



Northern Kentucky University
Board of Regents Materials

June 12, 2024

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AGENDA
Northern Kentucky University
Board of Regents Meeting
NKU, Student Union 107A – Wednesday, June 12, 2024 – 9:00 a.m.
As a courtesy, the meeting will be live streamed for all guests.

This meeting will be conducted as a video teleconference pursuant to KRS 61.826.

Members of the public may attend the meeting either in person at the location described above
or via live stream at this link: <https://inside.nku.edu/president/communication/streaming.html>

9:00 a.m.

- I. **Call to Order**
- II. **Roll Call**
- III. **Approval of Minutes**
 1. Approval of March 12, 2024 Board Minutes
- IV. **Committee Reports**
 1. Executive Committee Report-out (Boehne)
 2. Budget & Finance Committee Report-out (Zapp)
 3. Student Success & Academic Affairs Committee Report-out (Himes)
 4. Audit Committee Report-out (Boehne)
- V. **President’s Report** (Short-Thompson)
- VI. **Information Items**
 - A. University Reports & Discussion
Annual Operating Budget

Action Items (Non-Consent Agenda Recommendations)
 1. Tuition & Fees
 2. Annual Operating Budget (Calvert)
 - B. Presidential Reports & Discussion
 1. Facilities Management Report (Calvert)
 2. NKU Capital Update Report (Calvert)
 3. Research/Grants/Contracts Report (January 1, 2024 through March 31, 2024) (McGill)
 4. Fundraising Report FY24 (July 1, 2023, through April 30, 2024) (Gentry)
 5. Policies Report (Garber)
 6. Quarterly Financial Report (Calvert)
 7. Organizational Changes Report (Calvert)
 8. Athletics Annual Report (Roybal)
- VII. **Consent Agenda**
 - C. Presidential Recommendations
 1. *Academic Affairs Personnel Actions
 2. *Non-Academic Personnel Actions
 3. *Faculty Emeritus Status (Day, Garns, Martin, Li)
 4. *Regents Professorship
 5. *Organizational Chart
 6. *Bucks for Brains
 7. *Major Gifts Acceptance
 8. *Naming Recommendations
 9. *LEED Exemption for Herrmann Science Center Expansion
 10. *Capital Projects above \$1M
 11. *Faculty Handbook Amendment (1.3 NTTR, 1.4 NTTT, 1.7.2 Visiting Faculty)
 12. *Faculty Handbook Amendment (11.4.4. Evaluation)
 13. *Board of Regents Regular Meeting Schedule for Calendar Year 2025

D. Board Recommendations

1. Finance & Transactions Policy and Policy on Policies
2. Title IX Policy

VIII. New Business/Open Discussion

IX. Executive Session

X. Adjourn

**Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Tammy Knochelmann in the Office of the President, (859) 572-5191, by 2 p.m., Monday, June 10, 2024).*

Persons or groups wishing to address the Board must submit a public participation request form to Tammy Knochelmann (knochelmann@nku.edu) in the Office of the President by 12:00 p.m., Friday, June 7, 2024). Requests will be taken on a first-come, first-served, basis.

**MINUTES OF THE REGULAR MEETING OF THE
BOARD OF REGENTS OF
NORTHERN KENTUCKY UNIVERSITY
Tuesday, March 12, 2024**

In Open Session

Call to Order: Regent Rich Boehne, Chair, called the regular meeting of the Board of Regents to order at 9:01 a.m., Tuesday, March 12, 2024.

Roll Call: Rich Boehne, Cori Henderson, Isaiah Phillips, Nathan Smith, Sandra Spataro, André Ward, Brad Zapp, Kara Williams
Absent: Ashley Himes, Ken Perry

Quorum present.

Approval of Minutes

Regent Cori Henderson seconded Regent André Ward's motion to approve the minutes of the January 17, 2024 Board of Regents meeting. **(Motion carried)**

Committee Meeting Summaries

Regent Brad Zapp, Chair of the Budget and Finance Committee, provided a summary of the February 29, 2024 committee meeting.

Regent André Ward, Member of the Student Success and Academic Affairs Committee, provided a summary of the February 27, 2024 committee meeting.

President's Report

I am delighted to provide updates about the overall positive momentum of this university and a few particular achievements of our faculty, staff, and students that are worthy of our recognition and praise. We are making progress on many fronts but let me begin this morning by sharing that we are moving forward quickly in areas of presidential priority. As you know, I declared enrollment management, finances, team building, and modernization as critical efforts. Here are several updates, beginning with a few enrollment highlights.

Our Adult and Transfer Center continues to make impressive gains with transfer enrollment for Spring 2024 up by 48% or 136 students. We are seeing the biggest increases with our KCTCS partners where our transfer advisors have been building connections with students, staff, and faculty. As of February 2024, we have three NKU transfer advisors with satellite offices at Gateway Community and Technical College, Cincinnati State Technical and Community College, and Ivy Tech Community College.

Next, in an effort to enhance the accessibility and efficiency of its admissions process, NKU is proud to announce the implementation of a new Direct Admit Program. This innovative approach aims to expand access to higher education in the region by simplifying the enrollment process for prospective students, providing them with a straightforward pathway to securing their spot at the NKU. NKU will be the first public university in the Tri-State and Commonwealth of Kentucky to offer direct admission. Under the Direct Admit Program, qualified students will have the opportunity to bypass the application process and application fee and receive a direct offer of admission to NKU based on predetermined criteria. The program will launch on August

1, 2024 for students considering enrollment to NKU in the fall of 2025. High school students with a GPA above a 2.75 will be contacted about their direct admission into NKU, at which time they can begin the formal enrollment process.

And our Strategic Enrollment Management planning task force work has begun. Co-led by Abdou Ndoeye and Ryan Padgett, this multi-constituent group will work on a 3-year plan with the first year's plan due in July.

Next and relatedly, I want to share a few financial updates. FY 24 is wrapping up well, thanks to sensible spending and solid projections for our enrollment targets. I ask all of our university budget managers to continue to exercise prudence and restraint as we approach the end of this fiscal year. I simply request that you save wherever you can in order for the university to balance our budget for this fiscal year. Moving forward to next year's budget, the cabinet and I have been engaged in efforts to build budget assumptions in order for a balanced budget in FY25. As I speak, our budget team is working through the various units' budget submissions to build the first draft of the budget. You will hear more from Karen Mefford in her presentation this morning about our budget assumptions and status. As you'll learn from her, we are still working to close the gap, but we are getting there!

And I am pleased to welcome Chris Calvert, our new CFO and Vice President of Administration and Finance, to our meeting today—he begins his tenure with us on Thursday. We are happy to have you headed our way, Chris. And special thanks to Barry Kienzle and Karen Mefford who have served as interim VP and CFO respectively—we couldn't ask for better NKU alums and colleagues than Barry and Karen.

And I am pleased to share a couple of updates from our Institute for Health Innovation (IHI)--renovations will be starting soon at the Grant County facility to create skills and practice labs for our new rural Bachelor of Science in Nursing program we are launching there. We are excited to help to build the health care workforce in Grant County and their neighboring counties, thanks to external funding opportunities.

For those with tweens and teens in the house, the IHI will run a full complement of pre-collegiate camps for middle and high school youth—grades 6-12-- on NKU's campus this summer. Camp Norse will offer a mix of day and overnight opportunities, introducing students to in-demand careers and a taste of college life. Over 400 campers can participate in one-week themed camps between June 17 – August 16.

Across campus, there's an upcoming change in our early childhood center. We recently learned that our vendor, the ELC, will not renew their contract with us and will leave at the end of May. As many of you know, childcare staffing has been a considerable challenge since Covid, yet we are now working quickly to prepare and release an RFP with the hopes of securing another suitable learning center care vendor to operate at NKU as soon as possible.

On another note, I am pleased to share that we were recently recognized as the 9th safest campus in the United States alongside universities like Princeton and Purdue. We are fortunate to have our NKU police officers, regional agencies, and our campus community who take great care of one another.

Now, I'd like to turn to offer a few highlights of truly exceptional faculty from our colleges. In addition to voting upon their reappointment, tenure, and promotion of select faculty members, I wanted you to learn about a few of our stars. First, from the College of Arts and Sciences, Professor Kelly Moffett has been selected for a Fulbright U.S. Scholar award to Poland for next year. Professor Moffett will be based at the University of Warsaw in the Department of North American Cultures and Literatures assisting with the development of a creative writing program in English and teaching courses in creative writing and American literature. The Fulbright Program is devoted to increasing mutual understanding between the people of the United States and the people of other countries. Approximately 800 awards are provided annually to more than 135 countries—it is a noteworthy honor to be named a Fulbright Scholar.

Second, NKU continues to maintain an outstanding biomedical research program with extensive faculty and student involvement. NKU leads the State in National Institutes of Health R15 funding with a continuing cycle of submissions from both junior faculty seeking their first R15 award to more senior faculty competing for their 2nd, 3rd, or 4th award. Indeed, only three faculty in the KY INBRE (IDeA Networks of Biomedical Research Excellence) have reached the milestone of receiving 4 NIH research grant awards, and two of those three faculty members are at NKU—Dr. Mark Bardgett & Dr. Chris Curran. They are amazing! NKU is clearly meeting the important goal of the NIH INBRE program to develop a sustainable biomedical research program, involving students, which is competitive for NIH funding.

Third, Dr. Eric Jackson, Associate Dean and Professor of History and Black Studies was awarded the 2023 Thomas D. Clark Medallion Award for his book “An Introduction to Black Studies.” Connecting the growth and impact of Black studies to the broader context of social justice movements, the book emphasizes the historical and contemporary demand for and importance of Black studies.

And fourth, from the College of Informatics, Dr. Maureen Doyle was elected last month as co-chair of the influential global Joint Steering Committee on Undergraduate Data Science. This is a leadership role for the most prestigious computing, statistics, and mathematics organizations in the world. Dr. Doyle was an architect of NKU's Data Science Bachelor's program, one of the first in the US when launched in 2013, and the first in the world to earn ABET accreditation in 2022.

And we simply must recognize and celebrate some of our outstanding students and their successes. First, the NKU student newspaper *The Northerner* has won the 2024 Jon Fleischaker Freedom of Information Award. It only goes to one student publication. The *Northerner* also won 14 additional awards at the Kentucky Press Association convention, including three first place, seven second place and three third place honors. These awards are indicative of how well the *Northerner* has been advised by Professor Michele Day and given her May retirement from NKU, show that she's ending on a high note with her student journalists.

In addition, the College of Informatics hosted 17 graduate students from St. Andrews University in the former Soviet republic of Georgia for two weeks at NKU as part of our joint Master of Science program in Cybersecurity. They are part of a cohort of Georgian public sector professionals awarded full-ride scholarships by the Georgian Orthodox Church, to help build up the country's cyber defenses. The week prior to the visit, Dean Kevin Kirby and Executive

Director Francois LeRoy traveled to Washington DC to meet with US State Department officials from the Bureau of Democracy, Human Rights, and Labor, who emphasized the importance of this NKU program for the foreign policy goals of the United States.

I am pleased to report that the Haile College of Business recently achieved new heights in the U.S. News and World Report Rankings. The online Master of Accountancy program is ranked No. 71 in the country. This is the first time the Master of Accountancy program has made the list. The online Master of Business Administration (MBA) program is ranked No. 102. This is the fourth consecutive year the program has been ranked among the top programs in the nation. Finally, the online MBA program has been ranked No. 62 among MBA programs for veterans.

And on March 15, our colleagues from the Chase College of Law will visit the United States Supreme Court to witness 25 alumni being sworn in to practice before the High Court bar. This marks Chase's 10th trip to the Supreme Court for a swearing-in ceremony, which is often accompanied by a visit with the Chief Justice and Associate Justices on the Supreme Court.

And finally, I will conclude with some athletics updates:

- On January 20, we recognized coaching legends Nancy Winstel and Ken Shields at halftime of the women's and men's games by hanging up banners in Truist Arena honoring them as all-time winningest coaches.
- In women's basketball, Carter McCray received the Horizon League Freshman of the Year recognition and Khamari Mitchell-Steen joined Carter as an All-League honoree.
- Just last week, NKU's baseball program had an historic series win beating Missouri two games to one in the program's first ever series win over a Power Five institution.
- And this weekend, NKU's softball program won its second ever game over the University of Michigan, a Power Five institution.
- In men's basketball, Trey Robinson was named Horizon League Defensive Player of the Year, Marques Warrick received the Sportsmanship Award, and Randall Pettus II was recognized on the league's All-Freshman Team.
- A true testament to how remarkable of a player and person he is, Marques Warrick donated \$2,067 to the NKU scholarship fund which represented a dollar for every point it took to break the school's all-time scoring record. He also donated to the Queen City Book Bank supporting their efforts to increase literacy in the Cincinnati & Northern Kentucky area.
- And of course, the Men's basketball team advanced to the Horizon League semifinal game last night after a thrilling overtime win over Wright State last week. And last night's semifinal game in Indianapolis was exciting to watch. At this season ended, I felt proud of our men's basketball team—a terrific group of athletes, students, and good sports. I can't wait until next season.
- And finally, speaking of upcoming seasons and related to the new Varsity sports being introduced next year, we recently hired our first stunt head coach, Shayla Myles-Aaron. She is no stranger to NKU as she is a two-time NKU alumna and has led the NKU cheerleading program for the last 14 years. Shayla hit the recruiting trail immediately.

- The searches for the head triathlon, swimming, and men’s volleyball coach positions are nearing completion. Students who are interested in these new sports have been applying to NKU as we wrap up the search for our new coaches. Before long, they’ll be headed our way to learn and compete at NKU!

This report conveys a tiny fraction of the incredible work we’re doing here at NKU. Every day, our faculty, staff, and students work hard and stay on mission. I am really proud of their achievements and the progress we are making together. NKU is accelerating its positive momentum, and I couldn’t be happier to be a part of it.

University Reports:

1. FY 25 Budget Assumptions

Karen Mefford, Associate Vice President of Financial Services and Interim Chief Financial Officer, provided an update on the FY25 budget. After \$5 million in reductions in FY24 and an additional \$1.8 million in instructional cost reductions identified as part of year 2 of the repositioning plan the starting point for the FY25 budget was a shortfall of \$2.8 million.

Revenue sources are being reviewed. In person enrollment continues to decline while accelerated online (AOL) enrollments increase. A tuition increase of 2% will be proposed in June. Along with a 5.9% housing and board increase. In addition, we anticipate that NKU will see an increase in state appropriations.

NKU assumes an increase in the following expense categories for FY25; utilities, contracted services (due to the growth in AOL), debt service, and costs related to the investment in the athletic sports expansion.

NKU will continue to work to identify areas of cost containment as well as revenue enhancements. The University has prepared a 2% budget reduction model that will be used to bridge the budget gap if needed. The final budget will be presented in June. The Office of Budget, Financial Planning, and Analysis will continue to collaborate with the University community to build a balanced budget for FY25.

2. Science Center Expansion Rationale

Syed Zaidi, Assistant Vice President for Facilities Management, Bethany Bowling, Interim Dean of Arts & Sciences; Dr. Diana McGill, Provost and Executive Vice President; and Mary Paula Schuh, Sr. Director for Planning, Design & Construction provided an overview on the background for, and design progress of, the Science Expansion project. The University received \$79.9 million in state appropriated funding in the 2022 legislative session to expand the Dorothy Westerman Herrmann Science Center.

The project includes much-needed new space for the Engineering Technology program, currently located in the Business Academic Center, as well as limited renovations and capital renewal in the existing building. Highlights include new Anatomy & Physiology labs, 9,000 square feet of new or renovated research space, and a new K-12 Outreach Center. Renovations in the existing building include modernization of classrooms for today’s active learning styles, in the process reducing the number of classrooms by one.

This project has been in the planning pipeline since completion of the Science Center in 2002, when due to significant budget challenges during design, the building size was scaled

back. The 2020 Master Plan identified expansion of Science as the top priority project for the university, and an enabling for subsequent priorities.

NKU has less natural science space than other KY regional institutions and less research space than all but 3 of its 26 SACS and national benchmarks. Creating additional research labs in other campus buildings was determined to be cost in-effective due to the need to duplicate sophisticated systems and research support equipment already in place in the Herrmann Science Center.

The 83,000 gross square foot (GSF) addition is three levels with a mechanical penthouse; the existing 167,000 GSF Science Center is four levels with a penthouse. The building has a glass and composite metal panel façade resulting in light-filled interiors. Site development is extensive, and includes a terrace on the west side, a “learning commons plaza” on the north, and a “machine pavilion” to the east.

Early site work will begin this summer and construction is scheduled to start in fall of 2024. Project completion is expected in summer 2027.

Presidential Reports

1. **Facilities Management Report** (Interim Vice President for Administration & Finance Barry Kienzle)
 - a. Herrmann Science Center Expansion
 - b. Fine Arts Floor Heaving/Corbett & HVAC (AP)
 - c. Nunn Hall Floor Heaving/Restrooms and HVAC Renewal (AP)
 - d. New Moot Courtroom - Chase College of Law
 - e. Fine Arts Freight Elevator Modernization (AP)
 - f. Administrative Center Plumbing Infrastructure (AP)
 - g. Renew/Renovate Steely Library (AP)
 - h. Kentucky Hall Transformer and Water Heater (AP)
 - i. Replace Maintenance Building Rooftop HVAC Units (AP)
 - j. Replace Steel Gas Lines (AP)
 - k. Kentucky and Commonwealth Halls / Emergency Generator Replacement (AP)
 - l. Underground Water Valves (AP)
 - m. Business Academic Center and Mathematics-Education-Psychology Center Fire Alarm System Replacements (AP)
 - n. Campbell Hall Roof Restoration (AP)
 - o. Campbell Hall Return Air System Upgrade (AP)
 - p. Nunn Hall Roof Restoration (AP)
 - q. Concrete Sidewalk/Plaza Repairs (AP)
 - r. University Center Replace Switchgear (AP)
 - s. University Center Miscellaneous Renovations (AP)
 - t. Replace Flooring/Main Campus (AP)
 - u. Nunn Hall Façade Repairs (AP)
 - v. Regents Hall Generator and Switchgear Replacement (AP)
 - w. Lake Area Repairs (AP)
 - x. Steely Library Glass Elevator Modernization
 - y. University Suites Exterior Façade Repairs
 - z. Miscellaneous Housing Repairs
 - aa. Replace Road and Sidewalk Lighting

2. **Research/Grants/Contracts Report)November 1, 2023 through December 31, 2023 for Fiscal Year 2023-24)** (Provost and Executive Vice President for Academic Affairs Diana McGill).

During the November 1, 2023 through December 31, 2023 time period, 7 grants were awarded. The total amount of money awarded was \$578,934. For the fiscal year 2023-24, the cumulative total number of grants awarded is 39 totaling \$8,523,990.

3. **Fundraising Report (July 1, 2023, through January 31, 2024)** (Vice President for University Advancement Eric Gentry).

The Fundraising Report summarized fundraising resources committed from July 1, 2023, through January 31, 2024, totaling \$3,553,631 in support of the university.

4. **Policies Report** (Vice President for Legal Affairs and General Counsel Grant Garber)

The Policies Report summarized all policies that were approved at the executive-level after proceeding through the campus vetting process. The President and other university administrators determined that approval of these policies by the Board of Regents was not needed per the criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting.

The following policies were approved on this report:

- Acceptable Use
- Bring Your Own Device (BYOD)
- Credit Card Processing and Security
- Records And Information Management
- Risk Acceptance – Information Security
- Tailgating
- Release of Semester Enrollment Information to the Public Media and to the University Board of Regents (Policy Retirement)

5. **Quarterly Financial Report (July 1, 2023 through December 31, 2023)** (Interim Chief Financial Officer Karen Mefford)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

Consent Agenda Items: Regent Brad Zapp and seconded Regent Cori Henderson’s motion to approve the Presidential Recommendations as listed; C-1 through C-14. **(Motion carried)**

1. **Academic Affairs Personnel Actions:**

a. Faculty Appointments:

Eric Barker, assistant professor in the School of the Arts, College of Arts & Sciences, effective August 12, 2024; **Alexandra Brennan**, lecturer in Political Science, Criminal Justice, and Organizational Leadership, College of Arts & Sciences, effective August 12, 2024; **Emily Gearding**, lecturer in the School of Nursing, College of Health and Human Services, effective January 01, 2024; **Jonathan Ko**, lecturer in the School of Allied Health, College of Health and Human Services, effective January 01, 2024; **Nathan Putnam**, lecturer in the W. Frank Steely Library, effective January 29, 2024.

b. Transitions:

Bethany Bowling, from senior associate dean to interim dean, College of Arts and Sciences, effective January 8, 2024; **Charlisa Daniels**, from associate professor in Chemistry and Biochemistry to associate professor in Chemistry & Biochemistry and Director of Recruitment & Retention in CINSAM, College of Arts and Sciences, Effective January 2, 2024; **Maureen Doyle**, from professor of Computer Science and Software Engineering in the School of Computing and Analytics to part-time tenured professor in Computer Science and Software Engineering in the School of Computing and Analytics, College of Informatics, effective August 12, 2024.

c. Departures

David Cain, lecturer in Physics, Geology & Engineering Technology, College of Arts and Sciences, effective May 8, 2024; **Olugbemiga Ekundayo**, associate professor in the School of Allied Health, College of Health and Human Services, effective December 8, 2023; **Brittany Sorrell**, assistant professor in the School of Nursing, College of Health and Human Services, effective January 5, 2024.

d. Retirement:

Bianca Prather-Jones, associate professor in Teacher Preparation and Educational Studies, College of Education, effective December 31, 2023; **Dennis Honabach**, professor in Law School Instruction, Chase College of Law, beginning August 12, 2024 and terminating May 30, 2026.

e. Temporary Faculty Appointments:

Jessica Edwards, Teacher Preparation and Educational Studies. College of Education, Spring Semester 2024; **Shauna Kinnard**, School of Nursing, College of Health and Human Services, Academic Year.

2. Reappointment, Promotion and Tenure:

The Board of Regents approved recommendations on reappointment, promotion and tenure.

3. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between December 6, 2023 and February 1, 2024 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary/Student to Regular & Regular to Contract; Departures; Retirements; Administrative/Executive.

4. Faculty Emeritus Status:

Faculty Emeritus status for the following individuals received Board of Regents approval:

Nancy Campbell, associate professor in the W. Frank Steely Library, effective December 31, 2023; **Mary Chesnut**, professor in the W. Frank Steely Library, effective December 31, 2023; **Lois Hamill**, professor in the W. Frank Steely Library, effective December 31, 2023; **Philip McCartney**, associate professor in Mathematics and Statistics, College of Arts and Sciences, effective January 1, 2024; **Mike Scola**, senior lecturer in Biological Sciences, College of Arts and Sciences, effective December 20, 2023; **Lois Schultz**, professor in the W. Frank Steely Library, effective December 31, 2023; **Laura Sullivan**, associate professor in the W. Frank

Steely Library, effective December 31, 2023; **Steven Wilkinson**, professor in Mathematics and Statistics, College of Arts and Sciences, effective January 1, 2024.

5. **Staff Emeritus:**

Staff Emeritus status for the following individual received Board of Regents approval:

Sue Murphy Angel, senior coordinator, operations and budget, Office of the Dean, College of Informatics, effective January 1, 2024.

6. **Honorary Degree (William P. Butler)**

The Board of Regents approved that Mr. William P. Butler receive an Honorary Doctor of Education Degree.

7. **Honorary Degree (Frank Caccamo)**

The Board of Regents approved that Mr. Frank Caccamo receive an Honorary Doctor of Education Degree.

8. **Copyrights Policy**

The Board of Regents approved the Copyrights Policy which, along with the Inventions and Patents Policy, replaces the Intellectual Property Policy, which is a University policy on the NKU policy site and is also included in the Faculty Handbook Appendix F and Section 16.16 (which simply refers to Appendix F).

9. **Inventions and Patents Policy**

The Board of Regents approved the Inventions and Patents Policy which, along with the Copyrights Policy, replaces the Intellectual Property Policy, which is a University policy on the NKU policy site and is also included in the Faculty Handbook Appendix F and Section 16.16 (which simply refers to Appendix F).

10. **Naming Recommendations:**

The Board of Regents approved the following naming actions:

1. The naming of an endowed fund for the UR-STEM program to underwrite student stipends. “Newman Endowment for Undergraduate Research in STEM”
2. The naming of an endowed scholarship for students in the College of Health and Human Services. “Leslie Miles Lavender and L. Michael Lavender M.D. Endowed Scholarship”
3. The naming of an endowed scholarship for students majoring in accounting within the Carol Ann and Ralph V. Haile, Jr. Foundation College of Business. “Charles A. Stein Endowed Scholarship”
4. The naming of a fund for operating support for the accounting program within the Carol Ann and Ralph V. Haile, Jr. Foundation College of Business. “Charles A. Stein Fund for Accounting”
5. The naming of an endowed scholarship for students pursuing a degree in Chemistry (B.S.) – Biochemistry track out of the Department of Chemistry & Biochemistry. “James A. Monton Biochemistry Endowed Scholarship”

11. Major Gifts Acceptance:

The Board of Regents accepted contributions totaling **\$1,450,000.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2023, through January 31, 2024.

12. Bucks for Brains

The Board of Regents accepted contributions totaling **\$725,000** to be submitted to the state of Kentucky for matching funds through the Bucks for Brains program. Gifts received by the NKU Foundation Inc. for the benefit of Northern Kentucky University after November 15, 2021 to create or expand endowments are eligible and officially acknowledges responsibility for oversight of the endowments established or expanded with these funds in accordance with Council guidelines and procedures.

13. Bachelor of Arts Degree in Special Education

The Board of Regents approved the immediate implementation of a Bachelor of Art Degree in Special Education.

14. Bond Resolution

The Board of Regents adopted the Resolution which provides for the sale and issuance of approximately \$30,000,000 in General Receipt Obligations for the purpose of (i) refunding outstanding Northern Kentucky University General Receipts Bonds, 2014 Series A, dated January 7, 2014 and (ii) paying costs of issuance in connection with the Northern Kentucky University General Receipts Refunding Bonds, 2024 Series A.

Executive Session:

Regent Cori Henderson seconded Chair Boehne's motion to enter into executive session pursuant to KRS 61.810(1) (b) in order to discuss a possible future acquisition of real property. **(Motion carried)**

At 11:54 am, Executive Session concluded, and the regular meeting was reconvened.

At 11:55 am, Regent Sandra Spataro seconded Regent Isaiah Phillips' motion to adjourn. **(Motion Carried)**

Signature On File

Tammy Knochelmann
Secretary to the Board of Regents

Signature On File

Kara Williams
Secretary of the Board of Regents

I, Kara Williams, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on March 12, 2024. and that such matters are still in force and effect.

RECOMMENDATION:

The Board of Regents approves the proposed housing, dining, and parking fees, as well as the attached Schedule of Tuition and Mandatory Fees and Schedule of Fees and Service Charges for the 2024-2025 academic year.

BACKGROUND:

Tuition and Mandatory Fees: A complete listing is attached. Proposed tuition rates for most programs are increased by 2% with limited exceptions. The President will submit final rates to the Council on Postsecondary Education (CPE) for approval at their next meeting. CPE permitted Undergraduate tuition increases of up to 5% over the two years ending June 30, 2025, with no more than 3% increase in either year. While this increase is substantially more than CPE has allowed (and NKU has approved) in recent years, the higher education inflation index during the prior year has exceeded 5%. Federal Pell Funding and State CAP grant funding for financially needy students has increased more than planned tuition and mandatory fee increases in recent years.

If tuition increases are not granted, NKU would be forced to absorb rising costs for utilities, health insurance, and software but further reduce other expenditures, such as personnel.

CPE policy allows the institution to set the graduate, law, and doctorate tuition rates. With limited exceptions, the recommendation is a 2% increase to all rates for these tuition items.

Course Fees/Program Fees/Service Charges: Course fees include charges to students to participate in the instructional activities of selected courses, including the cost of providing course materials to be consumed, retained, or used by the student; the special costs associated with the use of University-owned tools, musical instruments, or other equipment including charges for breakage; or the cost of other materials or services necessary to provide a special supplemental educational experience of direct benefit to the student. A complete listing of all course fees is attached. Program fees are similar to course fees but are from an overall program perspective. Service Charges are fees to offset the costs of various services to students, faculty, and staff, and the larger community (for details, please see the following schedule).

Housing Fees: Housing is managed as a self-supporting auxiliary unit.

We propose increasing all residential housing fees for FY25 to the amounts shown below. The average semester increase for Fall/Spring is 5.9%. Summer semester rates were revised to mirror the new rate categories used for Fall/Spring.

Accommodations	Period	Current Rates	Proposed Rates	Dollar Increase	% Change
Double/Quad w/ Community Bathroom	Fall/Spring per Semester	\$3,100	\$3,280	\$180	5.8%
Double w/ Semi-Private Bathroom	Fall/Spring per Semester	\$3,650	\$3,870	\$220	6.0%
Single Room OR Junior Double Suite OR New Residence Hall Double with Semi-Private Bathroom	Fall/Spring per Semester	\$4,050	\$4,290	\$240	5.9%
Studio Efficiency	Fall/Spring per Semester	\$4,300	\$4,560	\$260	6.0%
Quint Room Option (5 people in a Northern Terrace space)	Fall/Spring per Semester	\$0.00	\$2,300	\$2,300	New
Double/Quad with Community Bathroom	Summer Daily Rate	\$25.83	\$27.38	\$1.55	6.0%
Double w/ Semi-Private Bathroom	Summer Daily Rate	\$30.42	\$32.25	\$1.83	6.0%
Single Room OR Junior Double Suite OR New Residence Hall Double with Semi-Private Bathroom	Summer Daily Rate	\$33.75	\$35.78	\$2.03	6.0%
Studio Efficiency	Summer Daily Rate	\$35.83	\$37.98	\$2.15	6.0%
Quint Room Option (5 people in a Northern Terrace space)	Summer Daily Rate	\$0.00	\$16.44	\$16.44	New

Dining Fees: Dining Services is managed as a self-supporting auxiliary unit. Percent increases are based on the projected “Food Away From Home Consumer Price Index (CPI)”. Dining increases proposed for 2024-2025 fiscal year are approximately 5.9%. Details are below:

MEAL PLANS per semester	Current Rates	Proposed Rates	Dollar Increase	% Change
Unlimited Plus \$100 Flex Dollars	\$2,479	\$2,626	\$147	5.9%
225 Block/\$200 Flex	\$2,230	\$2,362	\$132	5.9%
5 Meals/\$700 Flex	\$2,425	\$2,568	\$143	5.9%
15 Meals No Frills	\$1,845	\$1,953	\$108	5.9%
\$2,500 Flex-Plan	New	\$2,500	N/A	N/A
Mandatory Commuter Meal Plan	\$50	\$75	\$25	50.0%

Parking Fees: Parking Services is managed as a self-supporting auxiliary unit. Student, Faculty and Staff parking fees will remain the same because rates were approved last fiscal year for 2 years. For reference the rates are listed below:

PARKING FEES	Current Rates	Proposed Rates	Dollar Increase	% Change
Full Time Student per Year	\$286	\$286	\$0	0.0%
Full Time Student per Semester	\$166	\$166	\$0	0.0%
Summer Only	\$70	\$70	\$0	0.0%
Full Time Faculty and Staff per Year	\$429	\$429	\$0	0.0%
Full Time Faculty and Staff per Year REMOTE Parking Lot Z (Callahan Hall)	\$325	\$325	\$0	0.0%
Part Time Staff per Year	\$211	\$211	\$0	0.0%
Part Time Faculty per Semester	\$40	\$40	\$0	0.0%
Part Time Students per Year	\$166	\$166	\$0	0.0%
Reserved Parking per Year	\$903	\$903	\$0	0.0%

Course Fees/Program Fees/Service Charges: Course fees include charges to students to participate in the instructional activities of selected courses including: the cost of providing course materials to be consumed, retained or used by the student; the special costs associated with use of University-owned tools, musical instruments, or other equipment including charges for breakage; or the cost of other materials or services necessary to provide a special supplemental educational experience of direct benefit to the student. A complete listing of all course fees is attached. Program fees are similar to course fees but are from an overall program perspective. Service Charges are fees to offset the costs of various services to students, faculty and staff, and the larger community (for details please see the following schedule).

NORTHERN KENTUCKY UNIVERSITY
 Schedule of Tuition & Mandatory Fees
 FY25 (2024-2025)

	2023-24	2024-25	\$	%
UNDERGRADUATE				
Credit Hour Rates (1-11, 17+ hours)				
Resident, Ohio Reciprocity, Indiana Rate	\$438	\$446	\$8	1.9%
Metro (returning)	\$662	\$675	\$13	2.0%
Non-Resident	\$879	\$897	\$18	2.0%
Accelerated Online	\$475	\$485	\$10	2.0%
RN-BSN Online Partner ⁽¹⁾	\$309	\$315	\$6	2.0%
Fayette County Special Education Certificate	\$334	\$334	\$0	0.0%
Cincinnati Public Schools - Option 9	\$569	\$580	\$10	1.9%
School Based Scholars / Young Scholars Academy ⁽²⁾	\$93	\$94	\$1	0.0%
Full-Time Rates (12-16 hours per Semester)				
Resident, Ohio Reciprocity, Indiana Rate	\$5,256	\$5,352	\$96	1.8%
Metro (returning)	\$7,944	\$8,100	\$156	2.0%
Non-Resident	\$10,548	\$10,764	\$216	2.0%
GRADUATE				
Credit Hour Rates				
Resident	\$567	\$578	\$11	2.0%
Ohio/Indiana	\$690	\$704	\$14	2.1%
Non-Resident	\$870	\$887	\$17	2.0%
Online (Resident and Non-Resident)	\$673	\$686	\$13	2.0%
International Exchange Student (IES)/National Exchange Student (NES)	\$567	\$578	\$11	1.9%
COLLEGE OF EDUCATION (per Credit Hour)				
Master of Arts in Education [On-Campus]	\$412	\$412	\$0	0.0%
Master of Arts in Education [Accelerated]	\$412	\$412	\$0	0.0%
Alternative Certification in Special Education [Accelerated]	\$412	\$412	\$0	0.0%
Master of Arts in Teaching [Accelerated]	\$412	\$412	\$0	0.0%
POST MASTERS				
Education Specialist Teaching & Learning Ed Specialist [Accelerated]	\$412	\$412	\$0	0.0%
Education Specialist [On-Campus]	\$412	\$412	\$0	0.0%
Fayette County Special Ed Graduate Certificate	\$334	\$334	\$0	0.0%
Graduate Certifications (Rank I)	\$412	\$412	\$0	0.0%
DOCTORATE				
EDD Resident [On-Campus]	\$705	\$500	(\$205)	(29.1%)
EDD Ohio/Indiana [On-Campus]	\$827	\$500	(\$327)	(39.5%)
EDD Non-Resident [On-Campus]	\$1,028	\$803	(\$225)	(21.9%)
EDD [Accelerated]	\$0	\$500	\$500	New
HAILE COLLEGE OF BUSINESS (per Credit Hour)				
Master of Business Administration [On-Campus] - Resident	\$582	\$594	\$12	2.1%
Master of Business Administration [On-Campus] - Ohio/Indiana	\$684	\$698	\$14	2.1%
Master of Business Administration [On-Campus] - Non-Resident	\$949	\$968	\$19	2.1%
Master of Business Administration [Accelerated]	\$474	\$507	\$33	7.0%
Master of Accountancy [Accelerated]	\$505	\$540	\$35	7.0%
Master of Accountancy [On-Campus] - Resident	\$525	\$536	\$11	2.1%
Master of Accountancy [On-Campus] - Ohio/Indiana	\$617	\$629	\$12	2.0%
Master of Accountancy [On-Campus] - Non-Resident	\$857	\$874	\$17	2.0%
Master of Business in Leadership & Innovation (MBLI) ⁽³⁾	\$29,820	\$29,820	\$0	0.0%
MBA International Partnership - PSG, SCMS, Vidyalankar ⁽⁴⁾	\$10,000	\$10,000	\$0	0.0%
MBA International Partnership - New School Cohort ⁽⁴⁾	\$11,000	\$11,000	\$0	0.0%

	2023-24	2024-25	\$	%
<u>COLLEGE OF INFORMATICS (per Credit Hour)</u>				
Graduate Certificate in Business Analytics [Accelerated]	\$536	\$536	\$0	0.0%
Graduate Certificate in Business Informatics [Accelerated]	\$536	\$536	\$0	0.0%
Master of Science in Business Informatics [Accelerated]	\$536	\$563	\$27	5.0%
Master of Science in Health Informatics [Accelerated]	\$536	\$584	\$48	9.0%
Graduate Certificate in Health Informatics [Accelerated]	\$536	\$584	\$48	9.0%
Master of Science in Cybersecurity [Accelerated]	\$474	\$517	\$43	9.1%
Master of Science in Computer Science [On-Campus]	\$0	\$588	\$588	New
Cybersecurity International Partnership (SANGU) ⁽⁵⁾	\$460	\$460	\$0	0.0%
<u>COLLEGE OF HEALTH AND HUMAN SERVICES (per Credit Hour)</u>				
Doctor of Nursing Praticte (DNP) [Accelerated]	\$587	\$616	\$29	5.0%
DNP Post Masters [On-Campus]	\$693	\$693	\$0	0.0%
DNP Nursing Anesthesia - Resident	\$768	\$768	\$0	0.0%
DNP Nursing Anesthesia - Metro	\$983	\$983	\$0	0.0%
DNP Nursing Anesthesia - Non-Resident	\$983	\$983	\$0	0.0%
DNP Nursing Anesthesia Post Masters [On-Campus]	\$693	\$693	\$0	0.0%
Master of Science in Nursing (MSN) [Accelerated]	\$550	\$578	\$28	5.1%
Graduate Certificate in Post-MSN [Accelerated]	\$550	\$578	\$28	5.1%
Master of Science in Nursing (MSN) KCTCS [Accelerated]	\$400	\$400	\$0	0.0%
Master of Science in Nursing (MSN-EL) St. Elizabeth [Accelerated]	\$525	\$525	\$0	0.0%
Master of Science in Nursing (MSN) [On Campus]	\$664	\$697	\$33	5.0%
Master of Science in Health Science [Accelerated]	\$536	\$547	\$11	2.1%
Master of Science in Health Administration [Accelerated]	\$536	\$547	\$11	2.1%
Master of Science in Exercise Science [On Campus]	\$464	\$473	\$9	2.0%
Master of Science in Athletic Training - Resident	\$520	\$530	\$10	2.0%
Master of Science in Athletic Training - Non-Resident	\$620	\$632	\$12	2.0%
Master of Social Work - Resident	\$631	\$631	\$0	0.0%
Master of Social Work - Metro	\$649	\$649	\$0	0.0%
Master of Social Work - Non-Resident	\$971	\$887	(\$84)	(8.7%)
Master of Social Work [Accelerated]	\$617	\$631	\$14	2.3%
Doctorate of Occupational Therapy	\$870	\$768	(\$102)	(11.8%)
Master of Arts in School Counseling - Resident	\$631	\$631	\$0	0.0%
Master of Arts in School Counseling - Metro	\$649	\$649	\$0	0.0%
Master of Arts in School Counseling - Non-Resident	\$971	\$887	(\$84)	(8.7%)
Master of Science in Clinical Mental Health Counseling - Resident	\$631	\$631	\$0	0.0%
Master of Science in Clinical Mental Health Counseling - Metro	\$649	\$649	\$0	0.0%
Master of Science in Clinical Mental Health Counseling - Non-Resident	\$971	\$887	(\$84)	(8.7%)
Graduate Certificate in Healthcare Commericalization	\$567	\$567	\$0	0.0%
Cardiovascular Perfusion	\$876	\$876	\$0	0.0%
<u>CHASE COLLEGE OF LAW (per Credit Hour)</u>				
Full-Time Resident	\$915	\$915	\$0	0.0%
Full-Time Non-Resident	\$1,479	\$1,479	\$0	0.0%
Resident by Year	\$23,790	\$23,790	\$0	0.0%
Non-Resident by Year	\$38,454	\$38,454	\$0	0.0%
Masters in Legal Studies (MLS) [Accelerated]	\$673	\$673	\$0	0.0%
Masters in Legal Studies (MLS) [On Campus]	\$880	\$880	\$0	0.0%
LLM (Master in Law for International Students) ⁽⁶⁾	\$31,020	\$31,020	\$0	0.0%

(1) Rate for students enrolled through the Academic Partnerships agreement.

(2) Rate for high school students taking an NKU college course. Rate is the ceiling set by the Kentucky Higher Education Assistance Authority to participate in the Dual Credit Scholarship program.

(3) The Master of Business in Leadership and Innovation (MBLI) is a 2-year program with tuition locked for each cohort.

(4) The NKU MBA rate in partnership with Indian universities. Courses are jointly taught by NKU and Indian partner faculty.

(5) The Cybersecurity program rate with international universities, currently in the country of Georgia.

(6) The LLM (Master in Law for International Students) is a 1-year (30 Credit Hour) program.

BOARD OF REGENTS

RESOLUTION

APPROVAL OF TUITION AND FEES

WHEREAS, University administration has proposed updated tuition and mandatory fees, housing, dining, parking course, and other fees, effective beginning in the 2024-25 fiscal year, which are specified in the accompanying presidential recommendation and

WHEREAS, the Board of Regents has the authority and responsibility to approve tuition and fees pursuant to Kentucky law and the Bylaws of the Board of Regents, subject to the tuition caps and other requirements established by the Council on Postsecondary Education.

BE IT RESOLVED that the Board of Regents approves the proposed tuition and fees described in the accompanying presidential recommendation.

Schedule of Fees/Service Charges

Total # Fees: 1,590 New Fee (261) Fee Decrease (17) Fee Increase (227) Fee Eliminated (69)

Table with columns: Division, College / Dept, Fee #, Type, Fee/Charge Title, Fee/Charge Description, Approved 2023-2024, \$ Inc / \$ Dec, Proposed 2024-2025, Percent Change, Charged to Student, Charged to Employees, Charged to Univ Dept, Charged to Community, Comments

Schedule of Fees/Service Charges

Total # Fees: 1,590 New Fee (261) | Fee Decrease (17) | Fee Increase (227) | Fee Eliminated (69)

Division	College / Dept.	Fee #	Type	Fee/Charge Title	Fee/Charge Description	Approved 2023-2024	\$ Inc / \$ Dec	Proposed 2024-2025	Percent Change	Charged to Student	Charged to Employees	Charged to Univ Dept	Charged to Community	Comments
Academic Affairs	CAS	F00392A	Course Fees	ARTV 330 Interaction Design for Visual Comm Course Fee	Visual Arts Courses - (consumables) ARTV 330 Interaction Design for Visual Communication	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00393A	Course Fees	ARTV 415 Visual Comm Design III Course Fee	Visual Arts Courses - (consumables) ARTV 415 Visual Communication Design III	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00394A	Course Fees	ARTV 417 Tvpooaraphv III Course Fee	Visual Arts Courses - (consumables) ARTV 417 Tvpooaraphv III	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00395A	Course Fees	ARTV 425 Information Design Course Fee	Visual Arts Courses - (consumables) ARTV 425 Information Design	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00396A	Course Fees	ARTV 427 Motion Design Course Fee	Visual Arts Courses - (consumables) ARTV 427 Motion Design	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00397A	Course Fees	ARTV 428 Visual Comm Design Capstone Course Fee	Visual Arts Courses - (consumables) ARTV 428 Visual Communication Design Capstone	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00398A	Course Fees	ARTV 430 Visual Communication Design Course Fee	Visual Arts Courses - (consumables) ARTV 430 Visual Communication Design	\$20.00	\$0.00	\$20.00	0.0%	X				Course Materials
Academic Affairs	CAS	F00399A	Course Fees	TAR 235 Vocal Tech for the Singing Actor I Course Fee	Visual Arts Courses - (consumables) TAR 235 Vocal Techniques for the Singing Actor I	\$80.00	\$5.00	\$85.00	6.3%	X				Supplemental Vocal Training
Academic Affairs	CAS	F00400A	Course Fees	TAR 335 Vocal Tech for the Singing Actor II Course Fee	Visual Arts Courses - (consumables) TAR 335 Vocal Techniques for the Singing Actor II	\$80.00	\$5.00	\$85.00	6.3%	X				Supplemental Vocal Training
Academic Affairs	CAS	F01010A	Service Charge	Electrical Resistivity Equipment Rental	Electrical Resistivity Equipment Rental (per day)	\$600.00	\$0.00	\$600.00	0.0%				X	Per Day Rental
Academic Affairs	CAS	F01011A	Service Charge	GPR Equipment Rental	GPR Equipment Rental (per day)	\$600.00	\$0.00	\$600.00	0.0%				X	Per Day Rental
Academic Affairs	CAS	F01012A	Service Charge	KTLN Affiliation with University of Kentucky	KTLN Affiliation with University of Kentucky	\$1,800.00	\$0.00	\$1,800.00	0.0%	X				Affiliation Fee
Academic Affairs	CAS	F01015A	Service Charge	STEM International Research Exchange (STEM-IRSEP)	STEM International Research Exchange (STEM-IRSEP)	Varies	Delete	\$0.00	Delete	X				Defray Program Activity Cost
Academic Affairs	CAS	F01016A	Service Charge	Talk-n-Walk Nature Series	Talk-n-Walk Nature Series	\$10.00	\$0.00	\$10.00	0.0%				X	Talk/Walks Conducted for Community
Academic Affairs	CAS	F01019A	Service Charge	Church Archive Project (per Hour per Person)	Church Archive Project (per Hour per Person) (Ctr Public Historv)	\$13.50	\$0.00	\$13.50	0.0%				X	Personal Costs
Academic Affairs	CAS	F01024A	Service Charge	Evolution Day Fee	Evolution Day (Biological Sciences & Geology)	\$5.00	\$0.00	\$5.00	0.0%				X	Cost of Supplies
Academic Affairs	CAS	F01027A	Service Charge	Burkardt Consulting Center Fee - Initial Consultation	Burkardt Consulting Center Fee - Initial Consultation	\$0.00	Varies	Varies	New	X	X	X	X	Operating Budget
Academic Affairs	CAS	F01028A	Service Charge	Burkardt Consulting Center Fee - Community Rate	Burkardt Consulting Center Fee - Community Rate	\$50.00	\$0.00	\$50.00	0.0%				X	Operating Budget
Academic Affairs	CAS	F01029A	Service Charge	Burkardt Consulting Center Fee - Faculty/Staff/Department	Burkardt Consulting Center Fee - Faculty/Staff/Department Rate	\$35.00	\$0.00	\$35.00	0.0%		X	X	X	Operating Budget
Academic Affairs	CAS	F01030A	Service Charge	Burkardt Consulting Center Fee - NKU Undergraduate Student Rate	Burkardt Consulting Center Fee - NKU Undergraduate Student Rate	\$20.00	\$0.00	\$20.00	0.0%	X				Operating Budget
Academic Affairs	CAS	F01030B	Service Charge	Burkardt Consulting Center Fee - NKU Graduate Student Rate	Burkardt Consulting Center Fee - NKU Graduate Student Rate	\$0.00	\$30.00	\$30.00	New	X				The scope of work involved with graduate student projects is more advanced than that of an undergraduate project. The proposed increase in hourly rate for graduate students reflects this. The increased rate will also help offset service charges associated with transitioning billing to Community Connections to allow for payment to be processed via credit card.
Academic Affairs	CAS	F01030C	Service Charge	Burkardt Consulting Center Fee - Non-NKU Student Rate	Burkardt Consulting Center Fee - Non-NKU Student Rate	\$0.00	\$35.00	\$35.00	New				X	Because distinctions have been made between NKU undergraduate and graduate students, a new fee category for non-NKU students is needed. The hourly rate for this population is slightly higher as we are serving an external client, while still recognizing that the client is a student. This is not a population historically served by the Burkardt Consulting Center; the fee request will allow for a pricing structure should the need arise.
Academic Affairs	CAS	F01031A	Service Charge	Burkardt Consulting Center Fee - Large Project Rate	Burkardt Consulting Center Fee - Large Project Rate	Varies	\$0.00	Varies	0.0%	X	X	X	X	Operating Budget
Academic Affairs	CAS	F24018A	Other	Community Outreach - St. Elizabeth Leadership	Per Memorandum of Agreement with St. Elizabeth Healthcare for customized training content for its Leadership Academy.	Varies	\$0.00	Varies	0.0%				X	
Academic Affairs	CAS	F24019A	Other	REFS Micro-Credential	REFS offers a professional micro-credential on Wetlands Management. Classes range from \$600-\$1000, with an optional \$300 extra day trip.	Varies	\$0.00	Varies	0.0%				X	
Academic Affairs	CAS	F24029A	Other	Summer Dance Institute	Summer Dance Institute.	\$335.00	\$0.00	\$335.00	0.0%	X	X	X	X	
Academic Affairs	CAS	F24030A	Program Fee	Art Prep Course Fee	Art Prep Course Fee	\$175.00	\$0.00	\$175.00	0.0%	X	X		X	
Academic Affairs	CAS	F25400A	Service Charge	High School Music Event Fee	Fee associated with NKU Hosted events for high school students.	\$0.00	Varies	Varies	New				X	Music has a number of invited student events from High Schools. Honor band, choir, jazz. All of these invited events has a per student cost that varies per event. This will assist music in tracking these fees that are outside of the music concerts.
Academic Affairs	CAS	F25403A	Course Fees	EGT 416 Capstone 1 Course Fee	EGT 416 Capstone 1 Course Materials	\$0.00	\$50.00	\$50.00	New	X				Course was added to curriculum, but new course fee had not been added to accompany it. This course is a capstone course in which seniors use equipment and materials in EGT labs to create senior projects. This course fee will help cover the cost of raw material for these projects, and replacement of tools and equipment as needed. Senior projects often involve software such as Studio 5000, LabView, and CES Eudpack, which were formerly covered by ITAC funds.
Academic Affairs	CAS	F25404A	Course Fees	EGT 377 Power Electronics Course Fee	EGT 377 Power Electronics Materials	\$0.00	\$20.00	\$20.00	New	X				Course was added to curriculum, but new course fee had not been added to accompany it. This course is part of the EET major and course fees will cover the cost of consumables, and replacement of small tools and equipment as needed.
Academic Affairs	CAS	F25405A	Service Charge	Art Prep After School Tutoring Course Fee	Tutoring fee to cover instructors	\$0.00	\$300.00	\$300.00	New	X	X		X	After school tutoring fee to pay faculty and or student instructors for leading these programs.
Academic Affairs	CAS	F25406A	Course Fees	ART 315 Concept & Narrative Development Course Fee	Visual Arts Courses - (consumables) ART 315 Concept & Narrative Development	\$0.00	\$80.00	\$80.00	New	X				When the curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital media and physical media projects. In addition, since this course meets in a computer lab, \$18 per student gets contributed to the Art Technology Fee per program/departmental policy. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expense up to 75%.
Academic Affairs	CAS	F25407A	Course Fees	ARTA 210 Animation Studio Course Fee	Visual Arts Courses - (consumables) ARTA 210 Animation Studio	\$0.00	\$60.00	\$60.00	New	X				When the curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.

Schedule of Fees/Service Charges

Total # Fees: 1,590 New Fee (261) | Fee Decrease (17) | Fee Increase (227) | Fee Eliminated (69)

Division	College / Dept	Fee #	Type	Fee/Charge Title	Fee/Charge Description	Approved 2023-2024	\$ Inc / \$ Dec	Proposed 2024-2025	Percent Change	Charged to Student	Charged to Employees	Charged to Univ Dept	Charged to Community	Comments
Academic Affairs	CAS	F25408A	Course Fees	ARTA 311 Character Animation I Course Fee	Visual Arts Courses - (consumables) ARTA 311 Character Animation	\$0.00	\$60.00	\$60.00	New	X				When the animation curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.
Academic Affairs	CAS	F25409A	Course Fees	ARTA 394 Topics in Animation Course Fee	Visual Arts Courses - (consumables) ARTA 394 Topics in Animation	\$0.00	\$60.00	\$60.00	New	X				When the animation curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.
Academic Affairs	CAS	F25410A	Course Fees	ARTA 412 Character Animation II Course Fee	Visual Arts Courses - (consumables) ARTA 412 Character Animation II	\$0.00	\$60.00	\$60.00	New	X				When the animation curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.
Academic Affairs	CAS	F25411A	Course Fees	ARTA 461 Senior Capstone in Animation I Course Fee	Visual Arts Courses - (consumables) ARTA 461 Senior Capstone in Animation I	\$0.00	\$60.00	\$60.00	New	X				When the animation curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.
Academic Affairs	CAS	F25412A	Course Fees	ARTA 462 Senior Capstone in Animation II Course Fee	Visual Arts Courses - (consumables) ARTA 462 Senior Capstone in Animation II	\$0.00	\$60.00	\$60.00	New	X				When the animation curriculum was first proposed a course fee was requested, but it never formally was implemented. This technology-based course uses many consumables as the students explore multiple digital and physical media projects. The nature of the media varies widely and is financially burdensome to both the department and individual student. Purchasing in bulk can often decrease the expenses significantly.
Academic Affairs	CAS	F25413A	Course Fees	ARTD 317 Drawing for Animation Course Fee	Visual Arts Courses - (consumables) ARTD 317 Drawing for Animation	\$0.00	\$90.00	\$90.00	New	X				To cover the costs of live figure models in the classroom.
Academic Affairs	CAS	F25414A	Course Fees	ARTE 380 Concepts in Art Education Course Fee	Visual Arts Courses - (consumables) ARTE 380 Concepts in Art Education	\$0.00	\$10.00	\$10.00	New	X				This is for minimal materials such as paper, markers and copies that will be provided in class to create classroom displays and resource materials for K-12 teaching. Purchasing in bulk is more cost effective for the department and the individual student.
Academic Affairs	CAS	F25415A	Course Fees	ARTE 381 Art Education Content I Course Fee	Visual Arts Courses - (consumables) ARTE 381 Art Education Content I	\$0.00	\$40.00	\$40.00	New	X				The fee increase is to purchase standard materials that are found in K-12 classrooms such as paint, brushes, blades, ink, needles, and self-dry clay to be used in studio/teaching demonstrations. Purchasing in bulk is more cost effective for the department and individual students.
Academic Affairs	CAS	F25416A	Course Fees	ARTE 382 Art Education Content II Course Fee	Visual Arts Courses - (consumables) ARTE 382 Art Education Content II	\$0.00	\$50.00	\$50.00	New	X				This class includes studio/teaching demonstrations for a variety of K-12 modalities such as online, students with exceptionalities, and gifted students.
Academic Affairs	CAS	F25417A	Course Fees	ARTS 394 Topics in Sculpture: Concepts and Media Course Fee	Visual Arts Courses - (consumables) ARTS 394 Topics in Sculpture: Concepts and Media	\$0.00	\$145.00	\$145.00	New	X				***The previous Sculpture (ARTS 362) TOPICS course was approved for \$129. At some point the course number was updated to align with NKU topics courses, but the course fee was never updated.
Academic Affairs	CAS	F25418A	Course Fees	TAR 118 Stage Makeup Course Fee	Theatre & Dance Courses - (consumables) TAR 118 Stage Makeup	\$0.00	\$80.00	\$80.00	New	X				The Stage Makeup course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Students routinely have issues finding and purchasing their own make-up kits which also have a high retail mark-up. Having the program buy the kits for the students would ensure a better price, higher quality make-up, and appropriate skin tone matching.
Academic Affairs	CAS	F25419A	Course Fees	TAR 260 Lighting Fundamentals Course Fee	Theatre & Dance Courses - (consumables) TAR 260 Lighting Fundamentals	\$0.00	\$30.00	\$30.00	New	X				The Lighting Fundamentals course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include wire, sockets, solder, switches, Rosco brand lighting gel (to produce lighting colors), etc.
Academic Affairs	CAS	F25420A	Course Fees	TAR 262 Costume Construction I Course Fee	Theatre & Dance Courses - (consumables) TAR 262 Costume Construction I	\$0.00	\$120.00	\$120.00	New	X				The Costume Construction I course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include fabrics for individual projects, thread, zippers, etc.

Schedule of Fees/Service Charges

Total # Fees: 1,590 New Fee (261) | Fee Decrease (17) | Fee Increase (227) | Fee Eliminated (69)

Division	College / Dept.	Fee #	Type	Fee/Charge Title	Fee/Charge Description	Approved 2023-2024	\$ Inc / \$ Dec	Proposed 2024-2025	Percent Change	Charged to Student	Charged to Employees	Charged to Univ Dept	Charged to Community	Comments
Academic Affairs	CAS	F25421A	Course Fees	TAR 265 Fundamentals of Theatrical Design Course Fee	Theatre & Dance Courses - (consumables) TAR 265 Fundamentals of Theatrical Design	\$0.00	\$30.00	\$30.00	New	X				The Fundamentals of Theatrical Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include foam core for model building, paints, brushes, markers, glue, exposed knives, etc.
Academic Affairs	CAS	F25422A	Course Fees	TAR 268 Theatrical Rendering Course Fee	Theatre & Dance Courses - (consumables) TAR 268 Theatrical Rendering	\$0.00	\$75.00	\$75.00	New	X				The Theatrical Rendering course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students.
Academic Affairs	CAS	F25423A	Course Fees	TAR 277 Fundamentals of Sound Technology Course Fee	Theatre & Dance Courses - (consumables) TAR 277 Fundamentals of Sound Technology	\$0.00	\$25.00	\$25.00	New	X				The Fundamentals of Sound Technology course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include wire, exposed knives, etc.
Academic Affairs	CAS	F25424A	Course Fees	TAR 360 Scene Design Course Fee	Theatre & Dance Courses - (consumables) TAR 360 Scene Design	\$0.00	\$100.00	\$100.00	New	X				The Scene Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include foam core, glue, balsa wood, 3D rotation filament, etc.
Academic Affairs	CAS	F25425A	Course Fees	TAR 361 Design and Construction of Stage Properties Course Fee	Theatre & Dance Courses - (consumables) TAR 361 Design and Construction of Stage Properties	\$0.00	\$100.00	\$100.00	New	X				The Scene Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include expandable foam, various glues, epoxy resin, paint, latex, plaster, hardware, etc.
Academic Affairs	CAS	F25426A	Course Fees	TAR 362 Drafting for Theatre Course Fee	Theatre & Dance Courses - (consumables) TAR 362 Drafting for Theatre	\$0.00	\$30.00	\$30.00	New	X				The Drafting for Theatre course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students.
Academic Affairs	CAS	F25427A	Course Fees	TAR 363 Lighting Design Course Fee	Theatre & Dance Courses - (consumables) TAR 363 Lighting Design	\$0.00	\$30.00	\$30.00	New	X				The Lighting Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students.
Academic Affairs	CAS	F25428A	Course Fees	TAR 365 Costume Crafts Course Fee	Theatre & Dance Courses - (consumables) TAR 365 Costume Crafts	\$0.00	\$100.00	\$100.00	New	X				The Costume Crafts course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include multiple types of foam, various glues, epoxy resin, paint, latex, plaster, wire, leather, etc.
Academic Affairs	CAS	F25429A	Course Fees	TAR 366 Costume Design Course Fee	Theatre & Dance Courses - (consumables) TAR 366 Costume Design	\$0.00	\$40.00	\$40.00	New	X				The Costume Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students.
Academic Affairs	CAS	F25430A	Course Fees	TAR 367 Stagecraft II Course Fee	Theatre & Dance Courses - (consumables) TAR 367 Stagecraft II	\$0.00	\$100.00	\$100.00	New	X				The Stagecraft II course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include multiple types of lumber, welding supplies, various hardware and glue, etc.
Academic Affairs	CAS	F25431A	Course Fees	TAR 377 Sound Design Course Fee	Theatre & Dance Courses - (consumables) TAR 377 Sound Design	\$0.00	\$15.00	\$15.00	New	X				The Sound Design course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students.
Academic Affairs	CAS	F25432A	Course Fees	TAR 428 Advanced Stage Makeup Course Fee	Theatre & Dance Courses - (consumables) TAR 428 Advanced Stage Makeup	\$0.00	\$100.00	\$100.00	New	X				The Advanced Stage Makeup course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. This is a pre-graduate school course which utilizes materials/products that have a greater cost, e.g. latex molding, skin, makeup, etc.
Academic Affairs	CAS	F25433A	Course Fees	TAR 461 Scene Painting Course Fee	Theatre & Dance Courses - (consumables) TAR 461 Scene Painting	\$0.00	\$150.00	\$150.00	New	X				The Scene Painting course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include multiple gallons of paint per student, multiple types of brushes and sponges, canvas, brushes, etc.
Academic Affairs	CAS	F25434A	Course Fees	TAR 464 Special Problems in Theatre Technology Course Fee	Theatre & Dance Courses - (consumables) TAR 464 Special Problems in Theatre Technology	\$0.00	\$75.00	\$75.00	New	X				The Special Problems in Theatre Technology (Advanced Scenic Construction) course requires consumable supplies used in the training of students. The cost of the supplies would be covered by the course fee. Course does not require a textbook. Supplies can be purchased in bulk by the program which reduces cost of materials for individual students. Supplies include welding materials, specialty hardware, wiring, electrical motors, and model making.

Schedule of Fees/Service Charges

Total # Fees: 1,590 New Fee (261) | Fee Decrease (17) | Fee Increase (227) | Fee Eliminated (69)

Division	College / Dept.	Fee #	Type	Fee/Charge Title	Fee/Charge Description	Approved 2023-2024	\$ Inc / \$ Dec	Proposed 2024-2025	Percent Change	Charged to Student	Charged to Employees	Charged to Univ Dept	Charged to Community	Comments
Athletics	ATH	F00977A	Service Charge	Women's Basketball Single Game Tickets: NKU Employee & Alumni	Single Game Tickets: NKU Employee and Alumni	\$3.00	\$6.00	\$9.00	200.0%		X		X	Ticket fee for admission to event.
Athletics	ATH	F00978A	Service Charge	Women's Basketball Single Game Tickets: NKU Student	Single Game Tickets: NKU Students (with All Card ID)	No Charge	\$0.00	No Charge	0.0%	X				Ticket fee for admission to event.
Athletics	ATH	F00979A	Service Charge	Camp 505 Attendance Fee	Camp 505 Attendance Fee	\$35.00	(\$35.00)	\$0.00	Delete	X				Attendance Fee
Athletics	ATH	F00980A	Service Charge	Camp Victor Attendance Fee	Camp Victor Attendance Fee	\$125.00	(\$125.00)	\$0.00	Delete	X				Attendance Fee
Athletics	ATH	F00981A	Service Charge	Dance Team Trvout Fee	Dance Team Trvout Fee	\$25.00	(\$25.00)	\$0.00	Delete	X				Trvout Fee
Athletics	ATH	F00982A	Service Charge	Student Athlete Physical Fee (No-Show)	Student Athlete Physical Fee (No-Show)	\$35.00	(\$35.00)	\$0.00	Delete	X				No Show Fee
Athletics	ATH	F25200A	Service Charge	Men's Basketball Season Tickets: Seat Equity	Season Tickets: Seat Equity/License for select group of Season Ticket Holders	Market Rate	\$0.00	Market Rate	0.0%		X		X	Ticket fee for admission to event.

RECOMMENDATION:

The Board of Regents, with the unanimous support of the Budget and Finance Committee, approves the proposed Annual Unrestricted Operating Budget for the fiscal year 2024-25. The committee, after a comprehensive review, voted to recommend approval on May 30, 2024.

BACKGROUND:

The University has completed its financial planning and budgeting activities for the upcoming fiscal year, which will begin July 1, 2024, and end June 30, 2025. The resulting Annual Unrestricted Operating Budget is proposed for approval by the Board of Regents.

The proposed budget includes total projected revenues of \$274,430,650 and expenses of \$274,334,400. An additional \$4,896,250 in expenses are authorized for non-recurring institutional scholarships and discounts for student cohorts before fiscal year 2023.

Significant expenditure reductions have been undertaken during the last two years to reduce and eliminate, for the fiscal year 2024-25, the reliance on reserves for the operating budget (excluding non-recurring scholarships). These efforts were undertaken at the direction of the Board of Regents. The administration is unwavering in its commitment to closely monitor the financial performance of the fiscal year 2024-25, ensuring the University's adherence to this budget.

BOARD OF REGENTS

RESOLUTION

APPROVAL OF FY25 ANNUAL UNRESTRICTED OPERATING BUDGET

WHEREAS, the university administration has proposed an annual unrestricted operating budget for the 2024-25 fiscal year consisting of total projected revenues of \$274,430,650 and expenses of \$274,334,400. An additional \$4,896,250 in expenses are authorized for non-recurring institutional scholarships and discounts for student cohorts before fiscal year 2023.

WHEREAS, the Board of Regents Budget & Finance Committee met on May 30, 2024, to review the proposed Annual Operating Budget for the 2024-25 fiscal year. The Budget & Finance Committee voted unanimously to recommend approval of the proposed budget.

WHEREAS, the Board of Regents has the authority and responsibility to approve the institution's annual budget, pursuant to Kentucky law and the Board of Regents bylaws.

BE IT RESOLVED that the Board of Regents approves the proposed FY25 Annual Unrestricted Operating Budget, subject to the requirements described herein.

The President is authorized to approve adjustments between the budget authorizations when such action appears, in his or her judgment, to be in the best interests of the University. However, any adjustment that would increase the approved Annual Unrestricted Operating Budget authorization shall be submitted to this Board for approval at its next meeting.

If unrestricted sources of funds are insufficient to equal projected unrestricted expenditures, the President shall take appropriate measures to reduce budgeted expenditure authorizations by sufficient amounts to ensure that unrestricted expenditures do not exceed authorized unrestricted sources of funds.

In incurring financial obligations and spending and disbursing University funds available under this authorization, all University units and personnel or agents shall adhere to and observe applicable laws, regulations, and policies of both the Commonwealth of Kentucky and the University, which govern and control the expenditure of funds.

Administrators of the various units shall not authorize nor incur any financial obligation more than the budget authorizations.

FACILITIES MANAGEMENT REPORT

1. Herrmann Science Center Expansion

This project envisions construction of additional teaching and research labs for biology, chemistry, biochemistry, physics, geology and engineering technology in an 83,175 square foot addition on the north side of the 175,131 square foot Dorothy Westerman Herrmann Science Center. The budget includes funding to undertake renovations to about 15,000 square feet in the existing Science Center. Programming includes relocating CINSAM and Kentucky Center for Mathematics to Science from Landrum. A decision is pending on use of campus steam and chilled water or, alternatively, provision of a geothermal well system for the addition.

The design development (DD) phase is complete and work on construction documents has begun. Skanska's DD construction estimate indicated a modest cost overrun for the planned work. Various cost savings measures have been incorporated into the design to bring the project within budget. The goal is to bid the project in August 2024.



Science Expansion (Existing Science and Campus in the Background) (Rendering provided by Omni Architects)

Architect: Omni Architects, FLAD Architects

Engineers: CMTA/Brown+Kubican/Kleingers

Contractor: Skanska

Scope: \$79,900,000

Fund Source: State Bonds

Anticipated Completion: Summer 2027

2. **Science Capital Renewal/Upgrades (AP)**

To help with the significant cost of capital renewal investments in the Herrmann Science Center, \$6M in 2024-2026 Asset Preservation funds will be used to replace air handlers, lab exhaust fans, the atrium smoke exhaust system, and other key infrastructure in Science that is in need of life cycle replacement. The work is currently in the design phase.

Architect: Omni Architects, FLAD Architects

Engineers: CMTA/Brown+Kubican/Kleingers

Contractor: Skanska

Scope: \$6,000,000

Fund Source: 2024-2026 AP Funds

Anticipated Completion: Summer 2027

3. **Fine Arts Floor Heaving/Corbett & HVAC (AP)**

This Asset Preservation project includes demolition and reconstruction of 13,000 square feet of heaved floor slab on the first floor of the Fine Arts Center; installation of a new fire alarm system; replacement of finishes in Corbett Theater; and, total replacement of the building's HVAC system. In addition, the stage rigging system was demolished and will be rebuilt after the new stage floor is in place.

Construction began in July 2023. The first-floor section of the original part of the Fine Arts building, including Corbett Theater and other theater spaces, has been closed since last fall. Theater has been functioning from Campbell Hall and other campus locations. The first floor is scheduled to be complete in early November 2024.

Fine Arts fourth floor was closed for the spring semester 2024. The drawing studio moved to Landrum, the painting studio moved to 3rd floor, Mathematics-Education-Psychology Building, and the Photography Studio was in Steely Library. The 4th floor work will be complete in early July 2024.

The 3rd floor will be closed from mid-May to early August 2024 to allow for HVAC replacement in various areas. The final phase of HVAC replacement work will occur in summer 2025 on the 2nd floor.

Architect: Luminaut

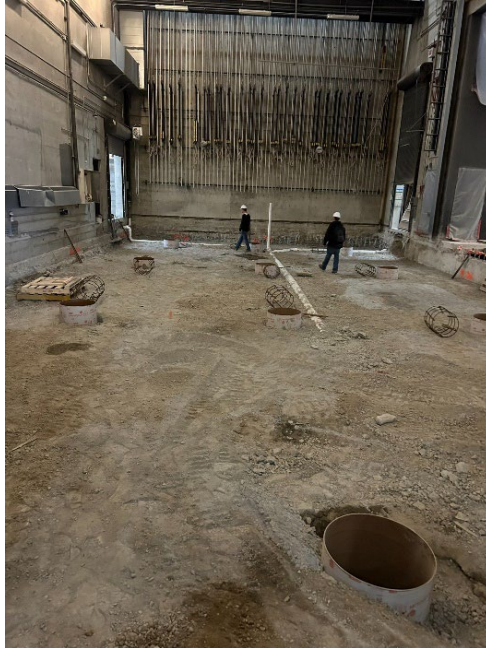
Engineers: CMTA, THP and Geotechnology

Contractor: Triton Services (Construction), Beck Studios (Rigging)

Scope: \$14,820,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2025



Concrete Pier and Beam Construction on First Floor, April 2024



Fourth Floor Air Handling Unit Construction and New Ductwork, April 2024

4. **Nunn Hall Floor Heaving/Restrooms and HVAC Renewal (AP)**

This Asset Preservation project includes demolition and reconstruction of 11,300 square feet of heaved floor slab on the first floor of Nunn Hall. The scope also includes replacement of restrooms on all five floors, a new fire alarm system and upgrades to the HVAC system.

Renovation work on the first floor of Nunn Hall is progressing. Demolition and replacement of underground utilities are complete and structural work continues. Floors 3, 4 and 5 of Nunn have been closed for several months to allow for HVAC and restroom renovation work and will reopen in phases over the summer. These floors will be re-occupied in a phased plan during the month of July beginning with the 5th floor. The 2nd floor closed on May 20th and will reopen in early September. The goal is to complete all work by early 2025.

Architect: Luminaut

Engineers: CMTA, THP and Geotechnology

Contractor: Triton Services

Scope: \$9,600,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Spring 2025



New Underground Plumbing Piping (the 1st Floor Elevator Lobby is in the Background of this photo) and Upper Floor Restroom Renovation Underway

5. New Moot Courtroom - Chase College of Law

Design is complete to relocate the Chase Moot Courtroom, currently located on the 4th floor of Nunn Hall, to the first floor. Chase has nearly completed a fundraising effort to fund the renovation. Work will be managed as a change order to the Nunn Hall floor heaving project.

The design is currently being priced by the general contractor. Work will not proceed until necessary funds are on deposit.



Renderings provided by Luminaut

Architect: Luminaut

Engineer: CMTA, THP

Contractor: Triton

Scope: \$100,000 (design only, overall scope TBD)

Fund Source: Dean, Chase College of Law (design funds)

Anticipated Completion: TBD

6. **Fine Arts Freight Elevator Modernization (AP)**

Electrical and mechanical components in the Fine Arts freight elevator are worn and misaligned, affecting reliability and consistency of performance. Currently, this elevator is non-functional. Modernization is essential. This project was awarded to Murphy Elevator and parts are on order.

Engineer: PEDCO Engineering/IMEG

Contractor: Murphy Elevator

Scope: \$524,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Summer 2025

7. **Administrative Center Plumbing Infrastructure (AP)**

The vertical plumbing infrastructure in the Lucas Administrative Center is in poor condition. Accessing the plumbing chase to replace piping will require demolition of finishes in the restrooms. The fixtures and finishes in all restrooms will be replaced. Schematic Design began in March.

Architect: Luminaut

Engineer: CMTA, THP

Contractor: TBD

Scope: \$1,750,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: TBD

8. **Renew/Renovate Steely Library (AP)**

This AP project provides for an initial phase of renewal of the most critical condition issues in Steely Library, including replacement of the generator and electrical switchgear and partial HVAC system replacement. This equipment is at the end of its useful life. The generator is scheduled to ship in May 2024 (a delay from January 2024) and installation will follow. Steely’s HVAC system redesign is on hold pending approval of additional funding for this project from the 2024-2026 AP funds. The new switchgear has been ordered. A 14 to 16-month delivery timeframe is expected.

Engineer: KLH Engineers (Switchgear); CMTA (Air Handlers)

Contractor: Mayers Electric (Generator and Switchgear), TBD (Air Handlers)

Scope: \$5,000,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Spring 2026

9. **Kentucky Hall Transformer and Water Heater (AP)**

Replacement of Kentucky Hall’s main pad-mounted electrical transformer and water heater are critical needs due to poor condition and signs of failure. The water heater was installed by Schmidt Plumbing in August 2023. The transformer was ordered but the vendor cancelled the order (we require a dual-switch transformer). An order was placed with a new vendor but the delivery date hasn’t been confirmed.

Contractor: SECO Electric (Transformer), Schmidt Plumbing (Water Heater)

Scope: \$135,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%) (Transformer) and NKU Bonds – Supported by Housing Bond Revenue (Water Heater)

Anticipated Completion: Spring 2025

10. **Replace Maintenance Building Rooftop HVAC Units (AP)**

Maintenance Building rooftop HVAC units are in poor condition, increasingly unreliable, and overdue for replacement. Replacement equipment is on-site and a summer 2024 completion is anticipated.

Contractor: Blau Mechanical

Scope: \$175,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Summer 2024

11. **Replace Steel Gas Lines (AP)**

Over the last 20 years as new buildings were constructed on campus, some of the older steel natural gas piping was replaced with new, more durable plastic piping. The remaining steel gas lines are subject to corrosion and leaks. This project provides funding to address the

areas of highest concerns. Replacement of these older lines will ensure a safe and reliable gas supply to the university.

The first phase of the project, to disconnect the steel gas line leading into Nunn Hall, was completed in June 2023. The second phase, to conduct a field survey and gas line verification, was completed in October 2023. Bidding for the third phase, replacement of some of these steel gas lines, is underway.

Contractor: Russmar Utility Management Company (1st & 2nd Phases)

Scope: \$500,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Winter 2024-2025



Steel and Plastic Pipe Juncture near Norse Commons

12. **Kentucky and Commonwealth Halls / Emergency Generator Replacement (AP)**

The emergency generator serving Kentucky and Commonwealth Halls was original (1982) and beyond its useful life. The generator provides critical back-up power in the event of a power outage. The generator arrived in January and installation was completed in May.

Contractor: SECO Electric

Scope: \$105,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Completion: May 2024

13. **Underground Water Valves (AP)**

Numerous water valves within the campus underground water distribution system failed during a water main break last fall. The failed valves resulted in a major water disruption throughout campus. This project will strategically replace underground isolation valves to allow future repairs to be undertaken with fewer water disruptions. The first phase of the project included replacement of ten isolation valves across campus. A second phase to replace additional valves will begin in summer 2024, to be complete in summer 2025.

Contractor: Schmidt Plumbing

Scope: \$225,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Summer 2025



Replacement Water Valve

14. **Business Academic Center and Mathematics-Education-Psychology Center Fire Alarm System Replacements (AP)**

The fire alarm system and associated devices in these two buildings were in critical need of replacement. This project included installation of “smart” devices to bring systems in both buildings up to code. This critical life safety project is complete.

Contractor: Ohio Valley Electric/IPS

Scope: \$540,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Completion: May 2024

15. **Campbell Hall Roof Restoration (AP)**

This project is designed to restore the Campbell Hall roof system by repairing the existing roof membrane and applying a new reinforced waterproofing topcoat over the entire roof. When completed, the restored system will have a 20-year warranty. Roof restoration, rather than complete replacement, extends roof life, saves resources, lowers replacement costs by up to 50%, and reduces landfill materials up to 10%, among other benefits. Work began in October and about half of the restoration work is complete. The remaining work will be completed this summer.

Contractor: WTI-Tremco

Scope: \$790,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Summer 2024



Campbell Hall Roof Restoration Underway

16. **Campbell Hall Return Air System Upgrade (AP)**

The return air system in Campbell Hall is in poor condition. New equipment will ensure proper airflow, enhance indoor air quality and improve occupant comfort. New VAV boxes have been delivered and work began in the spring. A fall 2024 completion is anticipated.

Engineer: CMTA

Contractor: Triton

Scope: \$450,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2024

17. **Nunn Hall Roof Restoration (AP)**

The Nunn Hall roof is at the end of its life and overdue for restoration. Roof restoration, rather than complete replacement, extends roof life, saves resources, lowers replacement costs by up to 50%, and reduces landfill materials up to 10%, among other benefits. The installation contract was awarded to WTI-Tremco. Due to other renovations occurring in the building, roof restoration work has been delayed until fall 2024.

Contractor: WTI-Tremco

Scope: \$440,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2024

18. Concrete Sidewalk/Plaza Repairs (AP)

This project encompasses various concrete repairs and replacements to exterior concrete plazas, stairs, retaining walls, etc. This multi-phased project began in fall 2023. Phase one included concrete curb and sidewalk replacement in the vicinity of Central Receiving/Landrum and repairs to the University Center exterior, north stairs.

The second phase includes:

- paver replacement near Norse Commons (complete)
- replacement of deteriorated concrete in front of Steely Library (underway)
- replacement of pavers near the Welcome Center, on the path to the Truist bridge (underway).

A third phase of concrete repairs is being planned.

Contractor: Tumlin

Scope: \$500,000 (\$173,000 under contract or complete)

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2024



Central Plaza Repairs, April 2024

19. **University Center Replace Switchgear (AP)**

The switchgear in University Center, original to this 1977 building, is beyond its useful life expectancy and needs to be replaced. The contract has been awarded and pre-order planning is underway. A 14 to 16 month delivery timeframe is expected.

Engineer: KLH Engineers

Contractor: Mayers Electric

Scope: \$600,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Spring 2026

20. **University Center Miscellaneous Renovations (AP)**

Miscellaneous renovations in the University Center will include upgrades to Budig Theater, second floor lobby space, plaza level entries, the passenger elevator cab, first floor restrooms and other renovations to upgrade the functionality and appearance of these spaces. This project is in the bidding phase.

Architect: OMNI Architects

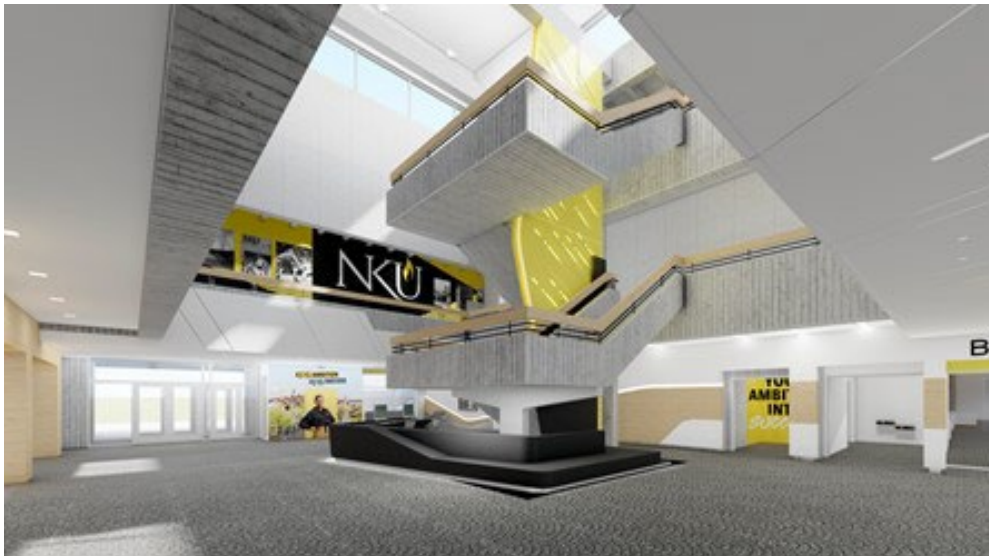
Engineer: Heapy Engineers

Contractor: TBD

Scope: \$2,000,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2025



Renovated University Center Atrium (Rendering provided by Omni Architects)

21. **Replace Flooring/Main Campus (AP)**

Interior flooring in main campus buildings is to be replaced based on an assessment of existing conditions including safety, deterioration, damage and age. This is a multi-year project, and replacements will be spread across campus. Work completed in summer 2023 included areas in the Administrative Center, University Center, and the Mathematics-Education-Psychology Center. Additional flooring replacement is planned for summer and fall 2024.

Contractor: Spectra Flooring

Scope: \$300,000 (Remaining Balance, \$224,747)

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2024

22. **Nunn Hall Façade Repairs (AP)**

The exterior concrete façade of Nunn Hall has a variety of surface-integrity condition issues which are overdue for attention. The condition assessment by a forensics structural engineer recommended extensive repairs, including caulking, sealing and waterproofing. This project was awarded to Buckeye and is underway.

Engineer: THP Limited, Inc.

Contractor: Buckeye Construction & Restoration, LTD

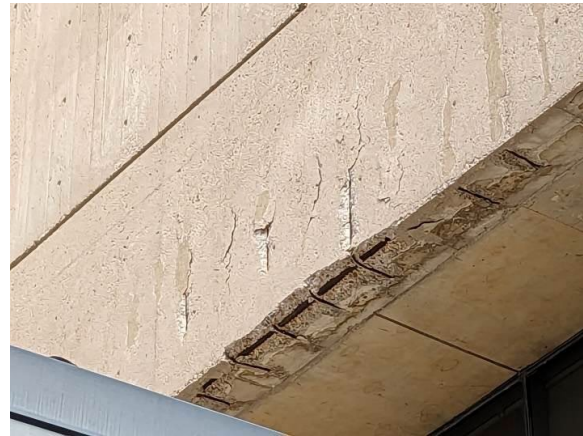
Scope: \$495,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Fall 2024



Spalled concrete slab edge/exposed rebar



Concrete vertical surface spalling

23. **Regents Hall Generator and Switchgear Replacement (AP)**

Regents Hall's emergency generator and switchgear are original to the building (1972) and in critical need of replacement. The generator provides essential back-up power in the event of a power outage. Generator installation is complete. The switchgear has been ordered. Delivery and installation are anticipated to occur in winter 2025-2026.

Engineer: KLH (Switchgear)

Contractor: SECO Electric (Generator), Mayers Electric (Switchgear)

Scope: \$625,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: Spring 2026

24. **Lake Area Repairs (AP)**

The pumps which maintain water levels in the lake failed in July 2023. All lake repairs were completed in April, including repairs to cracks in the retaining wall between the upper and lower ponds (under the bridge) and replacement of the pumps. Water levels have returned to normal conditions.

Engineer: Civil Solutions

Contractor: Civil Solutions; Uretek; Dan Hart Plumbing

Scope: \$550,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Completion: April 2024



Lake Area – April 2024

25. **Steely Library Glass Elevator Modernization**

Steely Library’s glass elevator required modernization to ensure reliability and safe operation. The electrical and mechanical components were worn and misaligned, affecting reliability and consistency of performance. This project is complete.

Engineers: PEDCO Engineering/IMEG

Contractor: Murphy Elevator

Scope: \$395,000

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Completion: April 2024

26. University Suites Exterior Façade Repairs

Various facade repairs addressed at University Suites to eliminate water infiltration issues included: removal and reinstallation of cast stone sills to allow installation of new through-wall flashing; sealant replacement; curtain wall, vinyl siding and masonry repairs and cleaning. Repairs also addressed an isolated area of foundation settlement. This project is complete.

Engineer: THP

Contractor: LRT Restoration

Scope: \$528,418

Fund Source: NKU Bonds – Supported by Housing Bond Revenue; 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Completion: Spring 2024



Façade Repairs Underway at University Suites

27. Miscellaneous Housing Repairs

Various repairs will be accomplished in University Suites, Commonwealth Hall and Norse Hall this summer, as follows.

- At Commonwealth Hall, the software system that monitors building systems and temperatures has been replaced.
- At Norse Hall, “smart” thermostats are in place. This project will extend data cabling throughout the building to connect these thermostats to the Central Power Plant’s monitoring system, with software modifications to allow 24 x 7 monitoring of building systems. In addition, new fiber cabling will be installed in each suite for new wireless access devices.
- Several projects will be completed at University Suites as part of a multi-phased approach to updating this residence hall.

- New interior LED lighting has been installed in each suite (this work was funded with insurance proceeds), providing much brighter and more efficient lighting.
- A second priority is completion of various shower-related repairs throughout the building.
- Finally, remaining funds will be used to update suites with new flooring and paint. Bidding is underway for both the shower and suite flooring upgrades. The number of suites to be updated will be confirmed after bidding is complete. Construction will be complete in summer 2024, prior to the start of the fall semester.

Contractors: EliteAire (Commonwealth Hall)
 SECO and Schneider Electric (Norse Hall)
 Mayers Electric (University Suites - Lighting)
 TBD (University Suites – Shower Repairs, Flooring & Paint)
Scope: \$471,744 in 2022-2024 AP Funds + \$71,145.82 in Insurance Proceeds
Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)
Anticipated Completion: Summer 2024

28. Replace Road and Sidewalk Lighting

This project includes replacement of end-of-life sidewalk and roadway lighting and is a follow-up to a phased campus improvement project. With this phase, lighting bollards along the sidewalk between Mathematics-Education-Psychology Center and Business Academic Center will be replaced. In addition, a number of roadway and parking lot light poles are safety concerns due to poor condition. The poles to be replaced are along Kenton Drive as well as in parking lots D, F, and O. This project is in the bidding phase. An installation schedule will be developed once delivery lead times are known.

Contractor: TBD
Scope: \$610,000 in AP Funds
Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)
Anticipated Completion: TBD

29. Truist Arena Controls Upgrade

Due to incompatibility with newer versions of Windows, the software programming which operates the HVAC control system in Truist Arena must be replaced. The communications devices are also obsolete and will be replaced. Work will be completed by Schneider Electric using the Omnia Contract.

Contractor: Schneider Electric
Scope: \$129,000 in AP Funds
Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)
Anticipated Completion: December 2024

30. **Roadway Paving**

AP funds will be used to complete repaving work this summer; the first phase of this project was completed in summer 2023. Summer 2024 paving projects include Nunn Drive from the Nunn Drive roundabout up to the Baptist Student Ministry building, including the section that leads to the Steely circle; Campbell Drive from Kenton Drive to the north Maintenance Building entrance; and the Student Union circle.

Contractor: Eaton Asphalt

Scope: \$400,000 in AP Funds (this amount includes funds spent in summer 2023)

Fund Source: 2022-2024 AP Funds (86.96%) and NKU Match (13.04%)

Anticipated Completion: August 2024

32. **Grant County Center / Nursing Lab Renovation**

The Grant County Center is being renovated to create two nursing skills labs and other support spaces for a new rural Bachelor of Nursing program. Work began in May 2024 and will be complete in August 2024. Per NKU's agreement with the Kentucky Board of Nursing, each cohort can accommodate up to 30 students. The first cohort of students will begin classes this fall. As of the end of April, 21 applications had been received.

Contractor: Century Construction

Scope: \$238,362.13

Fund Source: CPE Healthcare Workforce Collaborative (Grant Funds)

Anticipated Completion: August 2024

33. **Griffin Hall - Water Freeze Event Damage Repairs**

Griffin Hall sustained minor water damage to various areas at the south end of the building, on all five floors, during the winter freeze event December 24, 2022. The damage was cleaned-up and nearly all rooms have been in use. The repair work was called-out in the insurance adjustor's assessment report and was bid in May. Work to be completed this summer includes painting, carpet and flooring replacement, and lighting and ceiling replacement in various rooms. It is expected that all work will be completed prior to the start of the fall semester.

Contractor: TBD

Scope: TBD

Fund Source: Insurance Reimbursement

Anticipated Completion: August 2024

34. Welcome Center Garage Steel Stair Replacement

The steel stairs at the south end of the Welcome Center Garage (near the Power Plant) are in poor condition and in need of replacement. A replacement stair will be bid as soon as the structural engineer completes design work. Because of life safety concerns, the stairs closed at the end of April. Due to reduction in egress capacity as determined by the State Fire Marshall, part of the garage's parking capacity on the 2nd floor was taken offline until the new stairs are in place. A timeline for this project has not yet been determined.

Contractor: TBD

Engineer: THP

Scope: \$160,000

Fund Source: Parking Services

Anticipated Completion: TBD

**NKU Capital Update
2024 Legislative Session**

The 2024 General Assembly authorized substantial funding for capital and asset preservation projects for the 2024-2026 biennium. In the current 2022-2024 biennium, the state was also able to provide substantial capital funding for the state’s campuses; it has been unusual in Kentucky to have back-to-back biennia with robust capital funding.

Board of Regents approval to move forward with the following state-funded (“State Bonds”) projects will be requested at the appropriate time. Projects not funded with state bonds may or may not move forward, their initiation is dependent on the availability of funding. Note that any project undertaken with a scope of more than \$1M must be authorized by the General Assembly. Only the General Assembly can approve debt financing.

2024-2026 Authorized Projects	Authorized Funding Sources				
	State Bonds	Agency Bonds	NKU Funds (Restricted)	Other / Third Party Financing / Private Funds	Total Scope
Renew/Renovate Landrum Hall	\$49,000,000			\$3,000,000	\$52,000,000
Renovate/Expand Civic Center	\$17,300,000		\$3,700,000		\$21,000,000
Enhance Online Programs	\$20,000,000				\$20,000,000
2024-2026 Asset Preservation Funds	\$46,152,000				\$46,152,000
New Chase College of Law / Covington / Commonwealth Center for Biomedical Excellence	\$125,000,000				\$125,000,000
Expand Herrmann Science Center Reauthorization (of 2022-24 authority)	\$79,900,000			\$5,000,000	\$84,900,000
Expand/Renovate Soccer Complex				\$36,000,000	\$36,000,000
Replace Recreation Field Turf Reauthorization			\$2,000,000		\$2,000,000
Guaranteed Energy Savings Performance Contracts				\$0	\$0
2022-2024 State AP Funds Reauthorization - for Matching Funds			\$7,020,000		
Renovate Nunn Hall Phase I				\$4,500,000	\$4,500,000
Renovate Residence Halls Add'l Reauthorization		\$15,000,000			\$15,000,000
Renew/Repair Parking Garage Pool		\$3,000,000			\$3,000,000
Replace Event Center Technology				\$4,500,000	\$4,500,000
Acquire Land/Master Plan 2010-2012 Reauthorization		\$17,500,000	\$4,000,000	\$4,000,000	\$25,500,000

OFFICE OF RESEARCH, GRANTS, AND CONTRACTS REPORT

The attached report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for January 1, 2024 through March 31, 2024 for Fiscal Year 2023-24:

- During the January 1, 2024 through March 31, 2024 time period, **18** grants were awarded. The total amount of money awarded was **\$285,964**.
- For the fiscal year 2023-24, the cumulative total number of grants awarded is **65** totaling **\$8,809,954**.

Office of Research, Grants and Contracts (RGC)
Grants Awarded Funding: January 01, 2024 - March 31, 2024

**PRELIMINARY REPORT
FY 2024**

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor Total</u>
		<u>Department</u>			
<i>College of Arts & Sciences</i>					
Continuation	Other	Ctr Integrative Nat Science & Math (CINSAM)	NASA Kentucky Space Grant Consortium - KY FIRST LEGO League	University of Kentucky Research Foundation	\$25,000
Continuation	Basic Research	Physics,Geology & Engineering Technology (PGET)	The Trans-Iron Galactic Element Recorder for the International Space Station, TIGERISS	Washington University in St. Louis	\$51,456
New	Basic Research	Biological Sciences	Adult and larval insecticide susceptibility status for the insecticide Fipronil on Culex restuans and Culex pipiens mosquitoes	Kentucky Academy of Science	\$4,826
Renewal	Basic Research	Physics,Geology & Engineering Technology (PGET)	Milky Way Mapper (2024 Renewal)	Astrophysical Research Consortium	\$19,943
<i>College of Education</i>					
New	Student Support	College of Education	Adult Education-Master of Arts program recruiting/Assistance	Kentucky Education Workforce & Development Cabinet	\$95,500
<i>College of Health & Human Services</i>					
Renewal	Other	School of Nursing	Horseman's Wellness Center at Turfway Park 23-24	Kentucky Racing Health & Welfare Fund	\$19,368
<i>College of Informatics</i>					
New	Applied Research	School of Computing & Analytics	An AI-Driven System for Enhancing Interaction in Individuals with □ Communication Disabilities	Kentucky Academy of Science	\$4,914
New	Instruction	School of Computing & Analytics	NCAE-C Cybersecurity Workforce Innovation	University of Louisville Research Foundation	\$16,000

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor Total</u>
		<u>Department</u>			
<i>Graduate Education, Research & Outreach</i>					
New	Student Support	Kentucky Campus Compact	KCC PreETS	Kentucky Office of Vocational Rehabilitation	\$0
New	Instruction	Scripps Howard Center for Civic Engagement	2024 Spring Experiential Philanthropy Courses	Elsa Heisel Sule Foundation	\$4,000
New	Instruction	Scripps Howard Center for Civic Engagement	NKU Experiential Philanthropy Classes	Durr Foundation	\$4,000
New	Public Service	Scripps Howard Center for Civic Engagement	NKU Volunteerism	EngageKY+	\$20,916
Renewal	Instruction	Scripps Howard Center for Civic Engagement	Mayerson Student Philanthropy Project	Greater Cincinnati Foundation	\$2,000
<i>Institute for Health Innovation</i>					
New	Public Service	Institute for Health Innovation	Family Wellbeing Cards	Fitness for Life Around Grant County	\$5,000
New	Public Service	Institute for Health Innovation	Mini Sim Center	The Health Collaborative	\$5,000
<i>Operations & Maintenance</i>					
New	Other	Facilities Management	Community Garden Rebuild	Duke Energy	\$2,000
<i>Student Engagement</i>					
New	Public Service	Student Wellness	Cincinnati Warrior Run	1N5	\$191
<i>Undergraduate Academic Affairs</i>					
New	Student Support	Student Affairs	Students to Scholars	Complete College America	\$5,850

Total Number of Awards This Period: 01/01/2024 - 03/31/2024	18	Total Funds Awarded	\$285,964
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<i>Cumulative FY 2024</i> <i>Total Number of Awards: 07/01/2023 - 06/30/2024</i>	65	<i>Cumulative FY 2024</i> <i>Total Funds Awarded</i>	\$8,809,954
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REPORT: FUNDRAISING RESOURCES

The following Fundraising Report summarizes fundraising resources committed from July 1, 2023, through April 30, 2024, totaling \$5,018,425 in support of the university.

The report includes:

1. Resources in support of the colleges, Academic Affairs and University Designated programs, Steely Library, Norse Athletics, Institute for Health Innovation/Health Innovation Center, and Student Affairs.
2. Resources for Fiscal Year 2024.

FY24 Fundraising Resources Through 04/30/24	
Designation	FY 2024 at 04/30/2024
Academic Affairs/Univ. Designated	\$1,530,453
Athletics	\$403,907
Chase College of Law	\$718,917
College of Arts and Sciences	\$1,444,619
College of Education	\$77,693
College of Health and Human Services	\$118,111
College of Informatics	\$410,287
Haile College of Business	\$185,089
Honors College	\$6,311
Institute for Health Innov./Health Innov. Ctr.	\$650
Steely Library	\$42,428
Student Affairs	\$79,959
Total	\$5,018,425

POLICIES REPORT

The following policy was approved at the executive level after proceeding through the campus vetting process. The President and other university administrators determined that approval of this policy by the Board of Regents was not needed per the [criteria established in Presidential Recommendation C-7 of the January 2015 regular meeting](#):

The Board of Regents shall approve the following criteria to determine if a university policy requires Board approval:

- The policy identifies a major university strategic initiative;
- The policy involves the Board's fiduciary responsibilities;
- The policy is associated with an issue of significant risk; and/or
- The policy must be approved by the Board for legal and compliance purposes

The criteria will be interpreted by the President, who may seek consultation from General Counsel or other university administrators. All new or revised university policies that meet the above criteria will be submitted to the Board for approval.

For efficiency purposes, the administration shall have the authority to make technical or editorial revisions for Board approved policies. A technical or editorial change is a non-substantive change such as the correction of statutory or regulation references, contact names, position titles, department names, office locations, email or web addresses, spelling or grammatical errors, and the like.

DUAL ENROLLMENT (HIGH SCHOOL)

The [Dual Enrollment \(High School\) policy](#) outlines the requirements for NKU's dual enrollment programs. This revision updates the policy to cover both [School-Based Scholars \(SBS\)](#) and [Young Scholars Academy \(YSA\)](#) and references the details for both programs, which are maintained on their respective websites. This revision has been recommended by the Admissions & Academic Policy Committee (AAPC).

DUAL ENROLLMENT (HIGH SCHOOL)

POLICY NUMBER: AAPC-DUALENROLLMENT-HS

POLICY TYPE: ADMISSIONS & ACADEMIC POLICY COMMITTEE (AAPC)

RESPONSIBLE OFFICIAL TITLE: VICE PROVOST FOR UNDERGRADUATE ACADEMIC AFFAIRS

RESPONSIBLE OFFICE: SCHOOL-BASED SCHOLARS/LEARNING PLUS/YOUNG SCHOLARS ACADEMY

NEXT REVIEW DATE: PREVIOUS REVISION PLUS FOUR (4) YEARS –

SUPERSEDES REVISION DATED: 1/31/2022

BOARD OF REGENTS REPORTING (CHECK ONE):

PRESIDENTIAL RECOMMENDATION (CONSENT AGENDA/VOTING ITEM)

PRESIDENTIAL REPORT (INFORMATION ONLY)

I. POLICY STATEMENT

A. ADMISSION

Students are eligible for dual enrollment/dual credit with an unweighted **high school GPA of 3.00 or higher**. Additionally, students must meet additional program-specific requirements as listed below.

SCHOOL-BASED SCHOLARS (SBS) REQUIREMENTS

High school sophomores, juniors, and seniors must meet any course prerequisites. Sophomores may **only** take courses offered at their high school unless granted an exception as follows. Freshmen or sophomores seeking to take an online/on-campus course may apply for an exception via the procedure outlined at the [School-Based Scholars website](#).

Completed School-Based Scholars application packets do not guarantee admission into the program or eligibility for any specific course. All submitted applications will be reviewed by the School-Based Scholars office for admission eligibility. See the [application procedures](#) at the [School-Based Scholars website](#) for more information.

High schools may impose additional requirements (above and beyond those stated) for participation in dual-credit courses.

YOUNG SCHOLARS ACADEMY (YSA) REQUIREMENTS

Applicants must be a rising junior at a participating school. They must have an **ACT math score of 19+** or a **KYOTE college readiness score of 23+**. Students must also have a recommendation from a high school counselor or administrator.

ADDITIONAL REQUIREMENTS

YSA students wishing to switch to the SBS program at the end of the term, or vice versa, must meet all admission and grade criteria for the desired program. These students may be required to submit additional application materials (such as an updated transcript and disclosure form).

B. COURSE ENROLLMENT/WITHDRAWAL

Dual credit enrollment students may enroll in up to the maximum course load allowed for other NKU students. Students should work with their designated high school counselor/administrator and NKU advisor to determine an appropriate number of credit hours and courses for them.

Students may withdraw from a course by the deadlines outlined in the NKU academic calendar. Students must notify their designated high school counselor/administrator of their wish to withdraw.

C. ACADEMIC STANDING

The NKU [Academic Standing policy](#) applies to dual enrollment students. Additional requirements and procedures are as follows.

SCHOOL-BASED SCHOLARS (SBS)

Please see the [School-Based Scholars website](#) for procedures that initiate when a School-Based Scholar is placed on academic warning.

YOUNG SCHOLARS ACADEMY (YSA)

Please see the YSA Handbook on the [Young Scholars Academy website](#) for procedures that initiate when a Young Scholar is not in good standing.

D. DEGREE COMPLETION

Students cannot complete a degree from NKU while in the School-Based Scholars program. Some students may be eligible to earn an associate degree through the Young Scholars Academy.

II. ENTITIES AFFECTED

Admissions, College of Arts and Sciences, College of Health and Human Services, College of Education, College of Business, College of Informatics, Registrar, Financial Aid, First Year Student Success Hub, Learning PLUS, UCAP, School-Based Scholars, Young Scholars Academy, Steely Library, and Student Account Services.

III. AUTHORITY

[Kentucky Council on Postsecondary Education Dual Credit Policy](#)

IV. DEFINITIONS

“**Dual credit**” means a college-level course of study developed in accordance with [KRS 164.098](#) in which a high school student receives credit from both the high school and postsecondary institution in which the student is enrolled upon completion of a single class or designated program of study. ([KRS 158.007](#): Definitions for Chapter.)

“**Dual enrollment**” means a college-level course of study developed in accordance with [KRS 164.098](#) in which a student is enrolled in a high school and postsecondary institution simultaneously. ([KRS 158.007](#): Definitions for Chapter.)

V. RESPONSIBILITIES

The School-Based Scholars program will promote, recruit, schedule classes, register students, and provide information to students, counselors, and administrators throughout the year. This office is the primary contact for the SBS program.

The Young Scholars Academy will promote, recruit, schedule classes, register students, and provide information to students, counselors, and administrators throughout the year. This office is the primary contact for YSA.

Admissions will process each dual-credit student's application materials and test scores.

Financial Aid will administer the [KHEAA scholarships](#) (Dual Credit Scholarship and Work Ready Dual Credit Scholarship) and confirm registrations.

Student Account Services will bill the students and apply the KHEAA scholarships.

The [First Year Student Success Hub](#) may offer advising to dual-credit students as appropriate.

VI. PROCEDURES

The [School Based Scholars](#) and [Young Scholars Academy](#) websites have procedures for new and current students, instructors, school counselors, and academic departments.

VII. EXCEPTIONS

Any exceptions are included in the policy statement in Section I above. Exceptions for special programs may be granted pending Provost approval.

VIII. COMMUNICATIONS

Admissions, College of Arts and Sciences, College of Health and Human Services, College of Education, College of Business, College of Informatics, Registrar, Financial Aid, First Year Student Success Hub, Learning PLUS, UCAP, School-Based Scholars, Young Scholars Academy, Steely Library, Student Account Services and partnering school districts.

IX. REFERENCES AND RELATED MATERIALS

RELATED POLICIES

[Academic Standing \(Warning, Probation, and Suspension\)](#)

REVISION HISTORY

REVISION TYPE	MONTH/YEAR APPROVED
Revision (revised to cover both SBS & YSA)	
Revision & name change	January 31, 2022
New policy: "High School Dual Enrollment"	April 18, 2019
Superseded: "School-Based Scholars NKU Grade Minimum"	February 7, 2012

DUAL ENROLLMENT (HIGH SCHOOL)

PRESIDENTIAL APPROVAL

President	
Signature <i>Cady Short-Thompson</i>	Date 5/10/24
Cady Short-Thompson	

BOARD OF REGENTS APPROVAL

BOARD OF REGENTS (IF FORWARDED BY PRESIDENT)
<input type="checkbox"/> This policy was forwarded to the Board of Regents on the Presidential Report (information only) . Date of Board of Regents meeting at which this policy was reported: ____/____/____.
<input type="checkbox"/> This policy was forwarded to the Board of Regents as a Presidential Recommendation (consent agenda/voting item) .
<input type="checkbox"/> The Board of Regents approved this policy on ____/____/____. (Attach a copy of Board of Regents meeting minutes showing approval of policy.)
<input type="checkbox"/> The Board of Regents rejected this policy on ____/____/____. (Attach a copy of Board of Regents meeting minutes showing rejection of policy.)
SECRETARY TO THE BOARD OF REGENTS
Signature _____ Date _____
Tammy Knochelmann

NORTHERN KENTUCKY UNIVERSITY

QUARTERLY FINANCIAL REPORT

FOR THE PERIOD JULY 1, 2023 THROUGH MARCH 31, 2024

Northern Kentucky University
Quarterly Financial Report

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Statement of Revenues, Expenses and Changes in Net Position

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Statement of Unrestricted Revenue and Expenditures/Transfers by Natural Classification

Current Restricted Fund Schedule of Revenues and Expenditures

Schedule of Bonds Payable

Schedule of Current Investments

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Statement of Net Position
As of March 31, 2024 and 2023
(in thousands)

	2024	2023
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 98,642	\$ 98,034
Notes, loans and accounts receivable, net	11,669	12,930
Lease receivable	251	240
Other current assets	3,862	2,500
Total current assets	114,424	113,704
Noncurrent Assets		
Cash and cash equivalents	975	15,768
Investments	15,562	14,481
Notes, loans and accounts receivable, net	941	1,048
Lease receivable	9,615	10,012
Right to use subscription based IT agreement asset, net	5,317	-
Right to use lease asset, net	1,625	725
Pension prepaid future service	16,207	19,284
OPEB asset	-	41
Capital assets, net	350,420	356,399
Other noncurrent assets	401	401
Total noncurrent assets	401,063	418,159
Total assets	\$ 515,487	\$ 531,863
 DEFERRED OUTFLOWS OF RESOURCES		
Bond refunding loss	\$ 1,202	1,555
Pension and OPEB	740	650
Total deferred outflows of resources	\$ 1,942	\$ 2,205
 TOTAL ASSETS AND DEFERRED OUTFLOWS	 \$ 517,429	 \$ 534,068

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Statement of Net Position
As of March 31, 2024 and 2023
(in thousands)

	2024	2023
LIABILITIES		
Current Liabilities		
Accounts payable and accrued liabilities	\$ 13,760	16,350
Unearned revenue	1,315	1,237
Subscription liabilities-current portion	51	-
Lease liabilities-current portion	80	1
Long-term debt-current portion	1,038	1,051
Other long-term liabilities-current portion	522	251
Total current liabilities	16,766	18,890
Noncurrent Liabilities		
Deposits	42	42
Subscription based IT agreement liabilities	4,925	-
Lease liabilities	1,448	676
Long-term debt	294,977	308,381
Other long-term liabilities	654	673
Net pension and OPEB liabilities	2,043	1,601
Total noncurrent liabilities	304,089	311,373
Total liabilities	\$ 320,855	330,263
DEFERRED INFLOWS OF RESOURCES		
Service agreements	\$ 1,920	2,299
Lease inflows	9,577	10,085
Pension and OPEB	335	715
Total deferred inflows of resources	\$ 11,832	13,099
NET POSITION		
Net investment in capital assets	\$ 246,564	244,238
Restricted		
Nonexpendable	7,616	7,616
Expendable	9,227	14,052
Unrestricted	(78,665)	(75,200)
Total net position	\$ 184,742	\$ 190,706
TOTAL LIABILITIES, DEFERRED INFLOWS AND NET POSITION	\$ 517,429	\$ 534,068

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Statement of Revenues, Expenses and Changes in Net Position
For the Quarters Ended March 31, 2024 and 2023
(in thousands)

	2024	2023
OPERATING REVENUES		
Student tuition and fees (net of scholarship allowances of \$43,808 in 2024 and \$44,620 in 2023)	\$ 109,689	\$ 110,802
Federal grants and contracts	3,474	3,387
State and local grants and contracts	2,654	2,674
Nongovernmental grants and contracts	2,594	2,460
Sales and services of educational departments	3,774	2,723
Auxiliary enterprises		
Housing and food service (net of scholarship allowances of \$988 in 2024 and \$994 in 2023)	8,025	8,151
Other auxiliaries	2,766	2,729
Other operating revenues	8,419	7,544
Total operating revenues	141,395	140,470
OPERATING EXPENSES		
Educational and general		
Instruction	70,805	77,193
Research	1,992	2,261
Public service	9,785	9,330
Libraries	4,705	4,885
Academic support	15,614	17,658
Student services	19,784	19,951
Institutional support	22,658	25,120
Operation and maintenance of plant	11,470	12,177
Depreciation and amortization	13,090	12,065
Student aid	23,266	23,668
Auxiliary enterprises		
Housing and food service	3,680	3,904
Other auxiliaries	827	842
Auxiliary depreciation and amortization	3,156	3,152
Other expenses	248	167
Total operating expenses	201,080	212,373
Net loss from operations	(59,685)	(71,903)
NONOPERATING REVENUES (EXPENSES)		
State appropriations	51,945	50,889
Federal grants and contracts	13,074	11,998
State and local grants and contracts	12,908	13,021
Private gifts and grants	118	87
Investment income	5,424	3,757
Interest on debt	(6,743)	(6,885)
Other nonoperating expenses	(1,402)	(502)
Net nonoperating revenues	75,324	72,365
Gain/(loss) before other revenues, expenses, gains or losses	15,639	462
Capital appropriations	2,535	977
Capital grants and gifts	1,051	232
Total other revenues	3,586	1,209
Change in net position	19,225	1,671
NET POSITION-BEGINNING OF YEAR	165,517	189,035
NET POSITION-END OF YEAR	\$ 184,742	\$ 190,706

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Fiduciary Funds
Statement of Fiduciary Net Position
For the Quarters Ended March 31, 2024 and 2023
(in thousands)

	2024	2023
ASSETS		
Cash and cash equivalents	\$ 15,261	\$ 15,593
Total assets	15,261	15,593
LIABILITIES		
Accounts payable and other liabilities	-	-
Total liabilities	-	-
NET POSITION		
Restricted for:		
Individuals, organizations and other governments	15,261	15,593
Total net position	\$ 15,261	\$ 15,593

Northern Kentucky University
A Component Unit of the Commonwealth of Kentucky
Fiduciary Funds
Statements of Changes in Fiduciary Net Position
For the Quarters Ended March 31, 2024 and 2023
(in thousands)

	2024	2023
ADDITIONS		
In-lieu fees	\$ 248	\$ 572
Investment income	603	401
Total additions	851	973
DEDUCTIONS		
Contract expense	797	785
Land & Right of Way	141	-
Administrative fees	15	34
Total deductions	953	819
Net decrease in fiduciary net position	(102)	154
Net position - beginning	15,363	15,439
Net position - ending	\$ 15,261	\$ 15,593

NORTHERN KENTUCKY UNIVERSITY
Current Fund Unrestricted Revenues and Expenses/Transfers by Functional Area
For the Period from July 1, 2023 to March 31, 2024
With Comparative Prior Year Data
(in thousands)

	2024				2023			
	Original Budget*	Revised Budget**	Actual 3/31/24	% Revised	Original Budget*	Revised Budget**	Actual 3/31/23	% Revised
Revenue								
Educational and General								
Student Tuition and Fees	\$ 164,865	\$ 164,235	\$ 153,497	93%	\$ 173,582	\$ 168,549	\$ 155,422	92%
State Appropriations	64,931	64,931	51,945	80%	63,611	63,611	50,889	80%
Sales and Services	4,565	4,809	3,774	78%	4,210	4,422	2,723	62%
Other	14,492	15,278	12,144	79%	10,998	10,824	10,009	92%
Total Ed and General	248,853	249,253	221,360	89%	252,401	247,406	219,043	89%
Auxiliary Enterprises								
Revenue Sources	15,630	15,630	11,654	75%	13,574	14,051	11,748	84%
Total Auxiliary Enterprises	15,630	15,630	11,654	75%	13,574	14,051	11,748	84%
Total Revenue	\$ 264,483	\$ 264,883	\$ 233,014	88%	\$ 265,975	\$ 261,457	\$ 230,791	88%
Expenses/Transfers								
Educational and General								
Instruction	\$ 94,536	\$ 97,756	\$ 69,915	72%	\$ 97,549	\$ 101,557	\$ 75,311	74%
Research	717	804	551	69%	728	798	534	67%
Public Service	8,612	9,031	5,883	65%	7,482	7,862	5,611	71%
Libraries	5,755	5,418	4,662	86%	6,878	6,164	4,670	76%
Academic Support	27,314	20,015	16,018	80%	28,384	22,374	16,372	73%
Student Services	23,930	23,571	19,417	82%	22,441	23,542	17,699	75%
Institutional Support	28,441	32,042	16,113	50%	28,805	33,163	23,227	70%
Oper. and maint. of plant	18,666	17,534	11,531	66%	18,486	18,227	11,719	64%
Student Financial Aid	42,160	41,046	39,769	97%	31,700	36,600	42,525	116%
Other	1,004	1,408		0%	2	-		
Transfers								
Mandatory: Debt Service	10,442	8,386	7,174	86%	16,221	7,660	5,723	75%
Mandatory: Other		7	(3)	-43%		14	(1)	-7%
Nonmandatory	2,707	5,117	3,675	72%	(810)	2,227	2,372	107%
Total Educ and General	264,284	262,135	194,705	74%	257,866	260,188	205,762	79%
Auxiliary Enterprises								
Auxiliary expenses	8,555	8,377	5,512	66%	4,512	7,427	5,716	77%
Transfers								
Mandatory: Debt service	7,201	7,210	7,186	100%	7,763	7,758	7,755	100%
Nonmandatory	550	568	271	48%	1,334	1,984	1,783	90%
Total Auxiliary Enterprises	16,306	16,155	12,969	80%	13,609	17,169	15,254	89%
Total Expenses/Transfers	\$ 280,590	\$ 278,290	\$ 207,674	75%	\$ 271,475	\$ 277,357	\$ 221,016	80%
Addition to (use of) net position	\$ (16,107)	\$ (13,407)	\$ 25,340		\$ (5,500)	\$ (15,900)	\$ 9,775	

NORTHERN KENTUCKY UNIVERSITY
Current Fund Unrestricted Revenues and Expenses/Transfers by Natural Classification
For the Period from July 1, 2024 to March 31, 2024
With Comparative Prior Year Data
(in thousands)

	2024				2023			
	Original Budget*	Revised Budget**	Actual 3/31/24	% Revised	Original Budget*	Revised Budget**	Actual 3/31/23	% Revised
Revenue								
Educational and General								
Student Tuition and Fees	\$ 164,865	\$ 164,235	\$ 153,497	93%	\$ 173,582	\$ 168,549	\$ 155,422	92%
State Appropriations	64,931	64,931	51,945	80%	63,611	63,611	50,889	80%
Sales and Services	4,565	4,809	3,774	78%	4,210	4,422	2,723	62%
Other	14,492	15,278	12,144	79%	10,998	10,824	10,009	92%
Total Ed and General	<u>248,853</u>	<u>249,253</u>	<u>221,360</u>	89%	<u>252,401</u>	<u>247,406</u>	<u>219,043</u>	89%
Auxiliary Enterprises								
Revenue Sources	15,630	15,630	11,654	75%	13,574	14,051	11,748	84%
Total Auxiliary Enterprises	<u>15,630</u>	<u>15,630</u>	<u>11,654</u>	75%	<u>13,574</u>	<u>14,051</u>	<u>11,748</u>	84%
Total Revenue	<u>\$ 264,483</u>	<u>\$ 264,883</u>	<u>\$ 233,014</u>	88%	<u>\$ 265,975</u>	<u>\$ 261,457</u>	<u>\$ 230,791</u>	88%
Expenses/Transfers								
Salary and Wages	\$ 109,606	\$ 109,741	\$ 81,196	74%	\$ 115,997	\$ 115,054	\$ 88,564	77%
Benefits	37,348	36,703	23,180	63%	38,076	37,627	25,509	68%
Contracted Services	25,972	26,056	17,431	67%	25,028	25,736	18,055	70%
Operating/nonoperating	32,463	31,820	20,282	64%	25,426	30,996	21,041	68%
Utilities	7,412	7,412	4,026	54%	6,205	7,106	4,294	60%
Capital	4,174	3,669	3,004	82%	4,256	4,317	2,903	67%
Student Financial Aid	42,715	41,601	40,252	97%	31,979	36,878	43,018	117%
Debt service	17,643	15,596	14,360	92%	23,984	15,418	13,478	87%
Other transfers	3,257	5,692	3,943	69%	524	4,225	4,154	98%
Total Expenses/Transfers	<u>\$ 280,590</u>	<u>\$ 278,290</u>	<u>\$ 207,674</u>	75%	<u>\$ 271,475</u>	<u>\$ 277,357</u>	<u>\$ 221,016</u>	80%
Addition to (use of) net position	<u>\$ (16,107)</u>	<u>\$ (13,407)</u>	<u>\$ 25,340</u>		<u>\$ (5,500)</u>	<u>\$ (15,900)</u>	<u>\$ 9,775</u>	

NORTHERN KENTUCKY UNIVERSITY

Current Restricted Fund

Schedule of Actual Revenues and Expenditures

For the Period from July 1, 2023 to March 31, 2024

With Comparative Prior Year Data

	Fiscal Year To Date 3/31/24	Fiscal Year To Date 3/31/23	Difference	Percentage Change	Fiscal Year 2023 Final
Revenues by Source					
Federal Grants & Contracts	\$ 2,949,571	\$ 3,005,948	\$ (56,377)	-2%	\$ 4,587,050
State and Local Grants & Contracts	2,697,965	2,723,659	(25,694)	-1%	4,247,513
Nongovernmental Grants & Contracts	922,462	800,253	122,209	15%	961,732
Federal Financial Aid Programs	13,803,632	12,412,965	1,390,667	11%	13,376,382
State Financial Aid Programs	12,864,241	12,971,336	(107,095)	-1%	13,004,401
NKU Foundation Subgrants	1,968,572	1,779,909	188,663	11%	3,007,376
Agency Subgrants	60,201	34,934	25,267	72%	45,594
Total Revenues	\$35,266,644	\$33,729,004	\$ 1,537,640	5%	\$ 39,230,048
Expenditures by Function					
Instruction	\$ 1,150,562	\$ 1,113,701	\$ 36,861	3%	\$ 1,651,002
Research	1,600,889	1,778,904	(178,016)	-10%	2,676,408
Public Service	3,873,557	3,539,600	333,957	9%	5,099,899
Libraries	43,007	51,781	(8,775)	-17%	48,344
Academic Support	327,608	315,989	11,618	4%	670,156
Student Services	846,648	794,343	52,305	7%	1,236,264
Institutional Support	854,400	952,479	(98,079)	-10%	1,352,829
Operation & Maintenance of Plant	2,995	4,005	(1,011)	-25%	3,503
Student Financial Aid	27,184,565	25,614,731	1,569,834	6%	26,696,662
Auxiliary Enterprises - Student Services	6,878	-	6,878	0%	-
Total Expenditures	\$35,891,109	\$34,165,533	\$ 1,725,574	5%	\$ 39,435,067

NORTHERN KENTUCKY UNIVERSITY
Schedule of Bonds Payable
Through the Period Ended March 31, 2024

	Date Issued	Maturity Date	Original Indebtedness	Outstanding Indebtedness	Principal Due This Fiscal Year	Interest Due This Fiscal Year
GENERAL RECEIPTS BONDS*						
Series A 2014	01/07/2014	09/01/2033	47,375,000	29,290,000	2,225,000	1,472,150
Series A 2016	05/17/2016	09/01/2027	25,765,000	12,550,000	2,840,000	484,200
Series B 2016	08/25/2016	09/01/2028	15,225,000	9,430,000	1,240,000	325,494
Series A 2019	11/12/2019	09/01/2044	37,870,000	34,840,000	1,060,000	1,197,100
Series A 2020	11/04/2020	09/01/2027	5,775,000	3,440,000	800,000	115,200
Series B 2020	11/04/2020	09/01/2027	3,440,000	2,045,000	480,000	58,050
Series A 2021	04/20/2021	09/01/2050	205,450,000	189,935,000	4,940,000	5,549,917
Series B 2021	10/21/2021	09/01/2030	5,025,000	4,040,000	505,000	131,638
TOTAL BONDS			345,925,000	285,570,000	14,090,000	9,333,749
LEASE OBLIGATIONS						
Energy Mangement Lease	01/24/2019	11/24/2030	4,087,706	2,537,308	328,199	73,666
Cisco Systems Lease	10/01/2023	01/01/2029	2,248,806	1,874,005	374,801	-
TOTAL LEASE OBLIGATIONS			6,336,512	4,411,313	703,000	73,666
TOTAL BONDS AND LEASES			\$ 352,261,512	\$ 289,981,313	\$ 14,793,000	\$ 9,407,415

NORTHERN KENTUCKY UNIVERSITY
Schedule of Investments
As of March 31, 2024

	<u>YTD Average Balance</u>	<u>YTD Yield</u>	<u>Maturity Date</u>
State investments*			
State Investment Short Term Pool - General Receipts	\$ 48,312,376	5.24%	N/A
State Investment Intermediate Term Pool - General Receipts	1,227,302	4.50%	N/A
State Trust & Agency	221,277	0.00%	N/A
State Investment Bond Funds	173,363	5.01%	N/A
Total state investments	<u>49,934,319</u>		
Local investments**			
Bank Balances	59,275,350	5.22%	N/A
Bank Balances - Nonpooled	1,085,982	0.80%	N/A
Total local investments	<u>60,361,332</u>		
Total state and local investments	<u>\$ 110,295,651</u>		

*Invested at the state by the Office of Financial Management in investment pools.

ORGANIZATION CHANGES REPORT

ADMINISTRATION AND FINANCE

A new org unit named “Administrative Shared Services” has been created under the Vice President for Administration and Finance/CFO. The purpose is to provide administrative and budget support for those Units within the Administration and Finance division or other Divisions that lack the referenced services.

**Northern Kentucky University
Department of Intercollegiate Athletics 2023-24 Report**

NKU Athletics is committed to providing an opportunity for each student-athlete to have a transformative collegiate athletics experience, earning a college degree, competing for individual and team conference championships, engaging in building meaningful relationships within the community, and promoting the Northern Kentucky University brand throughout the community and nationally.

Academic Excellence

Meeting one of the three benchmarks below is required to earn the NCAA academic based revenue distribution. For 2023-24, NKU Athletics met two of the three benchmarks and will receive an NCAA distribution in Summer 2024.

- Graduation success rate (GSR) holds institutions accountable for student-athletes who transfer to their school. GSR does not penalize institutions for student-athletes that leave the institution in good academic standing and eligible.
Benchmark of GSR (2016-17) at or above 90% was met. NKU Athletics had a GSR of 94%.
- Federal graduation rate (FGR) accounts for first-year, full-time student-athletes who entered an institution on athletics aid and graduated from that institution within six years. Benchmark of FGR (2016-17 cohort) at 13 percentage points or more above the general student body was not met. NKU student body FGR was 52% and NKU student-athlete FGR was 53% for the cohort. Several student-athletes in this cohort left the institution eligible.
- Academic progress rate (APR) is the measure of student-athletes remaining academically eligible and NKU retaining them term to term.
Benchmark of APR (2022-23) of 985 or better was met. NKU Athletics had an APR of 997.

NKU Athletics aims to achieve a student-athlete GPA that is at or above a 3.2 and higher than the undergraduate student body each semester. For the Fall 2023 semester, NKU student-athletes achieved a 3.393 department GPA that was above the undergraduate student body GPA of 3.11.

All 15 teams earned above a 3.06 GPA. Twenty-six student-athletes achieved a perfect 4.0 GPA and over 200 student-athletes earned a GPA of 3.0 or higher.

Highest team GPA:

- Women's Tennis = 3.749

Spring 2024 semester GPA was not final as of the day of submitting this report.

In addition to monitoring GPA and graduation rates, Athletics collaborated with university partners and encouraged student-athletes to attend events that provide life skills programming and prepare students for careers after college. The following are events student-athletes were encouraged to attend:

- The Career Expo hosted by NKU Career Service – February 21 -22, 2024
- 2024 Spring Professionalism Workshop Series hosted by Haile College of Business
 - Generational Communication in the Business World – January 25, 2024

- Interview Etiquette – February 20, 2024
- How to Build a Strong Professional Brand – March 19, 2024
- Diversity in the Workplace – April 18, 2024
- Planning for Graduate/ Professional School Workshop hosted by NKU Career Services – March 19, 2024
- NKU Care Closet career ready outfit event – February 5, 2024
- Care Closet & Career Services partnered to help students pick out appropriate interview attire for the Career Expo as well as future job interviews.

Community and Alumni Engagement

Sports programs recorded 2,025 hours of community service with participation coming from men’s basketball, women’s basketball, cheerleading, women’s golf, men’s soccer, women’s soccer, softball, and women’s volleyball.

NKU Athletics hosted events that engaged with former student-athletes, donors and fans. Those events included:

- Hall of Fame induction ceremony on December 9, 2023.
- Student-athlete alumni reunion at the women’s basketball and men’s basketball double header on January 20, 2024. During those games, we honored NKU legendary women’s basketball coach Nancy Winstel and NKU legendary men’s basketball coach Ken Shields with a hanging of banners in Truist Arena.
- Head Coaches & AD Dinner on April 17, 2024.
- Victors student-athlete awards dinner on April 29, 2024, was expanded giving student-athletes the opportunity to invite family, and donors and sponsors were invited to attend the event. There were attendees from all three of these newly invited groups.

Competitive Excellence

As of the date of submission of this report, softball and baseball have yet to complete their seasons.

Horizon League Team Updates and Awards:

- Baseball
 - Off to the best start in NKU’s NCAA Division I era
 - Just two wins away from tying the Division I record for most wins in a single season
 - At the top of the conference standings as the Norse look to win the first Horizon League championship in program history
- Men’s Basketball
 - Marques Warrick sets school recording in scoring, passing NKU legend Drew McDonald; first NKU student-athlete to cross the 2,200-point plateau. Marques finished his career ranked seventh all-time in the Horizon League in scoring
 - Trey Robinson was named the Horizon League’s Defensive Player of the Year to go with a nod to the conference’s All-Defensive Team
 - Warrick was honored with All-League First Team and the Sportsmanship Award
 - Randall Pettus II earned the conference’s All-Freshman Team honors
 - NKU advanced to Indianapolis for the Horizon League Semifinals, the fifth straight trip to Indy under head coach Darrin Horn
 - Warrick also earned NABC All-District honors
- Women’s Basketball

- Carter McCray was one of the best freshman student-athletes in the nation this year as she claimed the Horizon League Freshman of the Year, All-League Second Team and All-Freshman Team honors
- Khamari Mitchell-Steen was also an All-League Second Team selection
- Men's and Women's Cross Country
 - Colton O'Hara earned Second Team All-League honors with his 14th-place finish at the Horizon League Championships. Colton was the first NKU student-athlete to win the award since 2018
 - Sophia DeLisio also earned All-League Second Team as she finished in 11th at the conference championships
 - Layla Henderson was named the Horizon League Freshman of the Year on the women's side, becoming the first student-athlete in school history to earn the conference's women's Freshman of the Year award
 - Best Women's Finish at the championship meet since 2020
 - DeLisio was also named to the Horizon League All-Academic Team while O'Hara was an All-Academic Honorable Mention
- Men's Golf
 - Recorded its best team finish at the Horizon League Championships since 2018-19
- Women's Golf
 - Posted its best team finish at the Horizon League Championships since 2016-17
- Men's Soccer
 - Roberto Burlew and Sam Robinson were selected as All-League Second Team honorees
 - Rashad Suhaiba and Jason Purks also took home All-Freshman Team honors from the conference
 - Simon Knuewe performed well both on the pitch and in the classroom as he was named to the Horizon League All-Academic Team
- Women's Soccer
 - After being picked eighth in the conference preseason poll, the Norse went on a magical run to the Horizon League Championships, where they finished as runner-up
 - Lydia Self was named the Horizon League's Defensive Player of the Year to go with All-League First Team honors
 - Mickayla Kowalski (All-League Second Team), Kaya Vogt (All-League Second Team), Lilly Yordy (All-League Second Team), Erykah Cornett (All-Freshman Team) and Sophia Kapszukiewicz (All-Freshman Team) also were recognized for their outstanding play this season
 - Self also took home United Soccer Coaches All-North Region honors
- Softball
 - Currently defending their Horizon League Championships as they advanced to the second day of the conference tournament
 - Maddie Lacer was named the Co-Defensive Player of the Year, won the Sportsmanship Award and earned All-League Second Team honors
 - Ella LeMonier and Jena Rhoads picked up All-League First Team honors
 - Lauryn Hicks and Olivia Pastin were named All-League Second Team
 - First-year standout Brooklyn Ballis also earned All-Freshman Team honors

- Men's Tennis
 - It was a record-setting year for the Norse in 2023-24 as the team set the school record for most conference wins in NKU's NCAA Division I era to go with tying the NCAA DI mark for most victories overall during a single campaign
 - Nick Nienhaus was named All-League First Team while Josef Zapletal earned All-League Second Team honors
 - Nienhaus was also named an All-Academic Team Honorable Mention
- Women's Tennis
 - Another record-setting year for the Norse as NKU advanced to the Horizon League semifinals for the first time in the NCAA Division I era
 - Ashlee Fisk was named to the All-League First Team after a standout year on the court
- Men's and Women's Track & Field
 - As a team, won three conference titles, four silver medals and one bronze to go with setting two school records at the Horizon League Outdoor Championships
 - Erin Starks and Meredith Goff teamed up to make school history as the duo became the first NKU student-athletes to finish one-two in an event at the conference championships. Starks won the women's 200-meter dash while Goff was right behind in second.
 - Natnael Weldemichael took home the gold in the men's 5000-meter as he set a conference championship meet record in the process
 - The women's 4x100-meter squad also won gold at the outdoor championship
 - Both the Norse men and women scored the most points at a conference outdoor championship since 2019
 - At the Horizon League Indoor Championships, the women placed fourth overall with 55 points, the best finish and most points scored at an indoor championship meet since 2021
 - Julia Pohl and Meredith Goff both earned the title in the women's long jump and 200-meter dash, respectively
- Volleyball
 - Advanced to the Horizon League Semifinals for the ninth straight season
 - Joy Banks and Abby Kanakry were named All-League First Team, Anna Burke took home All-League Second Team honors and Ava Hoying was named to the All-Freshman Team
 - Burke was also honored on the All-Academic Team

Facilities

The following facility renovations were completed this year:

- Soccer Stadium
 - Air conditioning was added in the home locker rooms.
 - The Founders Room was remodeled and opened as the Jeanna Goettelman Funk Suite.
 - Scoreboard signage upgrade
 - An 85" TV was added with a sound system.
 - New chairs and desks for student-athletes
 - New chairs and sitting area.
 - The outside fence was painted.
 - Replaced windscreens.
- Regents – Volleyball

- A new floor was installed.
- New seating was installed.
- Improved graphics.
- The office suite was remodeled.
- Regents – Golf
 - Simulator installed.
 - New graphics added.
 - New flooring installed.
 - An AC/heating unit was installed.
- Truist Arena
 - New signage in the arena, vault, tunnels, etc.
 - Renovated administrative meeting room, video system, paint, graphics, pictures, etc.
 - Locker rooms were painted, and updated signage was hung.
 - Ticket office space.
 - The storage area was enhanced, including shelving and storage bays.
- Weight Room
 - New equipment was added: a fitness machine, lat pulldown/row machine, performance bands, medicine balls, Olympic hex bar, etc.
 - Equipment reconditioned.
- Softball Field
 - New signage was added: the back of the press box recognizing the NCAA participation and advertisement on the outfield fence with the Horizon League banners (2) on the fence.
- Baseball Field
 - New equipment: new video system AWRE, cabling, pitching machine,
 - New signage added, outside advertising.

NCAA Compliance

NKU self-reported two violations involving two different sports. The violations submitted to the NCAA were considered minor.

In the sport of men’s soccer, official visit paperwork was not submitted prior to the visit. The NCAA penalty included institutional rules education with the men’s soccer coaching staff.

In the sport of men’s basketball, a local team received a facility tour/unofficial visit prior to it being allowed by NCAA purposes. The NCAA penalty included a rules education session for a booster and men’s basketball coaching staff, 2-for-1 reduction in recruiting opportunities for involved prospective student-athletes, and the prospective student-athletes will be considered ineligible for intercollegiate competition at the institution until restored by the NCAA student-athlete reinstatement staff. NKU men’s basketball are not, and will most likely not, recruit any of the involved prospective student-athletes.

Revenue Generation

Philanthropic fundraising is on pace to surpass last year’s numbers when pulling out the Scudamore realized plan gift. FY24 commitments year to date have already surpassed FY23 final commitments. With respect to the Go Norse Fund, the number of cash donors increased by 21 with a total of 56 donors and cash totals increased from \$12,507 in 2023 to \$24,236.92 in 2024.

Men’s basketball season tickets sold increased by 35 seats to 1,205, and men’s basketball partial ticket plans increased from 40 in 2022-23 to 114 in 2023-24. Women’s basketball season tickets

declined by 23 seats to 163. Volleyball season tickets were not sold in the 2022 season, but 10 were purchased for the 2023 season. Revenue from ticketing increased by \$2,963.36 from FY23 to FY24.

Kid's Club membership revenue increased by \$531.

The largest gain was an increase of \$138,750 in game guarantees where other institutions pay for NKU to compete on the road.

Rental revenue increased by \$21,334.60 due to increased rentals and an effort to gain new renters. This included use by local high schools with potential future NKU students.

Storytelling

Athletics aimed to improve our reach by telling our story more. The following stories were featured across all mediums of media (television, print, digital, radio, etc.):

- Marques Warrick sets school record in scoring and donates money back to the NKU general scholarship fund.
- NKU Athletics adds six new sports programs.
- Jeff Hans returns home to lead the NKU Women's Basketball program.
- "Rockstar Randy" takes over the Tri-State after hitting game-tying shot with under a second left in the Horizon League Quarterfinals.
- Baseball with multiple features as one of the hottest teams in NCAA Baseball; a record setting run in 2024.
- Baseball knocks off Missouri for first Power Five series win in program history.
- Softball defeats a Power Five team for a second year in a row with a victory over Michigan.

Additional stories gave behind the scenes looks:

- Norse Noise Series with men's basketball was a season-long, weekly behind the scenes show covering all areas of NKU men's basketball.
- The story of the NKU broadcasting team is highlighted on every NKU Athletics broadcast shouting out and showcasing the hard work of the student-run ESPN+ and Bally Sports broadcasts, and highlighting the Electronic Media & Broadcasting program.
- The creative student crew of Violet Lewis (photo) and Jensen Linder (video) were featured in a full-length edition of Norse Noise to go with a full-page feature story in The Northern.

Athletics also improved our reach through an increase in new followers, impressions, and interactions across all NKU Athletics social media accounts.

- Twitter
 - Generated over eight million (8,159,431) combined impressions across all accounts since August 2023
 - Will reach four programs with over 1,000,000 impressions by the end of the 2023-24 academic year for the second straight year (MBB, BSB, WBB, SB)
 - Men's Basketball: 3,000,000 Impressions, 982 new followers, 279,000 video views, 292,000 media views
 - Baseball: 1,290,000 Impressions
 - Women's Basketball: 993,808 Impressions
 - Softball: 880,400 Impressions
 - Women's Soccer: 711,266 Impressions

- NKU Athletics: 638,000 Impressions, 594 new followers
 - Women’s Volleyball: 311,242 Impressions
 - Cross Country and Track & Field: 189,098 Impressions
 - Men’s and Women’s Tennis: 57,215 Impressions
 - Women’s Golf: 54,620 Impressions
 - Men’s Golf: 33,782 Impressions
- Instagram
 - NKU Athletics Instagram accounts reached over 534,500 individuals, had 216,530 profile visits and added 6,928 new follows combined across all accounts since August 2023
 - NKU Athletics: 160,800 reach (up 14.9 percent from previous year), 21,900 profile visits, 1,000 new follows
 - Men’s Basketball: 101,200 reach, 42,800 profile visits, 1,300 new follows
 - Baseball: 61,700 reach (up 14.7 percent), 29,800 profile visits, 702 new follows
 - Women’s Soccer: 37,800 reach (up 115 percent), 21,400 profile visits (up 78.2 percent), 506 new follows
 - Men’s Soccer: 31,200 reach (up 75.3 percent), 33,700 profile visits (up 47.3 percent), 674 new follows
 - Volleyball: 29,000 reach (up 19.8 percent), 16,700 profile visits (up 58.6 percent), 1,100 new follows
 - Cross Country and Track & Field: 26,100 reach (up 27.9 percent), 17,700 profile visits (up 59.6 percent), 465 new follows
 - Women’s Basketball: 24,500 reach (up 35.9 percent), 9,900 profile visits (up 7.5 percent), 333 new follows
 - Softball: 21,500 reach, 7,700 profile visits, 293 new follows
 - Women’s Golf: 18,400 reach (up 28.1 percent), 6,000 profile visits (up 80.1 percent), 217 new follows
 - Men’s and Women’s Tennis: 16,400 reach, 5,600 profile visits (up 17.8 percent), 129 new follows
 - Men’s Golf: 5,900 reach, 3,300 profile visits (up 21.7 percent), 209 new follows
- Facebook
 - NKU Athletics Facebook reached over 1,643,300 individuals, had 145,500 profile visits and added 3,046 new follows combined across all accounts since August 2023
 - NKU Athletics: 837,100 reach (up 52.1 percent from previous year), 35,700 profile visits (up 140.5 percent), 500 new follows (up 29.2 percent)
 - Men’s Basketball: 453,800 reach, 39,000 profile visits (up 11.2 percent), 733 new follows
 - Softball: 101,200 reach, 10,700 profile visits, 449 new follows
 - Women’s Basketball: 86,600 reach (up 64.2 percent), 14,800 profile visits (up 284.7 percent), 244 new follows (up 11.4 percent)
 - Baseball: 37,900 reach, 13,900 profile visits (up 16.3 percent), 240 new follows (up 14.8 percent)
 - Women’s Soccer: 29,600 reach (up 89 percent), 8,000 profile visits (up 445.3 percent), 171 new follows (up 113.8 percent)
 - Volleyball: 25,100 reach (up 15.1 percent), 6,600 profile visits (up 159.2 percent), 161 new follows (up 70.5 percent)
 - Cross Country Track & Field: 23,800 reach, 7,500 profile visits (up 99.5 percent), 110 new follows

- Men's Soccer: 16,800 reach, 4,500 profile visits (up 162.3 percent), 116 new follows (up 18.4 percent)
 - Women's Golf: 12,500 reach (up 108.5 percent), 1,700 profile visits (up 71.2 percent), 46 new follows (up 39.4 percent)
 - Men's Golf: 10,100 reach (up 65.6 percent), 1,400 profile visits (up 125.6 percent), 45 new follows (up 309.1 percent)
 - Men's and Women's Tennis: 8,800 reach (up 135.1 percent), 1,700 profile visits (up 60.7 percent), 231 new follows (up 175 percent)
- Tik Tok
 - Ranked in the top-35 in the entire nation out of NCAA Division I programs with 17,900 followers and 1.3 million likes overall
 - Generated 2,276,242 views on all videos in 2023-24

RECOMMENDATION:

That the following academic affairs personnel actions receive Board of Regents approval:

ADMINISTRATIVE APPOINTMENTS:

Gannon Tagher, from interim dean of the College of Health and Human Services to dean of the College of Health and Human Services effective July 1, 2024.

FACULTY APPOINTMENTS:

Deborah Amend, assistant professor in the Department of Teacher Preparation and Educational Studies, College of Education, effective August 12, 2024.

Debra Ann Barber, clinical assistant professor in the School of Nursing, College of Health and Human Services, effective April 29, 2024.

Jennifer Brinkman, assistant professor in the Chase College of Law, effective August 12, 2024.

Melissa England, lecturer in the W. Frank Steely Library, effective April 1, 2024.

Ryan Spellman, lecturer in the W. Frank Steely Library, effective June 3, 2024.

AnnMarie Vang, assistant professor in the School of Nursing, College of Health and Human Services, effective August 12, 2024.

Eric Young, associate dean for law library services and information technology and associate professor in the Law Library, Chase College of Law, effective August 1, 2024.

Qidi (Andy) Xing, assistant professor in the School of Computing and Analytics, College of Informatics, effective August 12, 2024.

TRANSITIONS:

Nana Arthur-Mensah, from associate professor in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts & Sciences, to associate professor and interim chair in the Department of Political Science, Criminal Justice, and Organizational Leadership, effective July 1, 2024.

Brian Hackett, from Associate Professor in History, College of Arts & Sciences, to associate professor and chair in the Department of History, effective July 1, 2024.

Cecile Marczinski, from professor and chair in the Department of Psychological Sciences, College of Arts & Sciences, to professor in the Department of Psychological Sciences, effective June 30, 2024.

Rhyanne McDade, from lecturer to assistant professor of practice in the School of Kinesiology, Counseling and Rehabilitative Sciences, College of Health and Human Services, effective February 19, 2024.

Burke Miller, from associate professor and chair in the Department of History, College of Arts & Sciences, to associate professor in the Department of History, effective June 30, 2024.

Karen Miller, from associate professor and chair in the Department of Political Science, Criminal Justice and Organizational Leadership, College of Arts & Sciences, to associate professor in the Department of Political Science, Criminal Justice, and Organizational Leadership, effective June 30, 2024.

Anita Philips, from lecturer I to lecturer II and program director- undergraduate nursing (Grant County) in the School of Nursing, College of Health and Human Services, effective August 12, 2024.

Erin Strome, from professor and chair in the Department of Biological Sciences, College of Arts & Sciences, to professor in the Department of Biological Sciences, effective June 30, 2024.

Cynthia Thomas, from lecturer in Cybersecurity and Information Technology to assistant professor in Cybersecurity and Information Technology, in the School of Computing and Analytics, College of Informatics, effective August 12, 2024.

Lindsey Walters, from professor in the Department of Biological Sciences, College of Arts & Sciences, to professor and interim chair in the Department of Biological Sciences, effective July 1, 2024.

Justin Yates, from professor in the Department of Psychological Sciences, College of Arts & Sciences, to professor and chair in the Department of Psychological Sciences, effective July 1, 2024.

PART-TIME TENURE

John Alberti, professor in English, College of Arts & Sciences, effective August 12, 2024.

Scott Nutter, professor in Physics, Geology & Engineering Technology, College of Arts & Sciences, effective August 12, 2024.

DEPARTURES:

Ash Nieman, assistant professor in Media and Journalism, in the School of Media and Communication, College of Informatics, effective May 7, 2024.

Nathan Putnam, lecturer in the W. Frank Steely Library, effective March 7, 2024.

Kayla Robles, lecturer, Center for Student Excellence in the Haile College of Business, effective June 29, 2024.

RETIREMENTS:

Michele Day, professor of practice and student media advisor in Media and Journalism, in the School of Media and Communication, College of Informatics, effective July 1, 2024.

Diane Williams, director of Learning Plus in Undergraduate Academic Affairs, effective June 14, 2024.

TEMPORARY FACULTY APPOINTMENTS:

College of Health and Human Services

School of Nursing

Lynn Brown

Fiscal Year

RECOMMENDATION:

That the attached non-academic personnel actions receive Board of Regents approval.

BACKGROUND:

The following categories of non-academic personnel actions which occurred between February 2, 2024 and May 2, 2024 require approval by the Board of Regents:

1. Activations/Rehires
2. Reassignments, Reclassifications, Title/Status Changes, Promotions
3. Transfers
4. Contract/Temporary/Student to Regular & Regular to Contract
5. Departures
6. Retirements
7. Administrative/Executive

ACTIVATIONS/REHIRES**02/02/24 – 05/02/24**

NAME	DEPARTMENT	TITLE	EFF. DATE
Andrikus, Mary	Ctr. for Global Engagement/Intl. Affairs	Coordinator, Programs & Operations	02/12/2024
Arnold, Elizabeth	Student Union and Services	Coordinator	04/15/2024
Ashcraft-Sutton, Carrie	Institute for Health Innovations	Peer Support Specialist	05/01/2024
Barker, Kathleen	Student Support Services	Coordinator	04/15/2024
Bertsch, Elizabeth	Biological Sciences	Lab Animal Care Technician	03/11/2024
Combs, Sandra	Building Services – 2 nd Shift	Custodian	04/17/2024
Dawson, Mary	Facilities Management Business Office	Business Manager, Facilities	04/01/2024
Doughtie, Brooks	Men’s Triathlon	Head Coach, Triathlon	04/15/2024
Fryer, Jennafer	Undergraduate Admissions	Assistant Director, Events & Visits	04/01/2024
Funk, Kristen	Community Standards and Care	Coordinator of Case Management	03/01/2024
Gaby, Jonathan	Haile College of Business	Manager, Communications & Marketing	05/01/2024
Hall, John	Univ. Connect & Persist	Analyst, Enrollment & Degree Management	02/20/2024
Hils, David	Biological Sciences	Academic Coordinator	03/18/2024
Horton, Larry	University Housing	Associate Director of Housing Facilities	03/11/2024
Hulsman, Zachary	International Student & Scholar Services	Recruiter, International Students	02/05/2024
Kramer, Emma	First Year Student Success Hub	Academic Advisor – FY Students	03/06/2024
Long, Noah	Undergraduate Admissions	Manager, Admissions	02/12/2024
Malatesta, Sydney	College of Arts & Sciences	Manager, Communication, Events & Admin.	04/22/2024
McCubbin, Brittany	Scripps Howard Ctr. for Civic Engagement	Administrative Secretary	04/08/2024
McDevitt, Susan	Athletic Academic Services	Specialist	02/01/2024*
Moore, Frances	HR – Payroll & Tax	Coordinator, HR/Payroll Operations	04/15/2024
Rexroat, Brooks	Women’s Cross-Country	Asst. Coach, Men’s and Women’s Track	03/01/2024
Schulte, Marlene	Campus Recreation	Coordinator, CRC Business Operations	02/05/2024
Vitali, Gianna	University Marketing & Communications	Public Relations Specialist	04/09/2024
Wade, Samuel	University Marketing & Communications	Digital and Social Media Specialist	04/16/2024
Woodhull-Smith, Kevin	Men’s Swimming	Head Coach, Men’s & Women’s Swimming	04/15/2024
Wucherer, Miranda	Women’s Volleyball	Assistant Coach, Women’s Volleyball	03/04/2024

REASSIGNMENTS, RECLASSIFICATIONS, TITLE/STATUS CHANGES, PROMOTIONS**02/02/24 – 05/02/24**

NAME	DEPARTMENT	TITLE	STATUS	EFF. DATE
Adams, Avery	Graduate Education	Processing Specialist	Student to Staff	03/17/2024
Best, Corey	University Mktg. & Communications	Interim Executive Director	Acting/Interim	02/01/2024*
Borne, JoLee	Accounting, Economics, and Finance	Academic Coordinator	Student to Staff	05/01/2024
Dvornak, Mark	First Year Student Success Hub	Senior Academic Advisor	Promotion	02/15/2024
Kautz, Anna	Chemistry and Biochemistry	Lab Coordinator, Chemistry	Temp. to Regular	03/18/2024
Koeninger, Katherine	General Accounting	Manager, Capital Asset Accounting	Promotion	04/22/2024
Kramer, Nicole	HR-Payroll & Tax	Manager, Payroll	Promotion	02/01/2024*

McCulley, James	Electric Shop	Supervisor, Electric Shop	Promotion	03/04/2024
Myles-Aaron, Shayla	Women's Stunt	Head Stunt Coach	Temp. to Regular	02/12/2024
Neiheisel, Jacob	Women's Volleyball	Assistant Coach, Women's Volleyball	Promotion	03/04/2024
Slaby, Savannah	University Housing	Coordinator for Residential Education	Promotion	03/01/2024
Smith, Kelsie	All Card Administration	Department Assistant – All Card	Temp. to Regular	03/04/2024
Stewart, Alexis	Parking Services	Specialist	Student to Staff	04/29/2024
Wehrle, Robert	Chemistry and Biochemistry	Coordinator	Lateral Move	02/05/2024
Wermann, Casey	Carpentry/Construction	Assistant Supervisor, Carpentry	Promotion	03/11/2024
Wilson, Melinda	Kentucky Campus Compact	Program Director, KyCC	Promotion	03/25/2024
Ziesemer, Kellie	HR-Payroll & Tax	Payroll Analyst	FT to PT	05/01/2024

TRANSFERS
02/02/24 – 05/02/24

NAME	PREVIOUS DEPARTMENT	NEW DEPARTMENT	TITLE	EFF. DATE
Cohn, Paul	Housing Facilities Management	Locksmith	Locksmith	02/12/2024
Lillie, Shelby	Alumni Eng. & Annual Giving	IT-Information Technology	Analyst, IT Security	03/22/2024
Lovell, Danny	Scripps Howard Ctr. for Civic Eng.	Steely Library	Library Specialist III	03/11/2024
Pendery, Annette	Administration & Finance	School of the Arts	Budget Officer	03/04/2024
Yankovsky, Christine	Chemistry and Biochemistry	All Card Administration	Manager, All Card	02/18/2024

DEPARTURES
02/02/24 – 05/02/24

NAME	DEPARTMENT	TITLE	EFF. DATE
Bourdeau, Katherine	First Year Student Success Hub	Academic Advisor – FY Students	02/20/2024
Brantley, Imani	Undergraduate Admissions	Admissions Counselor	03/09/2024
Budd, Tiffany	Sustainability & Utilities	Coordinator, Sustainability Operations	04/10/2024
Helm, Brandon	Center for Environmental Restoration	Manager, Stream Restoration	02/17/2024
Jackson, Brittany	Student Engagement	Director of Student Engagement & Programming	03/01/2024
Leyson, Timothy	University Housing	Associate Director of Housing Operations	04/27/2024
Liauba, Thomas	First Year Student Success Hub	Senior Academic Advisor	02/03/2024
McCray, John	Women's Basketball	Assistant Coach	04/20/2024
Mitchell II, Bruce	Chief Diversity Officer	Director – Diversity, Equity & Inclusion	03/01/2024
Mullins, Skylar	Student Union & Services	Coordinator	02/17/2024
Odell, Mallory	Women's Basketball	Assistant Coach	04/17/2024
Perkins, Joshua	Building Services 1 st Shift	Lead Material Handler	03/23/2024
Robbins, Lori	Administration & Finance	Division Business Officer	03/16/2024
Ruppelt, Jason	Women's Volleyball	Assistant Coach, Women's Volleyball	02/08/2024
Ruschman, Jennifer	HR – Payroll & Tax	Coordinator	02/17/2024
Ryan, Shauna	University Registrar	Specialist, Service	03/23/2024

Taylor, Justin	Informatics Student Success & Advising	Advisor	03/11/2024
Tumino, Matthew	Carpentry/Construction	Painter	03/30/2024
Townsend, Kaitlyn	Student Engagement	Coordinator	04/20/2024
Whitaker, Kevin	Building Services 1 st Shift	Custodian	03/09/2024

RETIREMENTS
02/02/24 – 05/02/24

NAME	DEPARTMENT	TITLE	EFF. DATE
Bridewell, Julie	Student Conduct & Wellness	Coordinator of Case Management	01/01/2024*
Cucchiara, Sharon	Institute for Health Innovations	Director of Grant County Center	04/01/2024
Gebelt, Thomas	Building Services 1 st Shift	Building Services Material Handler	04/01/2024
Miller, D Douglas	Electric Shop	Supervisor, Electric Shop	03/01/2024
Rumker, Madeline	All Card Administration	Department Assistant – All Card	03/01/2024
Young, Karen	Advancement Services	Coordinator, Advancement Services	03/01/2024

ADMINISTRATIVE/EXECUTIVE
02/02/24 – 05/02/24

NAME	DEPARTMENT	TITLE	REASON	EFF. DATE
Calvert, Christopher	Administration & Finance	VP for Admin. & Finance and CFO	New Hire	03/14/2024
Hans, Jeffrey	Women's Basketball	Head Coach, Women's Basketball	Rehire	04/23/2024
Pieper, Amy	First Year Student Success Hub	Director, First Year Student Hub	Promotion	03/19/2024

***not on previous report**

RECOMMENDATION:

That the following academic affairs personnel actions receive Board of Regents approval:

EMERITUS:

Michele Day, professor of practice and student media advisor in Media and Journalism, in the School of Media and Communication, College of Informatics, effective July 1, 2024.

Rudy Garns, associate professor in Sociology, Anthropology, and Philosophy, College of Arts & Sciences, effective July 1, 2023.

Caron Martin, associate professor in the School of Nursing, College of Health and Human Services, effective August 1, 2024.

Yushi Li, professor in Sociology, Anthropology and Philosophy, College of Arts & Sciences, effective July 31, 2024.

RECOMMENDATION:

That the Regents Professorship be awarded to Michael Mannheimer, Professor of Law in the Salmon P. Chase School of Law.

Background:

The Regents Professorship is an important award that recognizes full professors who, “at the apex of their careers, have an exceptional record of achievements in scholarship or creative activity that has brought acclaim to the university and is consistent with the university’s core values... The award celebrates the accomplishments of the recipient and provides support through time and resources for the processor to focus on his/her work. Such work should bring further acclaim to the University and ultimately enhance the full breadth of the professor’s work.” (*Faculty Policies and Procedures Handbook*, p. 142)

A selection committee was formed of distinguished NKU professors. The committee members were Cecile Marczinski, Darius Fatemi, John Bickers, Brandelyn Tosolt, Maureen Doyle, Kevin Kirby, Lynne Zajac, Jonathan Reynolds, and Rebecca Elkins. The committee reviewed the materials of seven nominees: Eric Jackson, Debra Meyers, Tamara O’Callaghan, Shamima Ahmed, Sharmanthie Fernando, Kristine Hopfensperger, and Michael Mannheimer.

From the nominees, finalists were chosen by the committee, judged upon the criteria set forth in the faculty handbook and the strength of nominating letters and the candidate’s personal statement. After conferring with the committee and reviewing the application materials of each nominee, Michael Mannheimer is recommended as the recipient of the Regents Professorship award. This recommendation has been approved by Provost Diana McGill and President Cady Short-Thompson.

RECOMMENDATION:

That the attached organizational chart receives the Board of Regents approval.

BACKGROUND:

The attached organizational chart reflects all NKU Administrative updates through June 12, 2024. The reporting lines listed are for Director level and above.

NORTHERN KENTUCKY UNIVERSITY ORGANIZATIONAL STRUCTURE¹

BOARD OF REGENTS

Richard A. Boehne (Chair), Nathan Smith (Vice Chair), Kara Williams (Secretary), Cori Henderson, Ashley F. Himes, Ken Perry, Isaiah Phillips, Sandra Spataro, André Ward, Brad Zapp

President
Cady Short-Thompson

Executive Assistant to the President & Secretary to the Board of Regents: Tammy Knochelmann

Administration & Finance Vice President/Chief Financial Officer Chris Calvert	Academic and Student Affairs Executive Vice President & Provost Diana McGill		Inclusive Excellence Chief Diversity Equity and Inclusion Officer & Title IX Coordinator Darryl Peal	Intercollegiate Athletics Vice President & Director of Athletics Christina Roybal		Legal Affairs Vice President & General Counsel Grant Garber	Strategic Planning & Enrollment Vice President/ Chief Strategy Officer Bonita J. Brown	University Advancement Vice President/ Executive Director NKU Foundation Eric Gentry
Administrative Shared Services Director Jennifer Moeves Business Operations & Auxiliary Services Interim AVP, Business Operations Andy Meeks Facilities Management Assistant Vice President Syed Zaidi Financial Services Associate Vice President Karen Mefford Human Resources Chief Human Resources Officer Lori A. Southwood Information Technology Chief Information Officer Timothy Ferguson University Police Chief of Police John Gaffin	Chase College of Law Dean Judith Daar College of Arts & Sciences Interim Dean Bethany Bowling Haile College of Business Dean Hassan HassabElnaby College of Education Dean Ginni Fair College of Health & Human Services Interim Dean Gannon Tagher College of Informatics Dean Kevin Kirby Honors College Acting Dean Abdou Ndoye Steely Library Interim Dean Brianna Marshall	Associate Provost for Academic and Student Affairs Jason Vest Assistant Vice President for Academic Affairs Budget & Operations Chad Ogle Center for Global Engagement & International Affairs Executive Director Francois LeRoy Graduate Education, Research & Outreach Vice Provost Samantha Langlely-Turnbaugh Institute for Health Innovation Vice President/ Executive Director Valerie Hardcastle Strategic Enrollment Planning and Student Success Associate Provost and Chief Enrollment Strategist Ryan Padgett Undergraduate Academic Affairs Vice Provost and Acting Dean of Honors Abdou Ndoye	Diversity, Equity & Inclusion Vacant	Internal Operations Senior Associate Athletics Director John J. Kriebs Student-Athlete Services Senior Associate Athletics Director/SWA Sarah Pruess Development Associate Athletics Director Bryan Allen Business Assistant Athletics Director Sharon Criss External Operations Athletics Communication Associate Athletics Director Matthew Schaefer	Sport Programs Baseball Dizzy Peyton Men's Basketball Darrin Horn Women's Basketball Jeff Hans Men's & Women's Cross Country /Track & Field Steve Kruse Men's & Women's Golf David Shearer Men's Soccer Tom Poitras Women's Soccer Bob Sheehan Softball Kathryn Gleason Stunt Shayla Myles-Aaron Swimming Kevin Woodhull-Smith Men's & Women's Tennis Brian Nester Men's & Women's Triathlon Brooks Doughtie Volleyball Liz Hart	Deputy General Counsel (vacant) Financial & Operational Auditing Director Larry Meyer	Institutional Research Executive Director Holly Chason Planning & Performance Executive Director Holly Chason Enrollment Management Assistant Vice President Leah Stewart	Advancement Operations Senior Director Erica Bolenbaugh Alumni Engagement & Annual Giving Senior Director Amy Wylie Special Events Director Krista Wiseman-Moore Economic Engagement & Government Relations Director of Economic Engagement Jenny Sand University Development Assistant Vice President, Development Shelly Deavy University Marketing & Communications Co-Executive Directors for University Marketing and Communication Corey Best Peggy Casey

Approved by the NKU Board of Regents Effective June 12, 2024

¹ This chart includes functional direct reports at no less than the director level.

RECOMMENDATION:

The Board of Regents officially hereby accepts contributions totaling **\$680,000** to be submitted to the state of Kentucky for matching funds through the Bucks for Brains program. Gifts received by the NKU Foundation Inc. for the benefit of Northern Kentucky University after November 15, 2021 to create or expand endowments are eligible. The Board of Regents officially acknowledges responsibility for oversight of the endowments established or expanded with these funds in accordance with Council guidelines and procedures.

BACKGROUND:

For the 2022-2024 biennium, the Kentucky General Assembly authorized \$1,864,600 in matching funds for Northern Kentucky University to support initiatives in STEM+H fields. The Board of Regents is responsible for the oversight of the endowment established or expanded with these funds in accordance with Council guidelines and procedures.

Contributions eligible for the Bucks for Brains match from February 1, 2024 – May 10, 2024					
Name	Gift Date	Fund Description	Amount Eligible to be Matched	Gift Type	Area of Emphasis
James T. Witcher	2/19/2024	The Pennie Kitchens Witcher Memorial Endowed Scholarship	\$50,000	Pledge	Health
James Monton	2/19/2024	James A. Monton Biochemistry Endowed Scholarship	\$25,000	Pledge	Science
Charles Frank	3/9/2024	Dr. Charles Frank	\$20,000	Pledge	Technology
Bob and Dee Lorenz	3/12/24	Dr. Larry A. Giesmann Endowed Scholarship	\$15,000	Cash	Science
Carol Swarts	4/10/2024	Frank Sinton Milburn Endowed Professorship in Computer Science	\$250,000	Pledge	Technology
Carol Swarts	4/10/2024	Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences	\$250,000	Pledge	Science
Todd Kirchoff	5/5/2024	Dr. Larry A. Giesmann Endowed Professorship	\$20,000	Pledge	Science
Rebecca C. Brady	5/9/2014	Dr. Larry A. Giesmann Endowed Professorship	\$50,000	Pledge	Science
		TOTAL	\$680,000.00		

Gift Agreement
Between James T. Witcher, Northern Kentucky University Foundation, Inc., and Northern Kentucky University

Introduction

The purpose of this agreement is to summarize the mutual understanding of James T. Witcher hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift towards an endowed scholarship at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$50,000 to the NKU Foundation, Inc. The gift shall be paid in four (4) annual installments with the first installment of \$12,500 due by January 31, 2025 and each January 31st thereafter. The final installment will be due by January 31, 2028.

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will support The Pennie Kitchens Witcher Memorial Endowed Scholarship, an already established LIFT scholarship which supports undergraduate students (1) with demonstrated financial need as determined by the Free Application for Federal Student Aid (FAFSA); (2) who upon their initial consideration for the scholarship are full-time first-generation freshmen pursuing studies in nursing, or a related field, and are in good academic standing; and, (3) who agree to adhere to the specific requirements of the Lifelong Investment in Future Transformation (LIFT) Scholars program. The recipients will be chosen in accordance with university policies and procedures. The scholarship distribution is administered by the Office of Financial Aid in conjunction with the College of Health & Human Services.

The gift shall be managed as a true endowment by the NKU Foundation, Inc. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The fund shall be an open fund capable of receiving additional contributions and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy.

Gift Recognition

The university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program. To express the appreciation of NKU and the NKU Foundation, Inc., to enhance the scholarship, and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publications, through the university's electronic media channels, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: James T. Witcher

Future Considerations

The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Date

The effective date of this agreement shall be the date this agreement is fully executed.

Signatures:

For the Donor:

James T. Witcher

James T. Witcher (Feb 16, 2024 16:17 EST)

James T. Witcher

Feb 16, 2024

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**

Eric C. Gentry

Eric C. Gentry
Vice President, University Advancement
Executive Director, NKU Foundation, Inc.

Feb 17, 2024

Date










The Pennie Kitchens Witcher Memorial Endowed Scholarship February 2024

Final Audit Report

2024-02-17

Created:	2024-02-16
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAzdN0ninvtkV0r1RyzhCHaBaWOR9G7c5

"The Pennie Kitchens Witcher Memorial Endowed Scholarship February 2024" History

-  Document created by Sarah Edgington (edgingtons1@nku.edu)
2024-02-16 - 7:06:56 PM GMT- IP address: 163.182.70.87
-  Document emailed to tim.witcher75@gmail.com for signature
2024-02-16 - 7:07:43 PM GMT
-  Email viewed by tim.witcher75@gmail.com
2024-02-16 - 9:07:20 PM GMT- IP address: 74.125.213.13
-  Signer tim.witcher75@gmail.com entered name at signing as James T. Witcher
2024-02-16 - 9:17:13 PM GMT- IP address: 50.5.152.152
-  Document e-signed by James T. Witcher (tim.witcher75@gmail.com)
Signature Date: 2024-02-16 - 9:17:15 PM GMT - Time Source: server- IP address: 50.5.152.152
-  Document emailed to Eric Gentry (gentrye3@nku.edu) for signature
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-  Email viewed by Eric Gentry (gentrye3@nku.edu)
2024-02-17 - 3:47:49 AM GMT- IP address: 104.28.77.181
-  Document e-signed by Eric Gentry (gentrye3@nku.edu)
Signature Date: 2024-02-17 - 12:35:36 PM GMT - Time Source: server- IP address: 74.139.42.69
-  Agreement completed.
2024-02-17 - 12:35:36 PM GMT

Gift Agreement
**Between James A. Monton, Northern Kentucky University Foundation, Inc., and Northern
Kentucky University**

Introduction

The purpose of this agreement is to summarize the mutual understanding of James A. Monton hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift in support of an endowed scholarship at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$25,000 to the NKU Foundation, Inc. The gift shall be paid in four (4) annual installments with the first installment of \$6,250 due by June 30, 2025 and each June 30th thereafter. The final installment will be due by June 30, 2028.

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift, when received in full, will support students pursuing a degree in Chemistry (B.S.) – Biochemistry track out of the Department of Chemistry & Biochemistry with demonstrated financial need as determined by the Free Application for Federal Student Aid (FAFSA). The recipients will be chosen in accordance with university policies and procedures. The scholarship distribution will be administered by the Office of Financial Aid in conjunction with the College of Arts & Sciences.

The gift shall be managed as a true endowment by the NKU Foundation, Inc. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The fund shall be an open fund capable of receiving additional contributions and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy.

Gift Recognition

In recognition of this generous contribution, the gift shall create the James A. Monton Biochemistry Endowed Scholarship upon approval by the NKU Board of Regents. The university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program. NKU agrees that the name James A. Monton Biochemistry Endowed Scholarship will be used by NKU and by all the university's agencies and entities in all references to the James A. Monton Biochemistry Endowed Scholarship both formal and informal.

To express the appreciation of NKU and the NKU Foundation, Inc., to enhance the scholarship, and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publications, through the university's electronic media channels, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: James A. Monton

Gift Agreement

NKU, NKU Foundation, Inc., and James A. Monton

Page 2 of 2

Future Considerations


The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Date

The effective date of this agreement shall be the date this agreement is fully executed.

Signatures:

For the Donor:

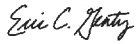


James A. Monton

1/30/24

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**



Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.

Feb 19, 2024

Date






James A. Monton Biochemistry Endowed Scholarship

Final Audit Report

2024-02-19

Created:	2024-02-19
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAdeWBvODouLqICSbtN-npZemnl07-c-aA

"James A. Monton Biochemistry Endowed Scholarship" History

-  Document created by Sarah Edgington (edgingtons1@nku.edu)
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-  Document emailed to Eric Gentry (gentrye3@nku.edu) for signature
2024-02-19 - 1:33:43 PM GMT
-  Email viewed by Eric Gentry (gentrye3@nku.edu)
2024-02-19 - 3:53:34 PM GMT- IP address: 192.122.237.11
-  Document e-signed by Eric Gentry (gentrye3@nku.edu)
Signature Date: 2024-02-19 - 3:53:48 PM GMT - Time Source: server- IP address: 192.122.237.11
-  Agreement completed.
2024-02-19 - 3:53:48 PM GMT

**Gift Agreement
Between Charles Frank, Northern Kentucky University Foundation, Inc., and Northern
Kentucky University**

Introduction

The purpose of this agreement is to summarize the mutual understanding of Charles Frank hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift in support of an endowed scholarship at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$10,000 to the NKU Foundation, Inc. The gift shall be paid in five (5) annual installments with the first installment due by December 31, 2023 and each December 31st thereafter. The final installment will be due by December 31, 2027.

The gift will be used for the following sums and purposes:

- a.) \$8,000/\$1,600 per year to endowment principal
- b.) \$2,000/\$400 per year for a spending account for annual awards

Year 1: \$1,600 to endowment principal and \$400 for annual awards = \$2,000
Year 2: \$1,600 to endowment principal and \$400 for annual awards = \$2,000
Year 3: \$1,600 to endowment principal and \$400 for annual awards = \$2,000
Year 4: \$1,600 to endowment principal and \$400 for annual awards = \$2,000
Year 5: \$1,600 to endowment principal and \$400 for annual awards = \$2,000

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will support an endowed scholarship for students within the College of Informatics at Northern Kentucky University. The recipients will be chosen in accordance with university policies and procedures. The scholarship distribution will be administered by the Office of Financial Aid in conjunction with the College of Informatics.

The endowment principal gift shall be managed as a true endowment by the NKU Foundation, Inc and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy. The spending gift allocation for annual awards shall be managed by the NKU Foundation, Inc. as a temporarily restricted fund. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The gift funds shall be open funds capable of receiving additional contributions. Failure to complete an installment payment within a year of an installment due date may result in the principal endowment being dissolved and the full amount of the funds becoming temporarily restricted funds available for annual awards.

Gift Recognition

In recognition of this generous contribution, the gift shall create the Dr. Charles Frank Scholarship upon approval by the NKU Board of Regents. NKU agrees that the name Dr. Charles Frank Scholarship will be used by NKU and by all the university's agencies and entities in all references to the Dr. Charles Frank Scholarship both formal and informal.

To express the appreciation of NKU and the NKU Foundation, Inc., to enhance the scholarship, and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publication, through the university's electronic media venues, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: Charles Frank

Future Considerations

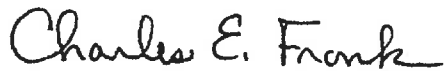
The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Date


The effective date of this agreement shall be the date this agreement is fully executed.

Signatures:

For the Donor:



Charles Frank



Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**



Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.



Date

**FIRST AMENDMENT TO GIFT AGREEMENT CONCERNING THE ESTABLISHMENT
OF THE DR. CHARLES FRANK SCHOLARSHIP AT NORTHERN KENTUCKY
UNIVERSITY**

WHEREAS, the Dr. Charles Frank Scholarship was established at Northern Kentucky University through a gift agreement executed on September 22, 2023;

WHEREAS, in the original gift agreement, the Donor agreed to contribute \$10,000 to the NKU Foundation, Inc. The gift was to be paid in five (5) annual installments with the first installment due by December 31, 2023 and each December 31st thereafter. The final installment was due by December 31, 2027. The donor would like to increase the amount of the gift to be \$25,000; and

THEREFORE, the Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship shall be amended as follows:

- A. Section entitled 'DESCRIPTION OF THE GIFT' is hereby deleted and restated in its entirety and shall hereafter be and read as follows:

Description of the Gift

The Donor agrees to contribute \$25,000 to the NKU Foundation, Inc. The gift shall be paid in five (5) annual installments with the first installment due by December 31, 2023 and each December 31st thereafter. The final installment will be due by December 31, 2027.

The gift will be used for the following sums and purposes:

- a.) \$20,000/\$4,000 per year to endowment principal
b.) \$5,000/\$1,000 per year for a spending account for annual awards

Year 1: \$4,000 to endowment principal and \$1,000 for annual awards = \$5,000

Year 2: \$4,000 to endowment principal and \$1,000 for annual awards = \$5,000

Year 3: \$4,000 to endowment principal and \$1,000 for annual awards = \$5,000

Year 4: \$4,000 to endowment principal and \$1,000 for annual awards = \$5,000

Year 5: \$4,000 to endowment principal and \$1,000 for annual awards = \$5,000

All other terms and conditions of the original Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship not modified by this First Amendment remain in full force and effect and shall be considered incorporated herein as part of this amended agreement.

This First Amendment to the Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship ("Amendment") is dated effective as of the date fully executed by all parties ("Effective Date").

For the Donor:



Charles Frank

11/29/23

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**



Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.

Nov 29, 2023

Date






Amended Gift Agreement - Dr. Charles Frank Scholarship

Final Audit Report

2023-11-29

Created:	2023-11-29
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAtPLmiOGUz-FS11-eboWOrYkHbbJAPvo

"Amended Gift Agreement - Dr. Charles Frank Scholarship" History

-  Document created by Sarah Edgington (edgingtons1@nku.edu)
2023-11-29 - 2:37:27 PM GMT - IP address: 74.143.180.110
-  Document emailed to Eric Gentry (gentrye3@nku.edu) for signature
2023-11-29 - 2:37:54 PM GMT
-  Email viewed by Eric Gentry (gentrye3@nku.edu)
2023-11-29 - 3:25:38 PM GMT - IP address: 69.61.226.58
-  Document e-signed by Eric Gentry (gentrye3@nku.edu)
Signature Date: 2023-11-29 - 3:26:03 PM GMT - Time Source: server- IP address: 69.61.226.58
-  Agreement completed.
2023-11-29 - 3:26:03 PM GMT

**SECOND AMENDMENT TO GIFT AGREEMENT CONCERNING THE ESTABLISHMENT
OF THE DR. CHARLES FRANK SCHOLARSHIP AT NORTHERN KENTUCKY
UNIVERSITY**

WHEREAS, the Dr. Charles Frank Scholarship was established at Northern Kentucky University through a gift agreement executed on September 22, 2023. An amended gift agreement was executed on November 29, 2023;

WHEREAS, in the original and amended gift agreement, the donor set up an endowed scholarship. For the endowed portion of the gift (\$20,000), it is the university's intention to apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program; and

THEREFORE, the Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship shall be amended as follows:

- A. Section entitled 'GIFT RECOGNITION' is hereby deleted and restated in its entirety and shall hereafter be and read as follows:

Gift Recognition

In recognition of this generous contribution, the gift shall create the Dr. Charles Frank Scholarship upon approval by the NKU Board of Regents. For the endowed portion of the gift (\$20,000), the university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program. NKU agrees that the name Dr. Charles Frank Scholarship will be used by NKU and by all the university's agencies and entities in all references to the Dr. Charles Frank Scholarship both formal and informal.

To express the appreciation of NKU and the NKU Foundation, Inc., to enhance the scholarship, and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publication, through the university's electronic media venues, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: Charles Frank

All other terms and conditions of the original and first amended Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship not modified by this Second Amendment remain in full force and effect and shall be considered incorporated herein as part of this amended agreement.

This Second Amendment to the Gift Agreement and First Amendment Concerning the Establishment of the Dr. Charles Frank Scholarship ("Amendment") is dated effective as of the date fully executed by all parties ("Effective Date").

Second Amendment Concerning the Gift Agreement Establishing
the Dr. Charles Frank Scholarship
Page 2 of 2

For the Donor:

Charles E. Frank

Charles Frank

January 30, 2024

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**

Eric C. Gentry

Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.

Jan 30, 2024

Date






C. Frank_Second Amendment_Gift Agreement_Signed

Final Audit Report

2024-01-30

Created:	2024-01-30
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAX1sHhtPdMJTNsF9xQol4WAb3_6OIOTdn

"C. Frank_Second Amendment_Gift Agreement_Signed" History

-  Document created by Sarah Edgington (edgingtons1@nku.edu)
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-  Document emailed to Eric Gentry (gentrye3@nku.edu) for signature
2024-01-30 - 5:12:29 PM GMT
-  Email viewed by Eric Gentry (gentrye3@nku.edu)
2024-01-30 - 6:57:52 PM GMT - IP address: 104.47.59.254
-  Document e-signed by Eric Gentry (gentrye3@nku.edu)
Signature Date: 2024-01-30 - 6:58:30 PM GMT - Time Source: server- IP address: 75.104.93.63
-  Agreement completed.
2024-01-30 - 6:58:30 PM GMT

**THIRD AMENDMENT TO GIFT AGREEMENT CONCERNING THE ESTABLISHMENT
OF THE DR. CHARLES FRANK SCHOLARSHIP AT NORTHERN KENTUCKY
UNIVERSITY**

WHEREAS, the Dr. Charles Frank Scholarship was established at Northern Kentucky University through a gift agreement executed on September 22, 2023. Amended gift agreements were executed on November 29, 2023 and January 30, 2024;

WHEREAS, in the original and amended gift agreements, the Donor set up an endowed scholarship. The Donor would like to update the criteria for the scholarship; and

THEREFORE, the Gift Agreement Concerning the Establishment of the Dr. Charles Frank Scholarship shall be amended as follows:

- A. Section entitled 'PURPOSE AND ADMINISTRATION OF GIFT' is hereby deleted and restated in its entirety and shall hereafter be and read as follows:

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will support an endowed scholarship for students within the School of Computing & Analytics at Northern Kentucky University. The recipients will be chosen in accordance with university policies and procedures. The scholarship distribution will be administered by the Office of Financial Aid in conjunction with the College of Informatics.

The endowment principal gift shall be managed as a true endowment by the NKU Foundation, Inc and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy. The spending gift allocation for annual awards shall be managed by the NKU Foundation, Inc. as a temporarily restricted fund. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The gift funds shall be open funds capable of receiving additional contributions.

All other terms and conditions of the original, first and second amended Gift Agreements Concerning the Establishment of the Dr. Charles Frank Scholarship not modified by this Third Amendment remain in full force and effect and shall be considered incorporated herein as part of this amended agreement.

This Third Amendment to the Gift Agreement, First and Second Amendments Concerning the Establishment of the Dr. Charles Frank Scholarship ("Amendment") is dated effective as of the date fully executed by all parties ("Effective Date").

Third Amendment Concerning the Gift Agreement Establishing
the Dr. Charles Frank Scholarship
Page 2 of 2

For the Donor:

Charles E. Frank

Charles E. Frank (Mar 9, 2024 07:42 EST)

Charles Frank

Mar 9, 2024

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**

Eric C. Gentry

Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.

Mar 9, 2024

Date










Third Amended Gift Agreement - Dr. Charles Frank Scholarship

Final Audit Report

2024-03-09

Created:	2024-03-07
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAqTkQdZHqG1FWx-8ZQvrtT81K_yxsS3Lk

"Third Amended Gift Agreement - Dr. Charles Frank Scholarship" History

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-  Document emailed to frank@nku.edu for signature
2024-03-07 - 10:37:37 PM GMT
-  Email viewed by frank@nku.edu
2024-03-07 - 10:54:17 PM GMT- IP address: 174.249.178.224
-  Signer frank@nku.edu entered name at signing as Charles E. Frank
2024-03-09 - 12:42:31 PM GMT- IP address: 98.43.154.52
-  Document e-signed by Charles E. Frank (frank@nku.edu)
Signature Date: 2024-03-09 - 12:42:33 PM GMT - Time Source: server- IP address: 98.43.154.52
-  Document emailed to Eric Gentry (gentrye3@nku.edu) for signature
2024-03-09 - 12:42:34 PM GMT
-  Email viewed by Eric Gentry (gentrye3@nku.edu)
2024-03-09 - 1:50:26 PM GMT- IP address: 74.139.42.69
-  Document e-signed by Eric Gentry (gentrye3@nku.edu)
Signature Date: 2024-03-09 - 1:50:43 PM GMT - Time Source: server- IP address: 74.139.42.69
-  Agreement completed.
2024-03-09 - 1:50:43 PM GMT

Gift Agreement

NKU, NKU Foundation, Inc., and Carol J. Swarts, MD

Page 1 of 3

Gift Agreement Between Carol J. Swarts, MD, Northern Kentucky University Foundation, Inc., and Northern Kentucky University

Introduction

The purpose of this agreement is to summarize the mutual understanding of Carol J. Swarts, MD hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift in support of two endowed professorships at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$500,000 to the NKU Foundation, Inc. The gift shall be paid in five (5) annual installments with the first installment of \$100,000 due by June 30, 2024 and each June 30th thereafter. The final installment will be due by June 30, 2028.

The gift will be used for the following sums and purposes:

- a.) \$250,000 will be used to create an endowed professorship in the College of Informatics to be named Frank Sinton Milburn Endowed Professorship in Computer Science
- b.) \$250,000 will be used to create an endowed professorship in the College of Arts & Sciences to be named Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will create an endowed professorship in the College of Informatics to be named Frank Sinton Milburn Endowed Professorship in Computer Science. No more than 50% of income to be used to supplement the university's investment in salary (i.e. funds should not be used to replace the university's obligation); income shall be used by the named professor with the Dean's approval for professional development, research, and student research support.

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will create an endowed professorship in the College of Arts & Sciences to be named Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences with an emphasis on environmental science. No more than 50% of income to be used to supplement the university's investment in salary (i.e. funds should not be used to replace the university's obligation); income shall be used by the named professor with the Dean's approval for professional development, research, and student research support.

Gift Agreement

NKU, NKU Foundation, Inc., and Carol J. Swarts, MD

Page 2 of 3

The gifts shall be managed as a true endowment by the NKU Foundation, Inc. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The gift funds shall be an open fund capable of receiving additional contributions. The gifts fund will be managed in accordance with the NKU Foundation, Inc. endowment investment policy.

Gift Recognition

In recognition of this generous contribution, the gifts shall create the Frank Sinton Milburn Endowed Professorship in Computer Science and the Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences upon approval by the NKU Board of Regents. The university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program for both endowments. NKU agrees that the name Frank Sinton Milburn Endowed Professorship in Computer Science and Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences will be used by NKU and by all the university's agencies and entities in all references to the Frank Sinton Milburn Endowed Professorship in Computer Science and the Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences both formal and informal.

To express the appreciation of NKU and the NKU Foundation, Inc. and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publication, through the university's electronic media venues, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: Carol J. Swarts, MD

Future Considerations

The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Date

The effective date of this agreement shall be the date this agreement is fully executed.

Signatures:

For the Donor:



Carol J. Swarts, MD




10 April 2024

Gift Agreement
NKU, NKU Foundation, Inc., and Carol J. Swarts, MD
Page 3 of 3

Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**



Eric C. Gentry
Vice President, University Advancement
And Executive Director, NKU Foundation, Inc.



Date

Gift Agreement
Between Todd Kirchhoff, Northern Kentucky University Foundation, Inc., and Northern Kentucky University

Introduction

The purpose of this agreement is to summarize the mutual understanding of Todd Kirchhoff hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift towards an endowed professorship at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$20,000 to the NKU Foundation, Inc. The gift shall be paid in full by June 30, 2024.

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will support the Dr. Larry A. Giesmann Endowed Professorship in Biology, an already created endowed professorship managed by the College of Arts & Sciences at Northern Kentucky University.

The gift shall be managed as a true endowment by the NKU Foundation, Inc. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The fund shall be an open fund capable of receiving additional contributions and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy.

Gift Recognition

The university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program. To express the appreciation of NKU and the NKU Foundation, Inc. and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publications, through the university's electronic media channels, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: Todd Kirchhoff

Future Considerations

The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Date

The effective date of this agreement shall be the date this agreement is fully executed.


Signatures:

For the Donor:


Todd Kirchoff

5/2/24
Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**


Eric C. Gentry
Vice President, University Advancement
Executive Director, NKU Foundation, Inc.

May 5, 2024
Date






Todd Kirchhoff Gift Agreement

Final Audit Report

2024-05-05

Created:	2024-05-03
By:	Sarah Edgington (edgingtons1@nku.edu)
Status:	Signed
Transaction ID:	CBJCHBCAABAAez4cBHMus1E9wkYXzL8DlowHwrEwkcRS

"Todd Kirchhoff Gift Agreement" History

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Gift Agreement
Between Rebecca C. Brady, M.D., Northern Kentucky University Foundation, Inc., and
Northern Kentucky University

Introduction

The purpose of this agreement is to summarize the mutual understanding of Rebecca C. Brady, M.D. hereinafter referred to as the Donor, Northern Kentucky University Foundation, Inc. (NKU Foundation, Inc.), and Northern Kentucky University (NKU) regarding a gift towards an endowed professorship at Northern Kentucky University. This agreement will be made a part of NKU Foundation, Inc. and NKU records and is intended as a guide to those who will administer the gift in the future.

Northern Kentucky University Foundation, Inc.

The NKU Foundation, Inc. represents that it is qualified as a charitable organization and a 501 (c) (3) non-profit public benefit organization (federal ID # 23-7116528).

Description of the Gift

The Donor agrees to contribute \$50,000 to the NKU Foundation, Inc. The gift shall be paid in five (5) annual installments with the first installment of \$10,000 due by June 30, 2024 and each June 30th thereafter. The final installment will be due by June 30, 2028. If the Donor passes away on or before June 30, 2028, the remainder of the gift would be collected from the Donor's estate.

The Purpose and Administration of Gift

The Donor, NKU, and NKU Foundation, Inc. hereby agree that the aforementioned gift will support the Dr. Larry A. Giesmann Endowed Professorship in Biology, an already created endowed professorship managed by the College of Arts & Sciences at Northern Kentucky University. The Donor wishes to recognize her parents, George and Wanda Brady, for their contributions to her education and career success.

The gift shall be managed as a true endowment by the NKU Foundation, Inc. The NKU Foundation, Inc. operates under the guidelines established by the NKU Foundation, Inc. Board of Directors. The fund shall be an open fund capable of receiving additional contributions and will be managed in accordance with the NKU Foundation, Inc. endowment investment policy.

Gift Recognition

The university will apply for matching funds from the Kentucky Council on Postsecondary Education's 2022-2024 Endowment Match Program. To express the appreciation of NKU and the NKU Foundation, Inc. and to attract gifts for similar purposes, NKU may make appropriate announcements through internal and external publications, through the university's electronic media channels, and to external media sources after receiving consent by the Donor. For recognition purposes related to this agreement, the Donor shall be identified as: Rebecca C. Brady, M.D.

Future Considerations

The NKU Foundation, Inc. and NKU are grateful for the Donor's support and are committed to fulfilling the Donor's objectives reflected in this agreement. In the unlikely event that it becomes unlawful, impracticable, wasteful, or impossible to achieve the specific purpose set forth in this agreement, the Board of Directors of the NKU Foundation, Inc., in consultation with appropriate NKU officials, shall utilize this gift to most nearly fulfill the original intent of the Donor.

Gift Agreement
NKU, NKU Foundation, Inc., and Rebecca C. Brady, M.D.
Page 2 of 2

Date

The effective date of this agreement shall be the date this agreement is fully executed.

Signatures:

For the Donor:

Rebecca C. Brady
Rebecca C. Brady, M.D.

05/09/2024
Date

**For Northern Kentucky University and
NKU Foundation, Inc.:**

Eric C. Gentry
Eric C. Gentry
Vice President, University Advancement
Executive Director, NKU Foundation, Inc.

May 9, 2024
Date






Rebecca C. Brady, M.D. - Dr. Larry A. Giesmann Endowed Professorship in Biology

Final Audit Report

2024-05-09

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"Rebecca C. Brady, M.D. - Dr. Larry A. Giesmann Endowed Professorship in Biology" History

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-  Agreement completed.
2024-05-09 - 11:14:13 PM GMT

RECOMMENDATION:

The Board of Regents officially hereby accepts contributions totaling **\$1,025,000.00** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period February 1, 2024, through April 30, 2024, per the below list.

BACKGROUND:

At the March 12, 2014 Board Meeting, a major gift policy was approved by the Regents raising the level of major gifts submitted for review and acceptance by the Board to \$25,000. Contributions of \$25,000 or more for the period 02/01/24 through 04/30/24 are itemized below.

Contributions of \$25,000 or more from February 1, 2024 – April 30, 2024

Name	Gift Date	Fund Description	Amount	Gift Type
Diana L. McGill	4/25/2024	Women for NKU	\$25,000.00	Pledge
Reeda L. Hart	4/24/2024	College of Arts and Sciences	\$50,000.00	Pledge
Reeda L. Hart	4/24/2024	Hart Endowed Dean's Account	\$50,000.00	Pledge
Randall R. Ragsdale	4/22/2024	The Musician's Opportunity Fund	\$175,000.00	Planned Gift
Carol J. Swarts	4/12/2024	Frank Sinton Milburn Endowed Professorship in Computer Science	\$250,000.00	Pledge
Carol J. Swarts	4/12/2024	Dr. Miriam Steinitz-Kannan Endowed Professorship in Biological Sciences	\$250,000.00	Pledge
Virginia G. Fox	4/10/2024	Women for NKU	\$25,000.00	Pledge
Fifth Third Bank	3/7/2024	Fifth Third Scholarship	\$50,000.00	Pledge
Barbara L. Johnson	3/4/2024	Women for NKU	\$25,000.00	Pledge
Kara S. Williams	3/4/2024	Women for NKU	\$25,000.00	Pledge
Rosemary K. Schlachter	3/4/2024	Women for NKU	\$25,000.00	Pledge
James A. Monton	2/23/2024	James A. Monton Biochemistry Endowed Scholarship	\$25,000.00	Pledge
James T. Witcher	2/19/2024	Pennie Kitchens Witcher Memorial Endowed Scholarship	\$50,000.00	Pledge
		TOTAL	\$1,025,000	

RECOMMENDATION:

The Board of Regents hereby approves the following naming actions:

- (1) The naming of a fund to support an annual literacy series through the W. Frank Steely Library and the Friends of Steely Library (FoSL). “The Michael Berry Annual Literacy Series”
- (2) The naming of the Courtroom Gavel, a furnishing within a new courtroom to be constructed on the first floor of Nunn Hall for the Salmon P. Chase College of Law. “H. McGuire (Mac) Riley and Michele-Anne Ebe-Riley Courtroom Gavel”
- (3) The naming of an endowed scholarship for Chase College of Law students who demonstrate high academic promise. “Henry L. (Steve) and Kathryn K. Stephens, Jr. Endowed Scholarship”
- (4) The naming of an endowed fund to support the College of Arts and Sciences at the dean’s discretion. “Hart Endowed Dean's Account”
- (5) The naming of an endowed scholarship for students with a cumulative GPA of 3.0 or higher, a declared major within the SOTA Music Program, and who have demonstrated financial need as determined by the Free Application for Student Aid (FAFSA). “The Musician's Opportunity Fund”
- (6) The changing of the name of a scholarship from the Ohio National Financial Services Scholarship to the Constellation Insurance Scholarship.
- (7) The changing of the name of the Fifth Third Entrepreneurship Center Professorship Endowment to the Fifth Third Endowed Professor of Entrepreneurship.
- (8) The changing of the name of the Fifth Third Entrepreneurship Center MBA Scholarship Endowment to the Fifth Third Scholarship in Entrepreneurship.
- (9) The changing of the name of the Fifth Third Entrepreneurship Center Mission Support Endowment to the Fifth Third Endowment for the Center for Innovation and Entrepreneurship.

BACKGROUND:

Naming actions in connection with private gifts are governed by NKU Administrative Regulation-II-4.0-2, section 2.2. NKU’s Naming Policy provides for naming opportunities in consideration of a major contribution to the university. The policy allows flexibility in determining the level of contribution appropriate for each naming action, enabling each gift to be judged on its own merit.

After careful consideration by university officials and unanimous support by the University Naming Committee, it was recommended to offer the following naming recognitions.

- (1) The Friends of Steely Library (FoSL) propose naming an annual literary series in honor and memory of Michael Berry, a longtime FoSL member, NKU staff member, and alumnus. The Michael Berry Annual Literary Series would provide FoSL with an opportunity to not only bring in great authors on campus but to recognize an individual who coordinated literary events for FoSL and the W. Frank Steely Library during his time on the Board. Michael was passionate about attracting authors to NKU for events that were open to students, faculty, and the general public. The Michael Berry Annual Literary Series would be held each year bringing a local, regional, or national author to speak at the W. Frank Steely Library. This annual event would be

free to the public and would include, in addition to the author talk and Q&A, a visit to one or more classrooms where students could interact with published authors. This student connection ties in with Michael's insistence that all author events developed by FoSL over the years would include a classroom element.

Donor: Various

Naming Gift: To be determined

Naming Recognition: The Michael Berry Annual Literary Series

Michael graduated from NKU in the first class of 1973. After teaching English for three years at Ockerman Junior High in Florence, KY, he resumed the role of student in the graduate degree program in English at the University of Cincinnati, where he held an appointment as a teaching assistant, earning his Master's Degree in 1978. He then began teaching Freshman English at UC and NKU as an adjunct instructor. Michael also worked in a program shared by UC and NKU to provide some language orientation for Japanese employees of Proctor and Gamble who were temporarily in the United States. Around 1985, he began working as an admission counselor at NKU, and in 1987, he was hired as the Director of Educational Talent Search, one of the U.S. Department of Education's Trio programs. This position involved supervising a staff of counselors who worked with students in selected schools to help them become first-generation college students of their families. A major responsibility was writing the grant to renew funding for the NKU program every three years. Michael continued to teach in the evenings, mainly in a program for MBA students which was a joint endeavor between the English and speech departments. After his retirement from NKU in 2006, Michael maintained a close relationship with the university, as a donor and serving on the Friends of Steely Library board. In his leisure time, Michael wrote poetry and witty spoofs of topics that attracted his attention.

(2) The university has received a gift that will support the construction of a new courtroom on the first floor of Nunn Hall for the Salmon P. Chase College of Law.

Donor: H. McGuire (Mac) Riley and Michele-Anne Ebe-Riley

Naming Gift: \$15,000

Naming Recognition: H. McGuire (Mac) Riley and Michele-Anne Ebe-Riley Courtroom Gavel

H. McGuire (Mac) Riley graduated from Chase College of Law in 1986. He is president of BAHM Associates, a Washington, D.C. area based boutique provider of signal intelligence services to the United States intelligence community, and chief executive of United Building Services, a provider of commercial building services. Early in his career, he specialized in commercial litigation with the Washington, D.C. office of Howrey & Simon. President George H. W. Bush appointed him as special counsel in the Department of the Air Force, and he also served as deputy assistant secretary of the Army. He serves on the Chase Board of Visitors and the NKU Foundation Board of Directors. He received the Chase Alumni Association Professional Achievement Award in 2014 and the NKU Alumni Association Distinguished Service Award in 2022. The Heman H. McGuire Endowed Scholarship, named in honor of Mac's grandfather, has benefited Chase College of Law students since 2004.

(3) In honor of Dean Stephens' retirement from Chase College of Law, various gifts have been contributed to the university to endow a scholarship for Chase College of Law students who demonstrate high academic promise. The intent for this endowed scholarship is to reach \$10,000 by June 30, 2024. Gifts will continue to be made thereafter.

Donor: A collection of gifts
Naming Gift: \$10,000
Naming Recognition: Henry L. (Steve) and Kathryn K. Stephens, Jr. Endowed Scholarship

Gifts have come from and will continue to come from, Chase College of Law faculty, alumni, and friends. Henry L. (Steve) Stephens, Jr., has been a professor at Chase College of Law for 45 years. After joining the Chase faculty in 1979, he served as associate dean from 1981 to 1985, interim dean for the 1985-86 academic year, and dean from 1986 to 1992, before electing to focus again entirely on teaching.

(4) The university has received a gift that will create an endowed fund for the College of Arts and Sciences at the dean's discretion.

Donor: Reeda Hart
Naming Gift: \$50,000
Naming Recognition: Hart Endowed Dean's Account

Reeda Hart received her Bachelor of Arts in Elementary Education from NKU in 1976 and a master's degree in education from Xavier University. She returned to NKU to receive her Rank 1. Reeda has received many prestigious awards for her work in education, including the Presidential Award for Excellence in Science Teaching, Kentucky Elementary Teacher of the Year, and National Project Learning Tree's Facilitator of the Year. In addition to Reeda's extensive teaching career, she also spent part of her career as CINSAM's STEM Outreach Director, where she founded and led the Next Generation STEM Classroom project.

(5) The university received a planned gift that, when realized, will support a scholarship for a student with a cumulative GPA of 3.0 or higher and a declared major within the SOTA Music Program who demonstrates financial need as determined by the Free Application for Student Aid (FAFSA).

Donor: Randy Ragsdale
Naming Gift: \$175,000
Naming Recognition: The Musician's Opportunity Fund

Randy Ragsdale considers NKU to be his family; music, investigative journalism, and film (especially documentaries) are his passions. He obtained his degree from the College of Informatics in 2013 prior to serving as a staff member at NKU. Randy sees the value of education, has a deep love for music, and wants to support NKU's ability to foster music exploration and excellence for students who have the talent but might not have the funds. Randy

designated 50% of his total estate to the NKU Foundation, Inc. through a bequest in his estate plan. The gift is currently valued at \$175,000 (as of April 3, 2024).

(6) The changing of the name of the Ohio National Financial Services Scholarship to the Constellation Insurance Scholarship. This scholarship supports female students and/or underrepresented minorities pursuing any of the following undergraduate "tech" degrees in the College of Informatics: Business Information Systems, Information Technology, Computer Science, Data Science, Health Informatics or 3D Digital Design and Visual Effects.

This scholarship was initially established at NKU in 2018. In 2023, Ohio National Financial Services was repositioned and rebranded under Constellation Insurance, Inc. and AuguStar Life. This rebrand required the name of the scholarship to be updated.

(7) The changing of the name of the Fifth Third Entrepreneurship Center Professorship Endowment to the Fifth Third Endowed Professor of Entrepreneurship.

(8) The changing of the name of the Fifth Third Entrepreneurship Center MBA Scholarship Endowment to the Fifth Third Scholarship in Entrepreneurship.

(9) The changing of the name of the Fifth Third Entrepreneurship Center Mission Support Endowment to the Fifth Third Endowment for the Center for Innovation and Entrepreneurship.

Contributions from the Fifth Third Foundation, Fifth Third Bank, N.A., Trustee and Fifth Third Bank, in 1999 and 2000 supported the establishment and ongoing support for an entrepreneurship program within NKU's College of Business. The endowed funds created with these contributions will continue to support the entrepreneurship program and its students at NKU. The renaming of these funds is to both reflect the current name of the Center for Innovation and Entrepreneurship and the preferred naming recognition of the donors.

RECOMMENDATION:

The Board of Regents grants an exemption to the University from the LEED Silver certification requirements in the Commonwealth's High-Performance Building Standards (KRS 56.775 / 200 KAR 6:070) for the Herrmann Science Center Expansion Project.

BACKGROUND:

The Commonwealth's High-Performance Building Standards require LEED 2009 compliance for projects greater than \$5M in scope and LEED Silver certification for new construction/major renovation projects greater than \$25M. Per KRS 56.775 / 200 KAR 6:070, exemptions can be granted by the Board of Regents or the Secretary of Finance. The Science Center Expansion at \$79,900,000 includes an addition of 83,175 square feet. State LEED certification standards apply only to the building addition.

The University is requesting this exemption for the following reasons:

- The current standard, LEED v4.1, is significantly more rigorous than the statutorily referenced LEED 2009 version. To achieve LEED Silver, a geothermal system would be needed at a significant added construction cost (estimated \$1.2 million).
- A geothermal system would restrict future land because the system for this project would require 120, 600' deep wells which would cover one-half of Parking Lot M. Development cannot occur over geothermal wells. With three geothermal well fields already in place (Campus Rec Center/Health Center, Health Innovation Center, and New Residence Hall) the University has already significantly restricted future development of the campus.
- Finally, our experience with geothermal systems is that they require more maintenance than alternative heating and cooling systems, and the problems which occur are more difficult to resolve compared to other HVAC delivery systems. This increases operations and maintenance costs over the lifetime of the building.
- For these reasons, a waiver of these requirements for this project will be in the best interests of the University and the Commonwealth.

The design and engineering team has determined that LEED Silver certification is not feasible for the expansion without the geothermal system but the design team will continue to pursue LEED certification. The plan is to proceed with LEED registration and scorecard review. The project will incorporate as many sustainable design practices as feasible. Such initiatives include the selection of sustainable interior and exterior building materials. For example, LED lighting, vacancy/occupancy sensors, and dimming controls will be specified. Low flow and efficient plumbing fixtures are specified for all new restrooms. The landscape site design includes a water retention pond, integrated roof drain water collection, pervious site pavers around the building site, and outdoor learning spaces.

Commissioning of all systems and the building envelope is included in the project to ensure systems are operating correctly and efficiently as designed.

Upon approval of this recommendation, per 200 KAR 6:070 Section 3 (4), notification of exemption approval by the Board will be sent to the Secretary of Finance for informational purposes only.

RECOMMENDATION:

The Board of Regents authorizes the administration to initiate the capital projects and execute the contracts listed below, pursuant to the proposed Board Finance and Transactions Approval Policy.

BACKGROUND:

1. Herrmann Science Center Expansion Construction and Renovation. The Science Center expansion and renovations to the existing building are scheduled for completion in late summer/fall 2027. The project is funded via \$79,900,000 of State funding, and \$6,000,000 of asset preservation state funding. \$5,000,000 in private funds are also authorized by state. Administration is requesting approval to initiate the project, including pre-construction and construction activities.
2. Fire Alarm Upgrade. Administration is requesting approval to initiate the project, in an amount not to exceed \$1,900,000, to upgrade the campus fire alarm system. The method of procurement is still under review, and the project will be funded via asset preservation state funding.
3. Power Plant Equipment Upgrades. Administration is requesting approval to initiate a project, in an amount not to exceed \$1,400,000, to replace a boiler economizer, compressors, and upgrade cooling tower equipment within the power plant. The method of procurement is still under review, and the project will be funded via asset preservation state funding.
4. Student Union Roof Restoration. Administration is requesting approval to initiate a project, in an amount not to exceed \$2,400,000, to restore the roof on the Student Union, which has been determined to be end-of-life. The method of procurement is still under review, and the project will be funded via asset preservation state funding.
5. University Center Renovations. Administration is requesting approval to initiate a project to relocate the Welcome Center to the University Center building in an amount estimated at \$2,300,000. Bidding will begin no later than June 2024 and construction is intended to begin in August. The project will be funded via asset preservation state funding.
6. Regents Hall HVAC. Administration is requesting approval to initiate a project to replace mechanical systems in Regents Hall not to exceed \$1,900,000, funded via state asset preservation funds. The mechanical systems are end of life and many date to the buildings 1973 construction. The project will begin with procurement of an engineer for the mechanical systems design.
7. Arena Management. Administration is requesting approval to execute a contract to extend the existing management agreement with ASM for the day-to-day management of the Truist Arena. The extension term will be no more than one-year, with an option to renew for one additional year.

BOARD OF REGENTS

RESOLUTION

CAPITAL PROJECT INITIATION AND CONTRACT APPROVALS

WHEREAS, the Board of Regents is considering a transactions policy that would require Board approval to initiate certain capital projects and to execute other contracts; and

WHEREAS, the administration, to maintain construction schedules following the June 2024 Board of Regents meeting, intends to initiate capital projects and execute contracts meeting the requirements of the new policy and recommends approval of the transactions described below.

BE IT RESOLVED that the Board of Regents approves initiation of the capital projects and execution of the contracts listed below:

Initiation of Capital Projects

- Herrmann Science Center Expansion Construction and Renovation. Building expansion and renovation project, with pre-construction, construction, and other contracts in amounts not to exceed \$79,900,000 of state bond funding, \$6,000,000 of State Asset Preservation funds, and \$5,000,000 in private funds.
- Fire Alarm Upgrade. Upgrade of campus fire alarm system, not to exceed \$1,900,000, funded via State Asset Preservation funds.
- Power Plant Equipment Upgrades. Replacement of a boiler economizer, compressors, and upgrade of cooling tower equipment, not to exceed \$1,400,000, funded via State Asset Preservation funds.
- Student Union Roof Restoration. Restoration of the Student Union building roof, not to exceed \$2,400,000, funded via state asset preservation funds.
- University Center Renovations. Renovations of University Center related to the relocation of the Welcome Center, not to exceed \$2,300,000, funded via State Asset Preservation funds.
- Regents Hall HVAC. Replacement of mechanical systems not to exceed \$1,900,000, funded via state asset preservation funds.

Contracts

- Arena Management. Approval to execute a contract to extend the existing management agreement with ASM for the day-to-day management of Truist Arena. The extension term will be no more than one year, with an option to renew for one additional year.

BE IT FURTHER RESOLVED that the President is authorized to negotiate additional reasonable contract terms and to execute all documents necessary to accomplish the transactions described above.

RECOMMENDATION:

That the following amendment to the Faculty Policies and Procedures Handbook, regarding the definition of faculty categories, receive Board of Regents approval.

BACKGROUND:

The Faculty Senate voted to approve these recommended changes to the handbook at the May 3, 2024, meeting. This revision seeks to update the definitions of faculty titles and contracts.

PROPOSAL:

Current Faculty Handbook Language:

1.3. FULL-TIME, NON-TENURE-TRACK, RENEWABLE (NTTR) FACULTY

Full-time, non-tenure-track renewable (NTTR) faculty hold the rank of Lecturer and perform full-time duties as stipulated by the University in their appointment form, but they are not appointed to a probationary or tenured position. At no point will appointees to these positions accrue time toward tenure.

Faculty holding a non-tenure-track, renewable (NTTR) appointment are generally appointed on a year-by-year basis following performance review. The performance review process is on the normal schedule, based upon duties as stipulated in the appointment form. Notification of non-renewal of appointment must be made to the faculty member by March 31. Faculty holding non-tenure-track, renewable (NTTR) appointments are employed as the result of an internal or external search process. At the end of five years in the position, a full-time NTTR lecturer is eligible for promotion to Lecturer II. After five years in the position, a Lecturer II is eligible for a promotion to Senior Lecturer. Criteria for promotion to Lecturer II and Senior Lecturer will be established in writing by each academic unit, subject to the approval of the appropriate dean (or program director). A record of satisfactory performance in teaching is a necessary requirement for promotion. The recommendation for promotion will be made by the head of the academic unit, in consultation with the faculty, during the normal performance review in the fifth year (in the case of Lecturer II) and tenth year (in the case of Senior Lecturer) of the lecturer's appointment, or in subsequent years in case of a negative recommendation. The recommendation (positive or negative) will be forwarded to the appropriate dean (or program director), who will make the final decision.

Non-tenure-track, renewable (NTTR) faculty holding the rank of Instructor before the 1994 adoption of this Handbook by the Board of Regents shall retain that rank. After the adoption of this Handbook by the Board of Regents, non-tenure-track, renewable faculty shall hold only the rank of Lecturer for the entire length of their appointment.

1.4. FULL-TIME, NON-TENURE TRACK, TEMPORARY (NTTT) FACULTY

Full-time, non-tenure-track, temporary (NTTT) faculty hold the rank appropriate to their credentials and teaching experience. Normally the appointment rank will be lecturer, adjunct professor, or visiting professor. Temporary faculty will perform full-time duties as stipulated by the University, but they are not appointed to a probationary or tenured position. At no point will appointees to these positions accrue time toward tenure.

These faculty are expected to carry a full course load and to perform all of the duties associated with these teaching responsibilities. Departments/schools may invite them to faculty meetings and may involve them in appropriate committees; they are expected to attend any meetings related to their teaching. Any other assignments or responsibilities should be specified by the department chair/school director at the time of appointment and are subject to the approval of the dean (or program director). Departments/schools may use this category to employ faculty who have no teaching responsibilities, e.g., grant supported researchers or postdoctoral associates. Faculty holding a non-tenure-track, temporary (NTTT) appointment are appointed on a one-year basis. A temporary position appointment may be made as a result of consultation between the department chair/school director and the dean, with approval by the provost. Temporary appointments are one-year, temporary, terminal appointments that can be repeated. Lecturers holding one-year, temporary, terminal appointments before the 1994 adoption of this Handbook by the Board of Regents may be appointed to new one-year, temporary, terminal appointments, regardless of the number of past appointments, if such positions are available and if their past performance warrants reappointment. No additional notice of non-renewal of appointment is necessary.

Non-tenure-track, temporary (NTTT) faculty are provided with Social Security contributions by the University. In addition, health insurance is provided by the University if the appointment is full-time for the complete academic year. If the appointment is full-time for less than one complete academic year, health insurance is provided by the University as needed to comply with local, state, or federal laws or regulations.

1.7. SPECIAL-APPOINTMENT FACULTY

1.7.1. Emeritus Faculty

The “emeritus” designation is a way of honoring retired faculty or administrators who held faculty rank. Such persons hold the faculty title and rank held immediately prior to their retirement, followed by the title “emeritus.” Emeritus status may be granted upon or after retirement and is conferred by the Board of Regents, following the process set forth in Section 2.11 “Emeritus Appointments”.

1.7.2. Visiting Faculty

Visiting faculty are persons who hold a temporary appointment for an academic year, a semester, or a shorter term, as designated in their letter of appointment. Normally such persons hold a faculty appointment at another university or college. Such persons hold an appropriate rank preceded by the designation “visiting.”

Proposed Amendment:

1.3. FULL-TIME TEACHING FACULTY

Full-time Teaching faculty hold the rank of Assistant Teaching Professor, Associate Teaching Professor, or Teaching Professor. Teaching faculty perform full-time teaching and service duties as stipulated by the University and their appointment form. Teaching faculty are not appointed to a probationary or tenured position, and at no point will accrue time toward tenure.

Faculty holding a teaching appointment are generally hired as an Assistant Teaching Professor with a minimum one-year appointment. Subsequent teaching faculty contracts are renewed for an additional two (Assistant Teaching Professor) or three (Associate Teaching Professor and Teaching Professor) year appointment, contingent on positive annual performance reviews as well as support from the department/program chair and dean/director/vice provost. The performance review process is on the normal schedule, based upon duties as stipulated in the appointment form. Notification of non-renewal of appointment must be made to the faculty member by March 31.

Faculty holding teaching appointments are employed as the result of an internal or external search process. At the end of five years in the position, a full-time Assistant Teaching Professor is eligible for promotion to Associate Teaching Professor. After five years in the position, an Associate Teaching Professor is eligible for a promotion to Teaching Professor. Criteria for promotion to Associate Teaching Professor and Teaching Professor will be established in writing by each academic unit, subject to the approval of the appropriate dean, director, or vice provost.

The recommendation for promotion will be made by the head of the academic unit, with input from the faculty, during the normal performance review in the fifth year (Associate Teaching Professor) or tenth year (Teaching Professor) of the faculty member's appointment, or in subsequent years in case of a negative recommendation. The recommendation (positive or negative) will be forwarded to the appropriate dean, director, or vice provost, who will make the final decision.

1.4. FULL-TIME VISITING FACULTY

Full-time visiting faculty hold the rank appropriate to their credentials and teaching experience. Normally the appointment rank will be Visiting Assistant Teaching Professor, Visiting Associate Teaching Professor, or Visiting Teaching Professor. Visiting faculty will perform full-time duties as stipulated by the University, but they are not appointed to a probationary or tenured position. At no point will appointees to these positions accrue time toward tenure.

Full-time visiting faculty are expected to carry a full course load and to perform all the duties associated with these teaching responsibilities. Departments/schools may invite them to faculty meetings and may involve them in appropriate committees; they are expected to attend any meetings related to their teaching. Any other assignments or responsibilities should be specified by the department/program chair at the time of appointment and are subject to the approval of the dean, director or vice provost. Departments/schools may use this category to employ faculty who have no teaching responsibilities, e.g., grant supported researchers or postdoctoral associates.

Faculty holding a visiting appointment are appointed on a one-year basis. A visiting faculty position appointment may be made after consultation between the department/program chair and the dean, director, or vice provost, with approval by the provost. Visiting faculty appointments are one-year, temporary, terminal appointments that can be repeated.

Full-Time Visiting Faculty are provided with Social Security contributions by the University. In addition, health insurance is provided by the University if the appointment is full-time for the complete academic year. If the appointment is full-time for less than one complete academic year, health insurance is provided by the University as needed to comply with local, state, or federal laws or regulations.

1.7. SPECIAL-APPOINTMENT FACULTY

1.7.1. Emeritus Faculty

The “emeritus” designation is a way of honoring retired faculty or administrators who held faculty rank. Such persons hold the faculty title and rank held immediately prior to their retirement, followed by the title “emeritus.” Emeritus status may be granted upon or after retirement and is conferred by the Board of Regents, following the process set forth in Section 2.11 “Emeritus Appointments”.

1.7.2. Guest Faculty

Guest faculty are persons who hold a temporary appointment for an academic year, a semester, or a shorter term, as designated in their letter of appointment. Normally such persons hold a faculty appointment at another university or college. Such persons hold an appropriate rank preceded by the designation “guest.”

RECOMMENDATION:

That the following amendment to the Faculty Policies and Procedures Handbook, regarding faculty development awards, receive Board of Regents approval.

BACKGROUND:

The Faculty Senate voted to approve these recommended changes to the handbook at the May 3, 2024, meeting. This revision seeks to update the evaluation criteria used by the Benefits Committee of Faculty Senate to make decisions regarding the faculty development awards, including sabbaticals, summer fellowships, and project grants.

PROPOSAL:

Current Faculty Handbook Language:

11.4.4. Evaluation

In evaluating and ranking applications, the following are the primary factors that will be considered:

- a) How well the proposal meets the purposes of the program for which application is made;
- b) The value of the project to the applicant's growth and professional status; the value of the project to the scholarly community;
- c) The value of the project to the applicant's teaching responsibilities and students;
- d) The value of the project to the University;
- e) The value of the project to the non-academic community;
- f) The probability that the project will be carried out (to be measured in terms of the applicant's background, previous success, and attainability of the goals stated);
- g) The ability of the applicant to convey the content and importance of the project to those outside the applicant's own academic discipline;
- h) Contribution of the project to the applicant's ongoing scholarship or creative activity;
- i) Investigation of alternative funding sources;
- j) The urgency of the project to be undertaken; and
- k) Overall quality of the proposal.

Other things being equal, preference should be given, first, to a candidate who has not previously received a program award; second, to a candidate without tenure; and, third, to a candidate who received a program award the longest time ago.

Proposed Amendment:

11.4.4. Evaluation

In evaluating and ranking applications, the following are the primary factors that will be considered:

- a) How well the proposal meets the purposes of the program for which application is made;
- b) Overall quality of the proposal;
- c) The urgency of the project to be undertaken;
- d) The ability of the applicant to convey the content and importance of the project to those outside the applicant's own academic discipline;
- e) The value, utility, merit or worth of the project (to be measured in terms of applicant's growth and/or professional status, teaching responsibilities and students, scholarship/creative activity and scholarly community, the university and non-academic community);
- f) The probability that the project will be carried out (to be measured in terms of the applicant's background, previous success, and attainability of the goals stated);
- g) Investigation of alternative funding sources and other commitments;
- h) Inclusion of required support documents.

Other things being equal, preference should be given, first, to a candidate who has not previously received a program award; second, to a candidate without tenure; third, to a candidate who received a program award the longest time ago; and, fourth, faculty who have submitted simultaneous, co-dependent applications.

Quality (a-d) = 50%; Value (e) = 30%; Diligence (f-h) = 20%

RECOMMENDATION:

That the Board of Regents approve the calendar year 2025 Regular Board meeting schedule.

BACKGROUND:

KRS 164.340 requires a minimum of one board meeting per calendar quarter, i.e., four (4) regular meetings.

**Northern Kentucky University
2025 Board of Regents Meeting Schedule**

BOR Meeting Date	Begin Time	End Time	Location
February 26, 2025	9:00 AM	3:00 PM	SU 107A
June 11, 2025	9:00 AM	3:00 PM	SU 107A
July 23-24, 2025	Full Day		TBD
September 17, 2025	9:00 AM	3:00 PM	SU 107A
November 19, 2025	9:00 AM	3:00 PM	SU 107A

BOARD OF REGENTS

RESOLUTION

**FINANCE AND TRANSACTIONS POLICY
AND BOARD-APPROVE POLICY CRITERIA**

WHEREAS, the Board of Regents is vested with governance and strategic policymaking authority for Northern Kentucky University and ultimate responsibility for stewardship of the institution's resources, in accordance with Kentucky law and the Board's Bylaws;

WHEREAS, the Board wishes to document and clarify its authority to approve certain finance and transactional items and significant institutional policies, and to codify its delegation of authority for other matters to the President; and

WHEREAS, the Budget and Finance Committee has recommended approval of the proposed Finance and Transactions Approval Policy.

BE IT RESOLVED that the Board of Regents hereby approves the proposed Finance and Transactions Approval Policy, which is attached hereto, and directs that conforming revisions be made to University policies.

BE IT FURTHER RESOLVED that the Board of Regents hereby approves the proposed criteria for Board approval of University policies, which are expressed in Section G of the attached proposed Policy on Policies.

BOARD OF REGENTS
NORTHERN KENTUCKY UNIVERSITY
FINANCE AND TRANSACTIONS APPROVAL POLICY

Policy Overview

Kentucky law entrusts the Board of Regents with ultimate authority and stewardship of the University's mission and governance. The Board recognizes the importance of exercising its oversight of the institution in strategic areas while delegating management and operational decisions to the president and administration.

Accordingly, this policy identifies finance, transactional, and similar items that require approval by the Board of Regents, either because of their strategic significance or due to legal or other external requirements. These items typically should be provided to the Budget and Finance Committee for review before they are presented to the Board for approval.

Approvals for these items must be obtained in advance, unless expressly specified otherwise in this policy or by the Board. In certain cases, additional external approvals may be required by state law or other authorities.

This policy does not contain an exhaustive list of all items requiring Board approval, which also may be required for items relating to academic and student affairs, athletics, employment and personnel matters, policymaking, and development, as well as other finance and transactional items not listed below. Those approval requirements may be expressed in the Board's Bylaws and resolutions, Board and other University policies, applicable laws, and other authorities and practices.

Items Requiring Board Approval

Board approval is required for the following finance, transactional, and similar items:

Budget and Fee Items

- Annual Operating Budgets: Each year, the Board must approve the University's annual proposed operating budget, including at minimum total planned revenues and expenses and projected impact on reserves, along with other information required by the Board. Revisions during the budget year to planned revenues, expenses, and impact on reserves also shall be approved by the Board. To be approved, all budget items described in this section require an affirmative vote of the majority of all members of the Board. *See* KRS 164.340; Board Bylaws II.B.19.
- Six-Year Capital Plans and Biennial Capital Budget Requests: The University's six-year capital plan, including modifications thereto, and the University's biennial capital budget request submitted to the executive branch and legislature must be approved by the Board. *See* KRS 7A.010, *et seq.*; Board Bylaws II.B.18.

- Tuition and Fees. All tuition, mandatory fees, and non-mandatory fees must be approved by the Board. See Board Bylaws II.B.14; [Fees & Service Charges Policy](#); [Billing, Receipt Handling, and Deposits Policy](#).
- Housing and Meal Plan Fees: Housing and meal plan fees, rates, and charges must be approved by the Board, as required by the [Fees & Service Charges Policy](#) and the [Billing, Receipt Handling, and Deposits Policy](#).
- Parking Fees: Parking fees must be approved by the Board, as required by the [Fees & Service Charges Policy](#) and the [Billing, Receipt Handling, and Deposits Policy](#).

Contracts and Similar Instruments

The following contracts, agreements, memoranda of understanding, and other similar legal instruments (collectively referred to below as “contracts”) must be approved by the Board:

- Contracts involving the expenditure, transfer, or receipt by the University of \$5,000,000 or more, in cash or other property, in any given contract year;
- Contracts involving the expenditure, transfer, or receipt by the University of \$10,000,000 or more, in cash or other property, over the life of the contract;
- Contracts involving the outsourcing or concession of a significant institutional function (e.g., dining, arena management);
- Legal settlements involving the expenditure, transfer, or receipt by the University of \$500,000 or more, in cash or other property; and
- Employment agreement for the president.

Contract amendments, modifications, and extensions that trigger one or more of the thresholds above also must be approved by the Board.

Contracts for construction, architecture and engineering services, and similar services in connection with capital projects do not require separate Board approval if the capital project was approved as required below.

Capital Projects & Planning

As used below, “capital project” refers to construction and renovation of improvements and information technology projects with a projected total cost of \$1,000,000 or more. “Capital project” does not include maintenance.

- Capital Project – Initiation: Capital projects defined above may be initiated only after approval by the Board. In connection with this approval, the Board will be provided with a description of the project, including programming and uses, and information regarding project budget, source(s) of funding, estimated project schedule, building and/or space

namings (if available), and project siting, if applicable. Following this approval, the University may award contracts for design, pre-construction services, construction, equipment and materials purchases, and other related items. The University typically may not incur project-related costs until after the Board approves initiation of the project. If a previously Board-approved total budget is proposed to increase by 15% or more, then the new total budget must be presented for further approval.

- Facility Namings: Facility and other namings must be approved by the Board, as required by the [University Naming Policy](#).
- Demolition. Demolition of University-owned buildings must be approved by the Board.
- Capital Equipment and Infrastructure: Acquisition (*e.g.*, purchase or lease), sale, transfer, or other disposition of capital equipment and infrastructure with a value of \$200,000 or more must be approved by the Board.
- Campus Master Plans: The Board must approve the campus master plan and modifications thereto.
- Hazard Mitigation Plans: The Board must approve state-mandated hazard mitigation plans and modifications thereto.
- Exemptions from State Building Standards: Only when required by state law, exemptions or deviations from state building standards for particular projects must be approved by the Board (*e.g.*, LEED certification). This approval may be granted in connection with other approvals related to that project. *See* 200 KAR 6:070.

Real Estate

- Purchases and Sales: The purchase and sale of real property by the University must be approved by the Board. *See* Board Bylaws II.B.17.
- Leases: The lease of real property by the University, either as lessor or lessee, must be approved by the Board where either the annual rent is \$200,000 or greater or the term of the lease is three years or more, including extension options that would not require further University approval. This includes leases of all or a portion of an improvement and ground leases. However, the University's leases of its single-family residential homes do not require Board approval.
- Easements: All easements to which the University is a party must be approved by the Board, except temporary easements do not require Board approval (*e.g.*, temporary construction easements and temporary utility easements). *See* Board Bylaws II.B.17.

Debt

- **Bond Issuances:** Issuance of bonds, indentures, and similar instruments and related agreements and authorizing resolutions must be approved by the Board. Also, after debt maturity, the Board must approve release of remaining reserve-account funds back to the institution. *See* KRS 164A.605; Board Bylaws II.B.15; [Debt Policy](#)

Internal loans and debt write-offs must be regularly reported to the Budget and Finance Committee.

External Audit Firm

The Board must approve the selection of the University's external audit firm. *See* Board Bylaws III.D.2.

Exigencies

Advance approval by the Board of an item described in this policy is not required where the item is necessary to address a bona fide threat to health, safety, or property, or other emergency or significant exigency. In such cases, the Board should be promptly informed of the item, and subsequent Board ratification of the item may be sought when appropriate.

Delegation

Approval of finance, transactional, and similar items that do not require Board approval under this policy, the Board's Bylaws and resolutions, Board and other University policies, or other applicable laws or authorities are hereby delegated to the president, who may further delegate such authority to other appropriate University administrators.

Policy Amendment

This policy may be amended by majority vote of the Board of Regents.

POLICY CREATION, REVISION, AND COMMUNICATION (POLICY ON POLICIES)

RESPONSIBLE OFFICIAL: VICE PRESIDENT FOR LEGAL AFFAIRS/GENERAL COUNSEL

RESPONSIBLE OFFICE: OFFICE OF LEGAL AFFAIRS/GENERAL COUNSEL

EFFECTIVE DATE: 5/8/2013

MOST RECENT REVISION: XX/XX/XXXX

NEXT REVIEW DATE: MOST RECENT REVISION PLUS FOUR (4) YEARS – XX/XX/XXXX

BOARD OF REGENTS REPORTING (CHECK ONE):

PRESIDENTIAL RECOMMENDATION (CONSENT AGENDA/VOTING ITEM):

PRESIDENTIAL REPORT (INFORMATION ONLY)

I. POLICY STATEMENT

This Policy on Policies governs the development and approval of University policies at Northern Kentucky University (“University”). This process ensures that policies are properly developed and regularly updated to remain compliant with the law; are reviewed by and subject to input from relevant campus stakeholders; are easy to find, read, and understand; and align with the mission of the University.

A. UNIVERSITY POLICY DEFINED

A University policy is a statement of management philosophy and direction, established to provide guidance and assistance to the campus community in the conduct of University affairs. Policies typically address significant institutional matters that apply to all or a large portion of the campus community, and they are important statements of the institution’s values, operational principles and practices, and other matters of significance to the University. Thus, the policy development process must provide for thorough vetting of proposals in a manner that is transparent, efficient, collaborative, and consistent with the institution’s principles of shared governance.

The Board of Regents retains ultimate authority over governance and policymaking for the institution. All University policies must be consistent with applicable legal authorities and Board of Regents Bylaws, resolutions, and other directives.

B. POLICIES DISTINCT FROM PROCEDURES

This Policy on Policies does not apply to procedures, requirements, and standards that typically govern the functions or operations of individual colleges, offices, and units, or to procedures established to implement policies. Procedures and other unit-level requirements and guidelines must be consistent with relevant University policies. In the event of a conflict, the University policy will control.

C. FACULTY HANDBOOK AND SIMILAR DOCUMENTS

Policies within the scope of the Faculty Policies and Procedures Handbook (Faculty Handbook), Department Chair / School Director Handbook, Faculty Senate Constitution, Staff Congress Constitution, Student Government Association Constitution, the Community Standards and Student

Rights document, as well as internal unit bylaws and handbooks, are not subject to this Policy on Policies but may be subject to processes described in those documents.

This Policy on Policies does not supersede requirements established in the Faculty Handbook and the Faculty Senate Constitution. Appendix C to the Faculty Handbook, [Collegial Governance at NKU](#), requires good faith consultation regarding policies, delegation to faculty bodies of primary responsibility for recommendations in academic matters, and, in the event such recommendations are not accepted, a written response articulating compelling reasons for the decision. The [Faculty Senate Constitution](#) requires a dialogue between the Senate and the President or designee in cases of disagreement regarding Senate policy recommendations. These steps are to be observed in addition to the requirements described in this Policy on Policies.

D. EXECUTIVE POLICY COMMITTEE; RESPONSIBLE OFFICIAL

The policy process is administered by the Executive Policy Committee (“Committee”), which consists of University administrators designated by the President. The Committee also is charged with advising the President on policy matters. The Committee may determine the manner in which it conducts the activities described in this policy and is not required to hold formal votes. The Committee works closely with the “Policy Coordinator,” who is designated by the Committee, manages the day-to-day policy process at the Committee’s direction, and performs other responsibilities described in this Policy on Policies.

Each policy must identify one or more “Responsible Official(s),” typically a vice president or position of similar authority, who is charged with implementation, enforcement, interpretation, and administration of the policy.

E. POLICY DEVELOPMENT PROCESS

Policy proposals, including both new University policies and revisions to existing University policies, must be reviewed and, if appropriate, approved and adopted using the process described herein. Proposed revisions to this Policy on Policies are also subject to this process.

The process consists of the following steps, which are described in more detail below:

Step 1: Policy proposal is initiated by submitting a Policy Request Form and draft policy or revision.

Step 2: The Committee reviews the proposal and ensures appropriate communication with relevant stakeholders.

Step 3: The Committee distributes the policy proposal for comment and incorporates feedback.

Step 4: The President and the Board of Regents (when required) review and consider the proposed policy for final approval.

Step 5: The Policy Coordinator communicates the policy to campus.

Step 1: Policy proposal is initiated by submitting a Policy Request Form and draft policy or revision.

Policy proposals may be initiated by the President, any member of the President's Cabinet, the Faculty Senate, Staff Congress, and the Student Government Association. University colleges, departments, committees (including policy committees), offices, and other units typically must initiate proposals through their governance group or vice president.

To initiate a policy or revision, the initiator must submit a completed Policy Request Form and proposed draft policy or policy revision to the Policy Coordinator. The form is available in the [Policy Toolkit](#) on the [NKU policy site](#).

Initiators are strongly encouraged to seek appropriate feedback and input from relevant stakeholders before submitting a policy proposal.

Throughout the policy process, the initiator must cooperate with reasonable requests by the Committee and Policy Coordinator to provide more information.

Step 2: The Committee reviews the proposal and ensures appropriate communication with relevant stakeholders.

After a policy is initiated, the Committee will review the proposal in consultation with the Policy Coordinator. The Committee may recommend appropriate revisions to a draft, for example, to align the proposal with other existing policies, to achieve compliance with law and other external binding authorities, to ensure that decisions and actions contemplated by the policy are made by the appropriate office(s) in a responsible manner, and to better reflect operational needs and constraints.

The Committee must ensure that relevant stakeholders are consulted regarding policy proposals. For example, the Committee may request that the initiator consult with particular campus units about a specific proposal. Also, during this process, the Committee and the Policy Coordinator must communicate regularly with Faculty Senate, Staff Congress, and the Student Government Association, through their designated representatives and committees, regarding the status of policy proposals and the content of specific proposals that may be of particular interest to, or within the expertise of, those governance groups. In the case of policy proposals by a governance group, such consultation already may have occurred.

After the Committee reviews the proposal, and after any steps requested by the Committee are completed, the Committee must advise the President whether the proposal should be distributed for campus comment (Step 3, below).

The President has final authority to decide whether a proposal is to be distributed for campus comment. Alternatively, the President may direct other action with respect to the proposal before it is distributed.

Step 3: The Committee distributes the policy proposal for comment and incorporates feedback.

Before a policy proposal may be adopted, it must first be distributed to the Faculty Senate, Staff Congress, and the Student Government Association and must be posted to the University's policy listserv and website. Comments also may be invited from specific University committees and groups, depending on the subject matter of the proposal.

The Policy Coordinator will manage these communications, as directed by the Committee, to ensure widest possible distribution of the proposed policy to the campus.

Proposed policies must be distributed and posted for comment for at least thirty (30) calendar days. Any member of the University community may request that the Policy Coordinator extend the comment period for a particular policy for good cause, and such requests must not be unreasonably denied. In consultation with the Committee, the Policy Coordinator may approve or decline such request in the Policy Coordinator's discretion.

At the direction of the Committee, the Policy Coordinator shall maintain an electronic system (e.g., survey distributed via policy listserv) to receive written comments from University employees and students. University governance groups may manage their internal processes for collecting and sharing feedback from their constituents in the manner they see fit.

The Committee shall review and consider all comments, in consultation with the initiator. The Committee may recommend modifications to the proposed policy based on those comments.

The Policy Coordinator may consult with the Committee and policy initiator(s) in responding to individual commenters regarding their questions and concerns. The Committee may publish responses to significant comments of general campus interest.

Where modifications to a previously circulated proposal constitute a significant change to its core purpose, requirements, or scope, then the Committee must re-circulate a policy proposal for an additional thirty-day comment period. In other cases, the Committee may re-circulate a policy proposal for additional comment in its discretion.

After the comment and review process is complete, the Committee must recommend to the President whether the proposal should be approved, not approved, or modified based on comments.

Step 4: The President and the Board of Regents (when required) review and consider the proposed policy for final approval.

After receiving the policy proposal and the Committee's recommendation, the President may approve the proposal (with or without modifications), decline to approve the proposal, or direct other appropriate consultations or action.

Unless Board approval is required, a proposed policy is considered to be adopted and effective upon approval by the President, unless otherwise specified in the policy.

For policies requiring Board of Regents approval: Certain policy proposals require approval by the Board of Regents in order to be effective, as described in Section I.G below. If the President approves the proposed policy, then the President will promptly forward the policy to the Board for its

consideration, with the President's recommendation. The Board may vote to approve or decline to approve the proposed policy, or it may take no action. Policies requiring Board approval are considered to be adopted and effective upon approval by the Board, unless otherwise specified.

Step 5: The Policy Coordinator communicates the policy to campus.

After a policy is adopted, the Policy Coordinator will publish it on the University's policy website and will send notifications to designated representatives of Faculty Senate, Staff Congress, the Student Government Association, and the policy listserv. Significant new or revised policies may be communicated more broadly to the campus or other affected units.

All policy proposals approved by the President that do not require Board approval must be reported to the Board of Regents via a Policy Report. The Policy Coordinator will prepare these reports.

The Responsible Official also must communicate the policy to units as necessary to ensure its implementation.

F. EFFECT OF APPROVAL

Proposals approved in accordance with this Policy on Policies constitute official University policies that govern the conduct of University operations and are effective upon final approval, unless otherwise specified in the policy.

University policies approved pursuant to this process are not contracts and do not constitute contractual language. The University may revise or retire such policies at any time in accordance with the process described herein.

G. BOARD OF REGENTS POLICIES AND REPORTS

The Board of Regents is entrusted by Kentucky law with governance of the University, which includes strategic policymaking functions. At the same time, the Board recognizes the importance of exercising oversight while delegating management and operational matters to the President, administration, and faculty bodies in accordance with the University's governing documents. Except for policies requiring Board approval as described below, authority to approve other policies is hereby delegated to the President. This delegated authority includes responsibility for implementing Board policies and establishing other policies for performance of administrative responsibilities.

Accordingly, certain policies require approval by the Board, either because of their strategic significance or due to legal or other external requirements. Such policies include the following:

- The policy establishes or substantially modifies a major university strategic initiative;
- The policy relates directly to the Board's fiduciary responsibilities and/or performance of its governance functions;
- The policy is associated with an issue of significant institutional risk; and/or
- The policy must be approved by the Board of Regents due to legal or other external requirements.

The President, in consultation with the General Counsel, is responsible for determining whether policy proposals require approval by the Board. The President retains sole authority and responsibility for recommending policies to the Board for approval. Revisions to policies that were previously approved

by the Board must also be approved by the Board, unless they are editorial or other minor revisions (see Section I.H below).

In addition, the Board may initiate governing policies in furtherance of its own responsibilities. These policies may be established within the Bylaws or in a separate policy. Proposed Board governing policies are not subject to this Policy on Policies, but campus bodies and offices with an operational interest in the subject matter of the proposal may be invited to provide input.

All new policies, revisions to current policies, and retirement of current policies approved by the President shall be presented to the Board by the President as an information item.

H. MINOR REVISIONS AND EDITORIAL REVISIONS

The President, on recommendation by the Committee, may approve minor revisions to policies without completing the process described in Section I.E above. Minor revisions are those that do not materially alter the scope, effect, or requirements of the policy, including the addition of supporting clarifications and explanations. Minor revisions must be posted to the policy website.

Editorial revisions are non-substantive changes, including edits to correct grammar, spelling, formatting, and to update links and employee titles. Editorial revisions may be made by the Policy Coordinator and must be noted in the policy and documented in the policy records.

I. POLICY RETIREMENTS

Policies may be retired by following the same procedures required for adoption of new policies or revisions, as described in Section I.E above.

J. INTERIM POLICIES

In exceptional cases, the President may approve interim University policies and revisions in order to address urgent health, safety, legal, compliance, and/or operational needs within a time-period that does not allow for complete drafting and review as outlined in this policy.

Interim policies and revisions will be distributed to Faculty Senate, Staff Congress, and the Student Government Association, and through the policy listserv, within ten (10) days after interim approval. Interim policies may remain in effect for no longer than nine (9) months. Interim policies and revisions may be made permanent via the process described in Section I.E above.

K. PERIODIC POLICY REVIEWS

The Policy Coordinator will manage periodic reviews of each policy to ensure that it remains consistent with institutional needs, legal requirements, and best practices. A typical review period is four (4) years. Proposed revisions arising from these reviews, and revisions to this Policy on Policies, may be adopted only through the process described in Section I.E above, except for editorial and other minor revisions permitted by this policy.

L. RECORDS; POLICY WEBSITE

The Policy Coordinator shall maintain all records documenting the University's policy process, including policy proposals and drafts, records reflecting distribution of proposals to governance groups, and records reflecting approvals by the Board of Regents, the President, and the Committee. Such records will be retained according to the University's records retention schedule.

The Policy Coordinator also will maintain an up-to-date website that publishes the University's official policies.

II. ENTITIES AFFECTED

All NKU employees, divisions, and students.

III. AUTHORITY

[NKU Board of Regents Bylaws Article II Section A and Article III Section B](#)

[Southern Association of Colleges & Schools Commission on Colleges \(SACSCOC\) standards](#)

IV. REFERENCES AND RELATED MATERIALS

REFERENCES & FORMS

[NKU policy website](#)

[NKU Policy Toolkit](#)

[Community Standards & Student Rights](#)

[Faculty Policies and Procedures Handbook](#)

[Faculty Senate Constitution](#)

[Staff Congress Constitution](#)

REVISION HISTORY

REVISION TYPE	MONTH/YEAR APPROVED
Revision	
Revision	September 12, 2018
Revision	June 24, 2014
Policy	May 8, 2013

BOARD OF REGENTS

RESOLUTION

DIRECTION REGARDING TITLE IX POLICY

WHEREAS, on August 7, 2020, the Board of Regents approved the University's Sexual Harassment Policy, to comply with Title IX regulations that had been issued by the United States Department of Education in the summer of 2020; and

WHEREAS, on April 19, 2024, the Department of Education released a new final rule that revises those regulations and requires universities to bring their campus policies into compliance by August 1, 2024.

BE IT RESOLVED that the Board of Regents directs University administration to evaluate, revise, and implement appropriate modifications to the Sexual Harassment Policy and monitor on-going legal developments in this area.

BE IT FURTHER RESOLVED that the Board deems the Sexual Harassment Policy to be an administrative policy whose revisions do not require further Board approval.