778</u>	<u>\$ 7,367,390</u>	43 %	<u>\$ 16,763,955</u>	<u>\$ 7,708,042</u>	46 %
OTHER SOURCES						
Service Fees	\$ 2,271,225	\$ 779,801	34 %	\$ 2,164,055	\$ 731,781	34 %
Rentals	1,496,395	352,068	24	1,522,478	380,629	25
Investment Earnings	1,147,000	518,423	45	772,125	236,168	31
Other	<u>2,559,091</u>	<u>944,999</u>	37	<u>2,463,546</u>	<u>731,675</u>	30
TOTAL OTHER SOURCES	<u>\$ 7,473,711</u>	<u>\$ 2,595,291</u>	35 %	<u>\$ 6,922,204</u>	<u>\$ 2,080,253</u>	30 %
TOTAL CURRENT UNRESTRICTED FUND REVENUE	<u><u>\$ 233,071,155</u></u>	<u><u>\$ 105,118,990</u></u>	45 %	<u><u>\$ 224,740,701</u></u>	<u><u>\$ 102,254,141</u></u>	45 %

NORTHERN KENTUCKY UNIVERSITY
 Current Unrestricted Fund
 Schedule of Expenditures by Function and Account Category
 Budgeted and Actual
 For the period July 1, 2018 through September 30, 2018
 With Comparative Prior Year Data

	Fiscal Year 2018/19			Fiscal Year 2017/18		
	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>
EDUCATIONAL AND GENERAL						
INSTRUCTION						
Personnel Expenses	\$ 61,353,251	\$ 13,508,432	22 %	\$ 62,555,344	\$ 13,813,550	22 %
Operating Expenses/Capital Outlay	<u>3,384,581</u>	<u>792,315</u>	23	<u>4,343,321</u>	<u>714,334</u>	16
TOTAL INSTRUCTION	\$ 64,737,832	\$ 14,300,747	22 %	\$ 66,898,665	\$ 14,527,884	22 %
RESEARCH						
Personnel Expenses	\$ 365,482	\$ 183,810	50 %	\$ 347,790	\$ 112,658	32 %
Operating Expenses/Capital Outlay	<u>67,426</u>	<u>16,609</u>	25	<u>260,210</u>	<u>16,892</u>	6
TOTAL RESEARCH	\$ 432,908	\$ 200,419	46 %	\$ 608,000	\$ 129,550	21 %
PUBLIC SERVICE						
Personnel Expenses	\$ 3,007,585	\$ 721,486	24 %	\$ 3,607,027	\$ 920,809	26 %
Operating Expenses/Capital Outlay	<u>3,796,578</u>	<u>857,986</u>	23	<u>5,672,832</u>	<u>688,370</u>	12
TOTAL PUBLIC SERVICE	\$ 6,804,163	\$ 1,579,472	23 %	\$ 9,279,859	\$ 1,609,179	17 %
LIBRARIES						
Personnel Expenses	\$ 3,738,273	\$ 814,513	22 %	\$ 4,111,082	\$ 891,392	22 %
Operating Expenses/Capital Outlay	<u>1,911,890</u>	<u>935,078</u>	49	<u>1,963,523</u>	<u>1,102,938</u>	56
TOTAL LIBRARIES	\$ 5,650,163	\$ 1,749,591	31 %	\$ 6,074,605	\$ 1,994,330	33 %
ACADEMIC SUPPORT						
Personnel Expenses	\$ 18,230,191	\$ 3,846,028	21 %	\$ 17,449,397	\$ 3,735,769	21 %
Operating Expenses/Capital Outlay	<u>4,895,053</u>	<u>890,444</u>	18	<u>6,727,780</u>	<u>1,079,486</u>	16
TOTAL ACADEMIC SUPPORT	\$ 23,125,244	\$ 4,736,472	20 %	\$ 24,177,177	\$ 4,815,255	20 %
STUDENT SERVICES						
Personnel Expenses	\$ 16,032,851	\$ 3,543,190	22 %	\$ 16,608,831	\$ 3,755,471	23 %
Operating Expenses/Capital Outlay	<u>6,622,290</u>	<u>1,835,671</u>	28	<u>7,002,722</u>	<u>1,877,450</u>	27
TOTAL STUDENT SERVICES	\$ 22,655,141	\$ 5,378,861	24 %	\$ 23,611,553	\$ 5,632,921	24 %

NORTHERN KENTUCKY UNIVERSITY
Current Unrestricted Fund
Schedule of Expenditures by Function and Account Category
Budgeted and Actual
For the period July 1, 2018 through September 30, 2018
With Comparative Prior Year Data

	Fiscal Year 2018/19			Fiscal Year 2017/18		
	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>
INSTITUTIONAL SUPPORT						
Personnel Expenses	\$ 22,976,384	\$ 5,528,711	24 %	\$ 23,653,723	\$ 5,539,664	23 %
Operating Expenses/Capital Outlay	<u>5,284,493</u>	<u>1,825,641</u>	35	<u>7,505,924</u>	<u>1,828,351</u>	24
TOTAL INSTITUTIONAL SUPPORT	\$ 28,260,877	\$ 7,354,352	26 %	\$ 31,159,647	\$ 7,368,015	24 %
OPERATION & MAINTENANCE OF PLANT						
Personnel Expenses	\$ 10,800,389	\$ 2,090,898	19 %	\$ 11,980,514	\$ 2,204,219	18 %
Operating Expenses/Capital Outlay	3,250,481	429,087	13	3,501,908	1,379,965	39
Utilities	<u>5,045,268</u>	<u>621,865</u>	12	<u>4,318,000</u>	<u>527,252</u>	12
TOTAL OPERATION & MAINTENANCE OF PLANT	\$ 19,096,138	\$ 3,141,850	16 %	\$ 19,800,422	\$ 4,111,436	21 %
STUDENT FINANCIAL AID						
Grants, Loans, Benefits	<u>28,572,483</u>	<u>16,924,660</u>	59	<u>25,982,503</u>	<u>15,402,657</u>	59
TOTAL STUDENT FINANCIAL AID	\$ 28,572,483	\$ 16,924,660	59 %	\$ 25,982,503	\$ 15,402,657	59 %
TRANSFERS						
Mandatory:						
Debt Service Principal/Interest	\$ 6,134,989	\$ 4,627,037	75 %	\$ 6,113,478	\$ 4,779,211	78 %
Nonmandatory	<u>805,987</u>	<u>(2,555,449)</u>	(317)	<u>2,057,045</u>	<u>(1,442,583)</u>	(70)
TOTAL TRANSFERS	\$ 6,940,976	\$ 2,071,588	30 %	\$ 8,170,523	\$ 3,336,628	41 %
TOTAL EDUCATIONAL AND GENERAL EXPENDITURES						
Personnel Expenses	\$ 136,504,406	\$ 30,237,068	22 %	\$ 140,313,708	\$ 30,973,532	22 %
Operating Expenses/Capital Outlay	34,258,060	8,204,696	24	41,296,220	9,215,038	22
Transfers	6,940,976	2,071,588	30	8,170,523	3,336,628	41
Financial Aid	<u>28,572,483</u>	<u>16,924,660</u>	59	<u>25,982,503</u>	<u>15,402,657</u>	59
TOTAL EDUCATIONAL AND GENERAL EXPENDITURES	\$ 206,275,925	\$ 57,438,012	28 %	\$ 215,762,954	\$ 58,927,855	27 %

NORTHERN KENTUCKY UNIVERSITY
Current Unrestricted Fund
Schedule of Expenditures by Function and Account Category
Budgeted and Actual
For the period July 1, 2018 through September 30, 2018
With Comparative Prior Year Data

	Fiscal Year 2018/19			Fiscal Year 2017/18		
	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>
STUDENT SERVICES						
Personnel Expenses	\$ 2,670,316	\$ 578,148	22 %	\$ 2,698,692	\$ 613,121	23 %
Operating Expenses/Capital Outlay	6,991,637	1,140,379	16	8,608,338	1,208,155	14
Financial Aid	<u>423,059</u>	<u>272,360</u>	64	<u>423,059</u>	<u>269,335</u>	64
TOTAL STUDENT SERVICES	\$ 10,085,012	\$ 1,990,887	20 %	\$ 11,730,089	\$ 2,090,611	18 %
TRANSFERS						
Mandatory:						
Debt Service Principal & Interest	\$ 5,455,327	\$ 4,832,697	89 %	\$ 5,068,755	\$ 4,065,986	80 %
Nonmandatory	<u>4,930,321</u>	<u>3,372,702</u>	68	<u>2,161,660</u>	<u>1,697,337</u>	79
TOTAL TRANSFERS	\$ 10,385,648	\$ 8,205,399	79 %	\$ 7,230,415	\$ 5,763,323	80 %
TOTAL AUXILIARY ENTERPRISES						
Personnel Expenses	\$ 2,670,316	\$ 578,148	22 %	\$ 2,698,692	\$ 613,121	23 %
Operating Expenses/Capital Outlay	6,991,637	1,140,379	16	8,608,338	1,208,155	14
Financial Aid	423,059	272,360	64	423,059	269,335	64
Transfers	<u>10,385,648</u>	<u>8,205,399</u>	79	<u>7,230,415</u>	<u>5,763,323</u>	80
TOTAL AUXILIARY ENTERPRISES	\$ 20,470,660	\$ 10,196,286	50 %	\$ 18,960,504	\$ 7,853,934	41 %
TOTAL EXPENDITURES AND TRANSFERS						
Personnel Expenses	\$ 139,174,722	\$ 30,815,216	22 %	\$ 143,012,400	\$ 31,586,653	22 %
Operating Expenses/Capital Outlay	41,249,697	9,345,075	23	49,904,558	10,423,193	21
Transfers	17,326,624	10,276,987	59	15,400,938	9,099,951	59
Financial Aid	<u>28,995,542</u>	<u>17,197,020</u>	59	<u>26,405,562</u>	<u>15,671,992</u>	59
TOTAL EXPENDITURES AND TRANSFERS	<u>\$ 226,746,585</u>	<u>\$ 67,634,298</u>	30 %	<u>\$ 234,723,458</u>	<u>\$ 66,781,789</u>	28 %

NORTHERN KENTUCKY UNIVERSITY

Current Restricted Fund

Schedule of Actual Revenues and Expenditures

For the Period from July 1, 2018 to September 30, 2018

With Comparative Prior Year Data

	Fiscal Year To Date 9/30/18	Fiscal Year To Date 9/30/17	Difference	Percentage Change	Fiscal Year 2018 Final
Revenues by Source					
Federal Grants & Contracts	\$ 368,140	\$ 399,475	\$ (31,335)	-8%	\$ 1,683,811
State and Local Grants & Contracts	269,819	368,365	(98,546)	-27%	3,462,200
Nongovernmental Grants & Contracts	74,802	42,898	31,904	74%	331,074
Federal Financial Aid Programs	8,191,743	9,293,001	(1,101,258)	-12%	18,960,198
State Financial Aid Programs	5,584,453	5,189,696	394,757	8%	10,919,349
NKU Foundation Subgrants	632,529	445,760	186,769	42%	1,940,780
Agency Subgrants	27,273	43,385	(16,112)	-37%	214,675
Other	-	-	-		500
Total Revenues	\$ 15,148,759	\$ 15,782,580	\$ (633,821)	-4%	\$ 37,512,587

Expenditures by Function

Instruction	\$ 412,940	\$ 435,743	\$ (22,803)	-5%	\$ 2,097,167
Research	330,153	235,644	\$ 94,509	40%	1,058,222
Public Service	492,651	524,632	\$ (31,981)	-6%	2,616,724
Libraries	18,369	18,225	\$ 144	1%	46,500
Academic Support	191,098	98,993	\$ 92,105	93%	452,588
Student Services	261,033	164,085	\$ 96,948	59%	692,669
Institutional Support	269,283	201,495	\$ 67,788	34%	903,815
Operation & Maintenance of Plant	10,768	5,159	\$ 5,609	109%	22,621
Student Financial Aid	13,715,038	14,553,611	\$ (838,573)	-6%	29,729,865
Total Expenditures	\$ 15,701,333	\$ 16,237,587	\$ (536,254)	-3%	\$ 37,620,171

NORTHERN KENTUCKY UNIVERSITY

Schedule of Bonds Payable

Through the Period Ended September 30, 2018

	Date Issued	Maturity Date	Original Indebtedness	Outstanding Indebtedness	Principal Due This Fiscal Year	Interest Due This Fiscal Year
HOUSING AND DINING						
SYSTEM REVENUE BONDS						
Series B	11/01/1980	11/01/2020	\$ 4,768,000	\$ 600,000	\$ 195,000	\$ 15,075
GENERAL RECEIPTS BONDS*						
Series A 2007	06/07/2007	09/01/2018	48,660,000	-	2,395,000	47,900
Series A 2008	06/18/2008	09/01/2018	19,465,000	-	995,000	19,900
Series A 2010	06/29/2010	09/01/2020	6,785,000	490,000	445,000	23,225
Series B 2010	10/21/2010	09/01/2027	12,265,000	7,635,000	715,000	276,988
Series A 2011	08/04/2011	09/01/2030	9,290,000	6,750,000	450,000	257,675
Series A 2013	02/26/2013	09/01/2022	4,995,000	2,120,000	500,000	47,400
Series A 2014	01/07/2014	09/01/2033	47,375,000	39,385,000	1,735,000	1,964,650
Series A 2016	05/17/2016	09/01/2027	25,765,000	25,640,000	-	965,200
Series B 2016	08/25/2016	09/01/2028	15,225,000	15,025,000	100,000	505,294
TOTAL BONDS			<u>\$ 194,593,000</u>	<u>\$ 97,645,000</u>	<u>\$ 7,530,000</u>	<u>\$ 4,123,307</u>
LEASE OBLIGATIONS						
Capital Leasing Obligations	05/01/2018	05/01/2023	<u>275,089</u>	<u>215,100</u>	<u>59,989</u>	<u>-</u>
TOTAL LEASE OBLIGATIONS			<u>275,089</u>	<u>215,100</u>	<u>59,989</u>	<u>-</u>
TOTAL BONDS AND LEASES			<u>\$ 194,868,089</u>	<u>\$ 97,860,100</u>	<u>\$ 7,589,989</u>	<u>\$ 4,123,307</u>

NORTHERN KENTUCKY UNIVERSITY
Schedule of Current Investments
As of September 30, 2018

	<u>Average Balance</u>	<u>YTD Yield</u>	<u>Maturity Date</u>
STATE INVESTMENTS *			
State Investment Short Term Pool - General Receipts	\$ 75,857,726	1.90%	N/A
State Investment Intermediate Term Pool - General Receipts	1,084,556	1.82%	N/A
State Investment Short Term Pool - Housing	679,133	1.90%	N/A
State Investment Intermediate Term Pool - Housing	<u>11,608</u>	1.83%	N/A
TOTAL STATE INVESTMENTS	<u><u>\$ 77,633,023</u></u>		
*Invested at the state by the Office of Financial Management in investment pools.			
RESERVE FOR RETIREMENT OF INDEBTEDNESS			
Huntington Trust Public Funds Deposit Account	<u>\$ 210,182</u>	0.10%	N/A
TOTAL RESERVE FOR RETIREMENT OF INDEBTEDNESS	<u><u>\$ 210,182</u></u>		
FUND FOR RENEWALS AND REPLACEMENTS			
Huntington Trust Public Funds Deposit Account	<u>\$ 398,402</u>	0.10%	N/A
TOTAL FUND FOR RENEWALS AND REPLACEMENTS	<u><u>\$ 398,402</u></u>		

POLICIES REPORT

The following policies were approved at the executive level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the [criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting](#):

The Board of Regents shall approve the following criteria to determine if a university policy requires Board approval:

- The policy identifies a major university strategic initiative;
- The policy involves the Board's fiduciary responsibilities;
- The policy is associated with an issue of significant risk; and/or
- The policy must be approved by the Board for legal and compliance purposes

The criteria will be interpreted by the President, who may seek consultation from General Counsel or other university administrators. All new or revised university policies that meet the above criteria will be submitted to the Board for approval.

Copies of these policies are available upon request.

FIRE AND LIFE SAFETY

This policy encourages fire and life safety practices throughout the university in order to prevent fires, injuries, and loss of property. This policy replaces and retires the following existing policies: "Extension Cord Policy", "Fire Drill", "Hallway Storage", "Hot Work", "Indoor Flame", "Open Flame", "Open Outdoor Fire", "Tent Use", and "Utility Tunnel Access." Re-characterizing these existing policies as procedures referenced within this overall policy and maintained on NKU's Safety and Emergency Management website (<https://inside.nku.edu/safety/policies/fireandlifesafety.html>) creates a more efficient process when regulatory and operational changes dictate a change in fire and life safety practices.

OCCUPATIONAL SAFETY

This policy helps ensure that all NKU employees can work in an environment free of recognized hazards that may cause death or serious physical harm. This policy replaces and retires the following existing policies: "Confined Space", "Fall Protection", "Lockout-Tagout", "Personal Accident and Injury", "Personal Protective Equipment", "Vehicle Incident Reporting", "Vehicle Operation", and "Utility Cart Safety." Re-characterizing these existing policies as procedures referenced within this overall policy and maintained on NKU's Safety and Emergency Management website (<https://inside.nku.edu/safety/policies/occupationalsafety.html>) creates a more efficient process when regulatory and operational changes dictate a change in occupational safety practices.

POSITION CLASSIFICATION SYSTEM

The purpose of the Northern Kentucky University (NKU) staff positions classification system is to ensure the establishment of appropriate relationships between positions and their levels of compensation. This minor revision to the existing policy is for clarification, editing, and formatting.

**2019-2020 FACULTY DEVELOPMENT AWARDS:
PROJECT GRANTS**

Faculty Project Grants are awarded to encourage professional growth through financial support for independent research. The University recognizes the role of research as an integral part of professional activity of the faculty. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets. The following grants have been awarded for 2019-2020:

2019-2020 PROJECT GRANTS

Name	Department	Title
Dr. Bridget Nichols & Dr. Aron Levin	Marketing, Sports Business, & Construction Management	Using eye tracking technology to determine how millennials visualize and process information when making food choices
Dr. Christine Perdan Curran	Biological Sciences	Neurochemical and Toxicological Analyses Following Prenatal and Early Life Exposure to Traffic-Related Air Pollution
Dr. Alyssa Appelman	Communication	Written in code: How acronyms in news articles affect readers' perceptions and behaviors
Dr. Lauren L. Williamson	Biological Sciences	Aging and mild chronic inflammation increase anxiety and decrease memory in a rat model of normal aging
Dr. Justin Yates	Psychological Science	Elucidating the role of the NMDA receptor in the risky decision task (RDT)
Dr. Lindsey Walters	Biological Sciences	Does maternal incubation behavior influence embryo heart rate in eastern bluebirds?
Dr. Kim Breitenbecher & Dr. Kathleen Fuegen	Psychological Science	How exercise and the natural environment influence the experience of pain
Dr. James Walden	Computer Science	Evolution of Software Security in Core Infrastructure Projects
Dr. Jae-Seung Lee	Political Science, Criminal Justice, & Organizational Leadership	A survey of citizen participants in community policing (COP) program in two different regions: Examining the major driving force of citizen participation in COP
Dr. Robert Wilcox	History & Geography	Agriculture nationalism or 'imperial' science? El Sabio Moises S. Bertoni and Paraguayan Agricultural Development
Dr. Anh Dang	Marketing, Sports Business, & Construction Management	Goal Pursuit After Repeated Failures: The Role of Peer Success
Dr. Allyson S. Graf	Psychological Science	Developing Healthy Aging Educational Resources through Community Partnership and Experiential Learning Opportunities
Dr. Zeel Maheshwari	Physics, Geology, & Engineering Technology	Solar based smart outdoor lighting system at NKU
Kevin Munte	Visual Arts	Realist Portrait/Figure Painting Workshop

Tracy Songer	Communication	The many voices of Gary Burbank: A daughter's story about her dad that changed radio
Dr. Doug Feldmann	Teacher Education	Philosophical Underpinnings of Character Education Movements in American Public Schools
Dr. Gang Sun, Dr. Morteza Sadat, & Dr. Mauricio Torres	Physics, Geology, & Engineering Technology	Development of an advanced mechatronics laboratory: Hands-on programmable logic controller-based industrial automation training platform
Dr. Suk-hee Kim	Counseling, Social Work & Leadership	Micro-Credential Program Preparation Through Assessing Student Readiness to Work in Aging: Attitudes, Knowledge, and Skills

**2019-2020 FACULTY DEVELOPMENT AWARDS:
SUMMER FELLOWSHIPS**

The Summer Fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects, and to share the results of their efforts with the broader University community. The following fellowships have been awarded for summer 2019:

2019 SUMMER FELLOWSHIP

Name	Department	Title
Dr. Katherina Nikzad-Terhune	Counseling, Social Work, & Leadership	Examining the trauma experiences of dementia caregivers
Dr. Julie Olberding	Political Science, Criminal Justice, & Organizational Leadership	Converting public administration and student philanthropy research into scholarly journal articles
Dr. Alyssa Appelman	Communication	Numerical divide: Perceptual effects of math mistakes in news articles
Dr. Lauren Williamson	Biological Sciences	Aging and mild chronic inflammation increase anxiety and decrease memory in a rat model of normal aging
Marc Leone	Visual Arts, School of the Arts	Human Anatomy for Artists: Instructional Drawing Videos
Dr. Nicole Dillard	Political Science, Criminal Justice, & Organizational Leadership	Evaluation and implementation of intersectional qualitative research methods
Dr. David Raska	Marketing, Sports Business, & Construction Management	Improving student retention and on-time graduation through developing character strengths and career-readiness
Dr. Justin Yates	Psychological Science	Elucidating the role of the NMDA receptor in the risky decision task (RDT)
Dr. C. Collin Herb	Kinesiology and Health	Lower Extremity Biomechanics in Patients with Chronic Ankle Instability
Dr. Darrin Wilson	Political Science, Criminal Justice, & Organizational Leadership	Local Economic Diversity and Fiscal Health of Local Governments
Anthony Chavez	Law	Legal Incentives for Carbon Capture and Utilization
Dr. Tonya Krouse	English	Aesthetics and Obscenity
Dr. Robert Wilcox	History & Geography	Agriculture nationalism or 'imperial' science? El Sabio Moises S. Bertoni and Paraguayan Agricultural Development
Dr. Steven Gores	English	William T.H. Howe, "Freelands," Literacy Patronage, and the Buisness Literature in the 1920s and 1930s
Dr. Shahid Noor	Computer Science	Towards building a lightweight and efficient computing infrastructure for educational systems by integrating the docker/container in the Internet of Things (IoT)-based cloud platform
Tracy Songer	Communication	The many voices of Gary Burbank: A daughter's story about her dad that changed radio
Dr. Allyson Graf	Psychological Science	Community-Based Partnerships: Professional Development as a Bridge to Teaching, Scholarship, and Service Opportunities in the Local Aging Community
Dr. E. Kobena Osam	Political Science, Criminal Justice, & Organizational Leadership	An integrative literature review on employee engagement in higher education

RECOMMENDATION:

That the following academic affairs personnel actions receive Board of Regents approval:

ADMINISTRATIVE APPOINTMENTS:

Ms. Judith Daar, professor of law with tenure and dean of the Salmon P. Chase College of Law, effective July 1, 2019.

FACULTY APPOINTMENTS:

Ms. Gwenette Gaddis, advisor and lecturer in the Advising Center in the College of Health Professions, effective October 15, 2018.

Ms. Erin Masters, assistant professor in the Department of Accounting and Business Law, Haile/US Bank College of Business, effective August 12, 2019.

Mr. José Luis Saavedra Torres, assistant professor in the Department of Marketing, Sports Business, and Construction Management , Haile/US Bank College of Business, effective August 12, 2019.

Ms. Cathleen Wolff, Director of Advising in the College of Health Professions, effective November 01, 2018.

Mini Vitas Follow

TRANSITIONS:

Dr. Olugbemiga Ekundayo, from chair in the College of Health Professions to associate professor in the Department of Allied Health, College of Health Professions, effective August 28, 2018.

Mini Vita Follows

DEPARTURES:

Ms. Caroline Braden, lecturer in the Department of Political Science, Criminal Justice, and Organizational Leadership, College of Arts and Sciences, effective December 31, 2108.

Ms. Maren Carpenter Fearing, assistant professor of Visual Communication Design in the School of the Arts, College of Arts and Sciences, effective January 14, 2018.

Ms. Erma Jane Hammons, librarian in the W. Frank Steely Library, effective December 31, 2018.

RETIREMENT:

Ms. Jeanne Pettit, senior lecturer and director of First-Year Programs, effective December 31, 2018.

PHASED RETIREMENT:

Dr. J. Gaut Ragsdale, professor in the Department of Communication, College of Informatics, beginning fall semester 2017 and terminating at the end of the fall semester 2018.

Mr. Harold Wiebe, associate professor in the Department of Physics, Geology and Engineering Technology, College of Arts of Sciences, beginning spring semester 2019 and terminating at the end of fall semester 2020.

Mini Vitas Follow

TEMPORARY FACULTY APPOINTMENTS:

College of Arts and Sciences

Physics, Geology and
Engineering Technology

Dr. Souvik Sarkar

Spring 2019 Semester

Mini Vita Follows

MINI VITA

Name: Judith Daar

Title: Professor of Law and Dean

Education: J.D., 1984, Georgetown University

B.A., 1981, University of Michigan

Experience: 2018-present, Visiting Professor of Law, UC Irvine

2003-present, Clinical Professor of Medicine, UC Irvine

1990-2017, Professor of Law, Whittier Law School

1987-1990, Lecturer in Law, UCLA

1984-1987, Associate, Gibson, Dunn & Crutcher

MINI VITA

Name: Gwenette Gaddis

Title: Academic Advisor (non-tenure track, renewable)

Education: M.A. in English, 1994, Tennessee Technological University

B.A. in English, 1985, Belmont College

Experience: 2018-present, Academic Advisor, Northern Kentucky University, College of Health Professions

2015-2018, Academic Advisor, Oregon State University, College of Public Health and Human Sciences

2013-2015, Academic and Career Advisor, Indiana University, School of Public Health

2008-2010, Academic Advisor, Indiana University, Kelley School of Business

2004-2007, Academic Advisor and Coordinator of Undergraduate Programs, Indiana University, Department of Mathematics

MINI VITA

Name: Erin Masters

Title: Assistant Professor

Education: Ph.D. in Accounting, anticipated May of 2019, Virginia Commonwealth University

B.S. in Accounting, 2015, Virginia Commonwealth University

A.S. in Business & Business Administration, 2013, Thomas Nelson Community College

Experience: 2017-2018, Instructor, Virginia Commonwealth University

2014-2015, Tax Intern & Valuations Intern, Keiter

2014, Tax & Audit Intern, Wells Coleman

2012-2015, Accounts Receivable, Vogue Flowers & Wholesale

MINI VITA

Name: José Luis Saavedra Torres

Title: Assistant Professor

Education: Ph.D. in Marketing, anticipated May of 2019, Southern Illinois University
M.B.A. 1999, Instituto de Estudios Superiores de Administración
B.A. in Advertising and Public Relations, 1995, Universidad del Zulia

Experience: 2015-2018, Teaching Assistant, Southern Illinois University
2016-2017, Instructor, Southern Illinois University
2012-2015, Assistant Professor, Universidad del Zulia
2010-2015, Assistant Professor, Universidad Rafael Bellosó Chacín
2007-2015, Brand Manager, Sales Manager, Marketing Assistant, Panorama Newspaper
2004-2006, Marketing Coordinator, BECO
2003-2004, Junior Consultant, SRE Consulting
2001-2003, Regional Sales Manager, Toyota
1999-2001, Marketing Research Analyst

MINI VITA

Name: Cathleen Wolff

Title: Director of Advising, College of Health Professions (non-tenure track, renewable)

Education: M.Ed. in Higher Education, 2012, Loyola University Chicago
B.S. in Anthropology, 2007, Loyola University Chicago
B.A. in Classical Civilization, 2007, Loyola University Chicago

Experience: 2016-2018, Senior Academic Advisor, Loyola University Chicago
2013-2016, Academic Advisor, Loyola University Chicago
2008-2013, Registration Coordinator, Loyola University Chicago
2007-2008, Administrative Assistant, Loyola University Chicago

MINI VITA

Name: Olugbemiga Ekundayo

Title: Associate Professor

Education: Ph.D. in Public Health, 2006, Morgan State University

M.P.H., 2002, Morgan State University

M.D., 1995, United States Educational Commission for Foreign Medical Graduates

M.B.B.S. Medicine, 1978, University of Ìbàdàn College of Medicine

Experience: 2014-2017, Associate Professor and Research Director, Eastern Washington University

2007-2014, Associate Professor of Epidemiology, Jackson State University

2003-2006, Senior Research Associate, Morgan State University

1999-2004, Teaching Assistant, Morgan State University

1981-1997, Director of Clinical Services and Head of Family Medicine, Real Model Clinics

MINI VITA

Name: Jeanne Pettit

Title: Director and Senior Lecturer (non-tenure track, renewable)

Education: M.S. in College Student Personnel Services, 1985, Miami University

B.S. in Home Economics and Consumer Sciences, 1982, Child Care Services,
Miami University

Experience: 2014-present, Director of First-Year Programs, Northern Kentucky University

2005-2014, Associate Director of First-Year Programs, Northern Kentucky
University

2009-2010, Interim Director of First-Year Programs, Northern Kentucky
University

2003-2004, Administrative Assistant in Enrollment Management, Northern
Kentucky University

2002-2003, Director of Constituent Relations and Research, Cincinnati Country
Day School

1998-2002, Assistant Director of Capital Giving, Cincinnati Country Day School

1994-1998, Development Office Administrative Assistant, Cincinnati Country
Day School

1991-1994, Assistant Director of Residential Life, Northern Kentucky University

1989-1991, Director of Housing, Hanover College

1986-1989, Residence Hall Director, Xavier University

1985-1986, Residence Hall Director, Syracuse University

MINI VITA

Name: J. Gaut Ragsdale

Title: Professor

Education: Ph.D. in Communication, 1982, Indiana University

M.A. in Speech, 1979, University of Tennessee

B.S. in Management, 1972, University of Tennessee

Experience: 2017–2018, Professor of Communication (Phased Retirement Status), Northern Kentucky University

2013–2017, Professor of Communication, Northern Kentucky University

2008–2013, Associate Dean, College of Informatics, Northern Kentucky University

2001–2008, Chair of the Department of Communication, Northern Kentucky University

1987–2018, Professor of Communication, Northern Kentucky University

1982–1987, Assistant Professor of Speech Communication, Louisiana State University

MINI VITA

Name: Harold Wiebe

Title: Associate Professor

Education: M.S.E.E. in Electrical Engineering, 1966, University of Cincinnati
B.S.E.E. in Electrical Engineering, 1964, University of Cincinnati

Experience: 2001-2018, Associate Professor, Northern Kentucky University
1995-2001, Assistant Professor, Northern Kentucky University
1994, Adjunct Instructor, Raymond Walters College, University of Cincinnati
1994, Adjunct Instructor, Miami University Hamilton
1994, Consultant, A-Flame Corporation
1993-1998, Part-Time Teacher & Technical Writer, SENCO Fastener Systems
1993-1995, Part-time Faculty, Northern Kentucky University
1993, Consultant, OHMART Corporation
1992-1995, Adjunct Instructor, Clermont College, University of Cincinnati
1992-1994, Interim Manager, BELCAN Engineering Group
1987-1995, Adjunct Instructor, Cincinnati State Technical and Community College
1982-1987, Adjunct Instructor, Southern Ohio College
1960-1992, multiple positions – final position was Hardware Development Manager, Cincinnati Milacron

MINI VITA

Name: Souvik Sarkar

Title: Lecturer (non-tenure track, temporary)

Education: Ph.D. in Philosophy, Physics, 2018, University of Cincinnati

B.S. in Physics, 2012, Chennai Mathematical Institute, Chennai, Tamil Nadu

Experience: 2018-present, Lecturer of Physics, Northern Kentucky University

2017-2018, Floating Teaching Assistant and Lab Instructor, University of Cincinnati

2014-2017, Recitation Instructor, University of Cincinnati

2013-2017, Lab Instructor, University of Cincinnati

2012-2018, Physics Tutor, University of Cincinnati

RECOMMENDATION:

That the attached non-academic personnel actions receive Board of Regents approval.

BACKGROUND:

The following categories of non-academic personnel actions which occurred between October 16, 2018 and December 3, 2018 require approval by the Board of Regents:

1. Activations/Rehires
2. Reassignments, Reclassifications, Title/Status Changes, Promotions
3. Transfers
4. Contract/Temporary/Student to Regular & Regular to Contract
5. Departures
6. Retirements
7. Administrative/Executive

ACTIVATIONS/REHIRES
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	EFF. DATE
Brown, Sharlene	Budget Office	Senior Budget Analyst	10/31/2018
Campoamor, Jeffrey	Roads & Grounds	Heavy Equipment/Grounds Operator	11/16/2018
Dowden, Lynn	College of Arts & Sciences	Advisor, Arts & Sciences	10/15/2018*
Drishel, Katelynn	PP – CRC Facility Management	Custodian	11/13/2018
Duell, Barbara	Testing Services	Specialist	11/19/2018
Harris, Crystal	PP – Custodial Services	Custodian	10/29/2018
Hayes, Bryan	Institute for Health Innovations	Specialist	11/01/2018
Mayo, John	University Housing	Associate Director	11/26/2018
Panek, Jacob	Office of Admissions	Specialist, Transfer Evaluation	11/12/2018
Panko, Bryan	University Police - Field Operations	Public Safety Officer	10/29/2018
Schaade, Pamela	PP - CRC Facility Management	Custodian	10/24/2018
Sinclair, Javance	Office Student Conduct, Rights/Advocacy	Assistant Director, Student Conduct	11/05/2018
Wallace, Rebecca	PP – Auxiliary Housing Facilities Mgmt.	Custodian	11/27/2018
Williams, Scott	IT – Information Technology Central	Senior Systems Analyst	11/05/2018
Wolterman, Kenneth	Facilities Management	Business Manager, Facilities	12/03/2018

REASSIGNMENTS, RECLASSIFICATIONS, TITLE/STATUS CHANGES, PROMOTIONS
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	STATUS	EFF. DATE
Blasingame, Angela	Student Financial Assistance	Team Leader	Promotion	11/26/2018
Craun, Emily	Teacher Education	Academic Coordinator	Promotion	10/23/2018
Flora, Adam	IT – Infrastructure & Operations Group	Lead Technology Support Specialist	Promotion	11/05/2018
Shanks, Wayne	IT – Information Technology Central	Project Manager, Enterprise Projects	Promotion	10/22/2018
Smiddie, Jaymee	Office of Admissions	Coordinator, Customer Srv & Evts.	Promotion	11/19/2018

TRANSFERS
10/16/18 – 12/03/18

NAME	PREVIOUS DEPARTMENT	NEW DEPARTMENT	TITLE	EFF. DATE
McNeal, Kimumekia	Enrollment Management	Student Financial Assistance	Specialist	10/24/2018
Zippin, Angela	Disability Programs & Services	Student Affairs	Title IX Investigator	11/12/2018

CONTRACT/TEMPORARY/STUDENT TO REGULAR & REGULAR TO CONTRACT
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	STATUS	EFF. DATE
Share, Felicia	Civic Engagement/NonProfit Capacity Bldg.	Administrative Secretary	Contract to Regular	10/01/2018*

DEPARTURES
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	EFF. DATE
Al-Serhan, Serhan	Institutional Research	Analyst, IR	11/13/2018
Cox, Candace	PP – Custodial Services	Custodian	10/17/2018
Hall, Ashley	PP – Custodial Services	Custodian	11/14/2018
Jenkins, Kelly	PP – Custodial Services	Custodian	11/01/2018
Jett, Austyn	PP – Auxiliary Housing Facilities Mgmt.	Custodian	10/04/2018*
Johnston, Carmen	PP - CRC Facility Management	Custodian	10/04/2018*
Maldonado, Diane	Inclusive Excellence	Asst. to the Senior Advisor to President, IE	10/07/2018*
Pearson, Nicholas	IT – Information Technology Central	Senior Project Manager	10/24/2018
Seal, Mary	Early Childhood Center	Coordinator – Child Care	10/14/2018*
Smith, Bethany	Office of the University Registrar	Coordinator, Undergraduate Catalog	11/03/2018
Spong, Melinda	Community Connections	Director of NKU Connect Center	11/01/2018
Warren, Michael	PP - CRC Facility Management	Custodian	10/04/2018*
Wilson, Audrey	Early Childhood Center	Interim Director, Early Childhood Center	10/14/2018*
Zalman, Matthew	Norse Advising	Advisor, Undergraduate Programs	11/10/2018

RETIREMENTS
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	EFF. DATE
Herbst, Larry	Roads & Grounds	Heavy Equipment/Grounds Operator	11/01/2018

ADMINISTRATIVE/EXECUTIVE
10/16/18 – 12/03/18

NAME	DEPARTMENT	TITLE	REASON	EFF. DATE
Lanter, Rebecca	Operation & Maintenance	Director	Promotion	10/15/2018*

***Not on previous report**

RECOMMENDATION:

The Board of Regents officially hereby accepts contributions totaling **\$607,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period August 1, 2018 through November 30, 2018 per the below list.

BACKGROUND:

At the March 12, 2014 Board Meeting, a major gift policy was approved by the Regents raising the level of major gifts submitted for review and acceptance by the Board to \$25,000. Contributions of \$25,000 or more for the period 08/1/18 through 11/30/18 are itemized below.

Contributions of \$25,000 or More (08/01/2018 - 11/30/2018)				
Donor Name	Gift Date	Gift Designation	Gift Amount	Gift Type
Thomas J. and Margaret A. Munninghoff	11/20/2018	Men's Basketball Excellence Fund	\$50,000	Cash
Edwards Initiative	10/18/2018	Portfolio Financial Literacy Project & Psychology Dept., College of Arts & Sciences	\$27,000	Cash
Julie and David Schneider Charitable	10/18/2018	NKU Observatory	\$25,000	Cash
Kevin G. and Bo-Kyung K. Kirby	11/14/2018	International Informaticist in Residence, College of Informatics	\$25,000	Pledge
Kroger Company Foundation	10/31/2018	Renovation, equipment, food and supplies for NKU FUEL	\$265,000	Cash and commitment of in-kind contributions
Anonymous Donor	10/30/2018	Chase Scholarships and Awards; NKU Scholarships	\$175,000	Planned Gift
Deanne Hengge	8/29/2018	Robert B. Hengge Scholarship	\$40,000	Pledge
		TOTAL	\$607,000	

RECOMMENDATION:

The Board of Regents hereby approves the following naming actions:

- (1) The naming of a fund to provide mission support to the Research and Education Field Station. “Paul and Mary Beth Stegeman Fund for the Research and Education Field Station”
- (2) The naming of a scholarship to provide support for incoming freshmen with a cumulative high school GPA of 3.0 who exhibit financial need. “Robert B. Hengge Scholarship”
- (3) The naming of a food pantry and additional in-kind contributions “FUEL NKU and Kroger Zero Hunger/Zero Waste food pantry”
- (4) The naming of a scholarship to provide support for a first-year Honors College student recognized as having produced the best research paper written for an Honors course during their first year as an Honors student. “Robert and JoAnn Brinkman Scholarship”
- (5) The naming of an endowed scholarship for Chase College of Law students who are Northern Kentucky residents. The scholarship is awarded on the basis of academic achievement and financial need. “James R. Poston, Sr. and Ryan Carter Poston Endowed Scholarship”
- (6) The naming of a fund for travel and local lodging expenses for international faculty to serve as Informaticists in Residence in the College of Informatics. “International Informaticist in Residence in the College of Informatics”
- (7) The naming of an endowed scholarship to provide support for students at Chase College of Law who demonstrate high academic promise. “Justice Wil Schroder Endowed Scholarship”
- (8) An endowed scholarship for students attending Chase College of Law. The scholarship will be awarded on the basis of academic achievement and interest in litigation, with a preference for graduates from Eastern Kentucky University. “Sanders School of Trial Practice Endowed Scholarship”

BACKGROUND:

Naming actions in connection with private gifts are governed by NKU Administrative Regulation-II-4.0-2, section 2.2. NKU’s Naming Policy provides for naming opportunities in consideration of a major contribution to the university. The policy allows flexibility in determining the level of contribution appropriate for each naming action, enabling each gift to be judged on its own merit.

After careful consideration by university officials and unanimous support by the University Naming Committee, it was recommended to offer the following naming recognitions.

- (1) The university has received a gift to create a fund to provide mission support for the Research and Education Field Station. Preferred uses shall include: community programming; programming for schools and students in grades pre-kindergarten through twelfth grade; support for the position of a facilities manager/docent; faculty and student research; student scholarships, stipends and awards; and operations and equipment.

Donors: Paul and Mary Beth Stegeman
Naming Gifts: \$500,000 planned gift

Naming Recognition: Paul and Mary Beth Stegeman Fund for the Research and Education Field Station

Mr. Stegeman is a 1981 graduate from the College of Business. He is a Navy Veteran and spent his career working with Cincinnati Bell. Mr. and Mrs. Stegeman have been married for over 45 years.

(2) The university has received a memorial gift to provide scholarship support for incoming freshmen with a cumulative high school GPA of 3.0 who exhibits financial need. The scholarship can be renewed for three additional years provided the student maintains a minimum cumulative 2.75 GPA and continues to demonstrate financial need.

Donor: Deanna Hengge
Naming Gifts: \$40,000
Naming: Robert B. Hengge Scholarship

Mr. Hengge was a 1979 graduate from the College of Arts & Sciences who passed away on May 1, 2018. He spent his career in banking at U.S. Bank and his wife, Deanna, named this scholarship in his honor.

(3) The university has received a major gift to name the food pantry with additional in-kind contributions of refrigeration equipment, shelving and supplies, food and other miscellaneous items required to stock the pantry for an estimated six (6) month period.

Donor: The Kroger Foundation, Inc. and The Kroger Company, Inc.
Naming Gift: \$265,000 in both cash and in-kind contributions
Naming: FUEL NKU and Kroger Zero Hunger/Zero Waste food pantry

The Kroger Co. is the United States' largest supermarket chain by revenue. The company, headquartered in Cincinnati, is on a mission to end hunger and eliminate waste across the company by 2025.

(4) The university has received a gift to provide scholarship support for a first-year Honors College student recognized as having produced the best research paper written for an Honors course during the student's first year as an Honors College student.

Donor: James Buss and Cara Leanne Wood
Naming Gift: \$25,000
Naming: Robert and JoAnn Brinkman Scholarship

Mr. and Mrs. Brinkman are the grandparents of Dr. James Buss, the founding dean of the Honors College. All of Mr. and Mrs. Brinkman's grandchildren were first-generation college students. Mr. Brinkman was a World War II Veteran and a millwright for General Motors. He passed away at age 90. He and his wife JoAnn had six children.

(5) The university received memorial contributions from the family and friends of the late James R. Poston, Sr. and the late Ryan Carter Poston to create a Chase College of Law scholarship in their memory. The scholarship is for Chase students who are Northern Kentucky residents and is awarded on the basis of academic achievement and financial need.

Donor: Multiple contributions

Naming Gift: \$23,398 as of 9/30/2018

Naming: James R. Poston, Sr. and Ryan Carter Poston Endowed Scholarship

Mr. Poston, who was a 1951 Chase graduate, died in April 2005. He was a retired senior counsel at the Cincinnati Gas & Electric Co. In October 2012, Mr. Poston's grandson, Ryan Carter Poston, died tragically. Mr. Ryan Poston was a 2008 Chase graduate and practiced law in Cincinnati and Northern Kentucky with offices in downtown Cincinnati.

(6) The university received a major gift to provide travel and local lodging expenses for international faculty to serve as Informaticists in Residence in the College of Informatics. International Informaticists in Residence will spend at least two weeks in residence at NKU engaging with NKU students and faculty. Preference will be given to international faculty from developing countries.

Donor: Kevin and Bokyoung Kirby

Naming Gift: \$25,000

Naming: International Informaticist in Residence Program

This program was made possible by a generous gift from Dr. Kirby, dean of the College of Informatics, and his wife, Bokyoung.

(7) The university received a major gift to provide scholarship support for students in Chase College of Law who demonstrate high academic promise.

Donor: Susan Schroder

Naming Gift: \$30,000

Naming: Justice Wil Schroder Endowed Scholarship

In August 2018, Mrs. Schroder made a gift to Chase College of Law to create an endowed scholarship fund in memory of her husband, retired Kentucky Supreme Court Justice Wil Schroder. Justice Schroder died in October 2013.

(8) The university received a major gift to be awarded as a scholarship to students on the basis of academic achievement and interest in litigation, with a preference for graduates of Eastern Kentucky University.

Donor: Robert E. Sanders

Naming Gift: \$25,000

Naming: Sanders School of Trial Practice Endowed Scholarship

Mr. Sanders is the founder of The Sanders Law Firm, PSC, in Covington, Kentucky, and his wife, Shirley Sanders, is a nurse-paralegal with the firm. For nearly 50 years, Mr. Sanders tried major state and federal court cases as a felony prosecutor, criminal defense attorney, and plaintiffs' personal injury lawyer. During that time, he provided clerkships for many law students from Chase and other schools. Mr. Sanders' law clerks learned trial skills firsthand through his "Sanders School of Trial Practice."

RECOMMENDATION:

That the following sabbatical leave recommendations for the 2019-2020 academic year receive Board of Regents approval.

BACKGROUND:

Sabbatical leaves are granted by the University to promote the professional growth and effectiveness of the faculty. Sabbatical leaves enable the recipients to devote full time to scholarly activity and research, advanced study, or artistic performance in pursuit of academic objectives.

2019-20 SABBATICALS

Applicant	Department	Title	Term Requested
Ms. Ursula Tracy Doyle	Salmon P. Chase College of Law	Patricia Roberts Harris: An American Icon	Full year
Dr. Abdullah Al-Bahrani	Economics & Finance	Measuring Financial Literacy Education and Financial Behaviors.	Full year
Dr. Ryan Salzman	Political Science, Criminal Justice, & Organizational Leadership	Pop-Up Civics: The Rebirth and Redefinition of Place in 21st Century Politics	Full year
Dr. James Walden	Computer Science	Evolution of Software Security in Core Infrastructure Projects	Full year
Dr. Christopher Gulinello	Salmon P. Chase College of Law	Innovative Law School Texts	Full year
Dr. Kristine Yohe	English	Reckoning with the Past: The Historical Poetry of Frank X Walker	Fall 2019
Dr. Michael Bush	Political Science, Criminal Justice, & Organizational Leadership	Locked or Loaded: The Storage of Firearms in America	Fall 2019
Dr. Sharyn Jones	Sociology, Anthropology, & Philosophy	Consuming the Past: The Archaeology of Food in Fiji and Oceania	Fall 2019
Dr. Rebecca J. Bailey	History & Geography	"To Make Plain the Issue": The Life and Work of Mine War Journalist Winthrop D. Lane	Spring 2020
Dr. Debra Meyers	History & Geography	Gender, Love, and Religion in the Early Chesapeake	Either Fall 2019 or Spring 2020
Dr. Robin M. Bartlett	Psychological Science	Career Planning for Psychology Majors: Manuscript Preparation and Exploration of Related Research	Spring 2020

Dr. Kimberly Weir	Political Science, Criminal Justice, & Organizational Leadership	Seeking Sustainability in a Global Political Economy	Spring 2020
Dr. Greg Hatchett	Counseling, Social Work, & Leadership	Prospectus for a Textbook Titled: Treatment Planning for Clinical Mental Health Counselors	prefers Fall 2019
Dr. Kebede Gemene	Chemistry & Biochemistry	Novel Electrochemical Sensors for Biomedical and Clinical Applications	Spring 2020
Dr. Bianca Prather- Jones	Teacher Education	Identifying and Improving the Information Literacy Skills of Teacher Candidates	Spring 2020
Ms. Corrie Danieleley	SOTA/Theatre and Dance	Somatic Movement Training for Actor Health in Class and Career	Spring 2020
Mr. Chris Strobel	Communication	Media Aesthetics Instructional Media	Either Fall 2019 or Spring 2020
Dr. Joe Nolan	Mathematics & Statistics	Conversion of Statistics in Sports Undergraduate Research Projects into Submissions for Publication	Spring 2020
Dr. Alain A. Krapf	Economics & Finance	Corporate Foreign Exchange Exposure and Bankruptcy-An Empirical Analysis of U.S. Firms	Spring 2020