



Northern Kentucky University
Board of Regents Materials

January 13, 2016

TABLE OF CONTENTS

MATERIALS

AGENDA

January 13, 2016, Meeting Agenda	3
--	---

MINUTES

November 11, 2015, Board Meeting Minutes	4-10
--	------

PRESIDENTIAL REPORTS

B-1) Facilities Management Report.....	11-17
B-2) Research/Grants/Contracts Report (October 1, 2015 – November 30, 2015)	18-19
B-3) Fundraising Report (July 1, 2015 – November 30, 2015)	20
B-4) Quarterly Financial Report	21-31
B-5) Faculty Development Awards – Project Grants	32-33
B-6) Faculty Development Awards – Faculty Summer Fellows	34-35
B-7) Organizational Changes Report.....	36

PRESIDENTIAL RECOMMENDATIONS

C-1) Academic Affairs Personnel Actions	37-43
C-2) Non-Academic Personnel Actions.....	44-48
C-3) Major Gifts Acceptance.....	49
C-4) Naming Recommendations.....	50
C-5) Faculty Emeritus Status Appointment.....	51-53
C-6) LL.M. Program for Chase.....	54-75
C-7) 2016-18 Capital Budget Adjustment	76-79
C-8) Campbell Hall Acquisition	80-81
C-9) Emergency HVAC Replacement Project	82
C-10) Matriculated Student Tuition Policy.....	83-85
C-11) Sabbatical Leaves	86-88

AGENDA
Northern Kentucky University

Regents Dinner
Tuesday, January 12, 2016 – 6:00 p.m.

6:00 p.m.

- Regents Dinner at President's Home (Ft. Thomas, KY)
-

Joint Finance and Policy Meeting
NKU, Student Union, 104 – Wednesday, January 13, 2016 – 9:00 a.m.

9:00 a.m.

A. Joint Finance and Policy Committee:

1. Sabbaticals/Fellowships/Project Grants (Ott Rowlands, Nutter, Kasten)
2. Fraternity and Sorority Life (Turner, Vance, Dralle, McCraney, Mohr, Reagan)
3. International Student Recruitment (Ott Rowlands, LeRoy, Dieng, Colton, Alotaibi)
4. Academic Snapshot (Ott Rowlands, Natale)

Board Lunch
NKU, Student Union 108 – January 13, 2016 – 11:30 a.m.

11:30 a.m.

Board of Regents Meeting
NKU, Student Union, 104 – Wednesday, January 13, 2016 – 1:00 p.m.

1:00 p.m.

- Call to Order
- Roll Call
- Approval of November 11, 2015, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents
- Audit Committee Report, Secretary of the Board of Regents

1:20 p.m.

B. Presidential Reports:

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (October 1, 2015 – November 30, 2015) (Ott Rowlands)
3. Fundraising Report (July 1, 2015 - November 30, 2015) (Gentry)
4. Quarterly Financial Report (Hodges Moore)
5. Faculty Development Awards – Project Grants (Ott Rowlands)
6. Faculty Development Awards – Faculty Summer Fellows (Ott Rowlands)
7. Organizational Changes Report (Hodges Moore)

1:40 p.m.

C. Presidential Recommendations:

1. *Academic Affairs Personnel Actions
2. *Non-Academic Personnel Actions
3. *Major Gifts Acceptance
4. *Naming Recommendations (Klingenberg, Informatics Renaissance Travel Award)
5. *Faculty Emeritus Status Appointment (Manning, Yzenbaard)
6. *Chase College of Law LL.M. Program
7. *2016-18 Capital Budget Adjustment
8. *Campbell Hall Acquisition
9. *Emergency HVAC Replacement Project – Kentucky and Commonwealth Residence Halls
10. *Matriculated Student Tuition Policy
11. Sabbatical Leaves

2:00 p.m.

D. Executive Session

*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, January 11, 2016).

**Board of Regents Meeting
Northern Kentucky University, Student Union, Room 104
November 11, 2015**

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, November 11, 2015.

Roll Call: Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Ken Bothof, Eric Gentry, Sue Hodges Moore, Kim Turner, Tracy Insko, Bill Farrow, Chris Bowling, Austin Beach, Kim Scranage, Mike Hales, Lori Southwood, Kevin Kirby, Dale Scalise-Smith, Becky Porterfield, Cindy Reed, Katherine Frank, Leah Stewart, Kathy Stewart, Sara Kelley, Larry Blake, Larry Meyer, Christian Gamm, Idna Corbett, Jeff Strunk, Melissa Gorbandt, Pat Moynahan, Samantha Langley, Amanda Nageleisen, Joel Robinson, Bill Thompson, Mark Hansel, Mary Paula Schuh, Vickie Natale, Russ Kerdolff

Regent Arnie Slaughter seconded Regent Elizabeth Thompson's motion to approve the minutes of the September 9, 2015 Board of Regents meeting. **(Motion carried)**

Presidential Comments:

Veterans Day

Before lunch, we attended our University's observation of Veterans Day, a day for all of us to honor those who have served in our Armed Forces. I am proud of the many support programs that we offer to our students who have served our country. Our University is regularly recognized for our commitment to veterans. In fact, on Monday, our University was recognized once again by Military Times on their 'Best for Vets' list. Please join me in moment of reflection to honor and recognize our armed service members.

Campus Recreation Center Ribbon Cutting

After the morning session, we also celebrated the completion of the construction of our renovated and expanded Campus Recreation Center. This project is the result of the advocacy and the financial support from our students. I am proud that this Center is a hub for student engagement and wellness. So far this academic year, there have been more than 60,000 visits by 9,000 people. I am also proud that the facility is LEED Silver – further demonstrating our commitment to sustainable building practices.

HIC Groundbreaking

Three weeks ago, we welcomed Governor Beshear to our campus and broke ground on our new Health Innovation Center. This Center will be a state of the art facility to support our students' success. As a result of our Center, we will improve the health of our community, our metropolitan region, and the Commonwealth. We will also promote economic growth and vitality by producing graduates who earn good incomes, by providing trained talent for

Board of Regents
November 11, 2015

healthcare providers, and by improving the productivity of the broader workforce. And our innovative approach will be a model for other educational institutions and other communities to emulate. Over the next two years, we will expand our existing health related programs, and we will be proposing a variety of new degrees, certificates, and credentials to enhance our commitment to the health of the people in the communities that we serve.

Northern Kentucky University: A Panoramic History

Last week, we celebrated the release of a book that celebrates the remarkable history of our University – *Northern Kentucky University: A Panoramic History*. The book features the photographs of Thomas R. Schiff. Mr. Schiff is a world-renowned panoramic photographer who has produced several books containing panoramic photos of Las Vegas, Cincinnati, and Frank Lloyd Wright's architecture. Mr. Schiff's work has been featured in galleries around the world.

Mr. Schiff donated his time and talent to this project, and he also provided generous financial support for the publishing of the book. I am grateful to Tom for his interest in the architecture of our campus and his dedication to our University.

The book is available through Amazon.com and in our campus bookstore.

National Rankings

Since we last met, our University has been recognized with several national rankings.

I am proud that our Haile/US Bank College of Business was once again recognized in *The Princeton Review* as one of the nation's best business schools for the fourth year in a row. The College was also ranked among the Best Undergraduate Business Programs in the nation by *U.S. News & World Report*.

Last week, we learned that our student business incubator, the iNKUinator, was ranked as a top five performing university business accelerator in North America by UBI Global. Our competition included MIT, Stanford, Princeton, Purdue, the University of North Carolina-Chapel Hill, among other highly regarded universities.

Our Master's of Business Informatics program was ranked #11 in the country among graduate programs in business analytics by *The Financial Engineer Times*.

And finally, *Safewise* ranked Highland Heights as the seventh safest college town in America.

I am proud of these accomplishments. They are a reflection of the dedication of our faculty and staff.

NKU Apparel Now Available in Airport

As I have previously reported to the Board, one of our priorities is increasing our University's visibility in our community. I am proud that NKU apparel is now being sold in the Cincinnati/Northern Kentucky International Airport. NKU apparel is also available at Rally House in Crestview Hills, select Kroger stores, our campus bookstore, Campus Book & Supply,

Board of Regents
November 11, 2015

and online. And you can even buy NKU cookies from the Highland Heights Busken Bakery store.

Road to NKU

As you will recall, last year I initiated the RoadtoNKU tour to visit high school students and friends all across the Commonwealth of Kentucky. This year, I am continuing this tour and adding visits in Cincinnati and in Indiana. So far, I have visited six regions of the Commonwealth and spoken to more than 5,000 high school students. Next Monday and Tuesday, Ken Jones and Michael Hatton will join me in Paducah and Owensboro as they visit with students who are interested in our School of the Arts. I look forward to sharing a complete update at the January meeting.

Fall Sports

I am pleased to report that all of our fall team sports – men’s soccer, women’s soccer, and volleyball, earned a spot in the Horizon League postseason tournaments for their respective sports. After a very successful season, our men’s soccer team fell in the quarterfinals to Wright State. Our women’s soccer team also had a very successful season and lost, on penalty kicks, to Oakland University, who ultimately won the Horizon League tournament.

Andrew Schille, a freshman cross country runner, won freshman of the year honors, was named first-team all-conference, and took 4th place overall at the Horizon League championships.

Sophomore women’s soccer player Macy Hamblin, was named both Horizon League Player of the Year and Offensive Player of the Year.

Basketball

The basketball season kicked off this past Saturday as the men hosted and defeated Ohio Northern University in an exhibition game. In addition to our new Horizon League opponents, we are looking forward to several high profile games including our men playing away games at West Virginia, Xavier, and Michigan. We look forward to playing in-state rival, Morehead, at the BB&T Arena. On Friday, our women will play Miami University at Miami. The women will also play the University of Cincinnati at UC. Our women will host Marquette and the University of Kentucky. Good luck to our coaches and our outstanding student athletes as they begin their seasons. I hope many of you will attend our games this year and cheer on the Norse.

NCAA Site Visit

In late October, an NCAA peer review team visited our campus as part of the final steps in our transition to full membership in Division I athletics. I am pleased to report that the review team found no issues related to our self-study report and their site visit. The review team described our report as a model report and was impressed by the broad-based involvement of our faculty, staff, and students in the development and execution of our IPP plan.

The review team noted, “The peer-review team members expressed their feelings that Northern Kentucky University provide an exemplary self-study based on campus-wide communication that fairly reflects their current situation with regard to athletics.” The review team also wrote, “It was also noted by the peer-review team that the institution used the self-study process to

Board of Regents
November 11, 2015

examine and revise some processes outside of athletics. Of particular note were some changes made in their institutional personnel processes. This, in the committee members' experiences, was an unusual outcome and documented the degree to which broad-based participation resulted in institutional improvement."

I'd again like to thank Dr. Sue Hodges Moore, who led our institutional process, Mr. Ken Bothof, and Dr. Vickie Natale for their leadership and many contributions to this process.

Larry Blake

Two weeks ago, Larry Blake retired as our Assistant Vice President for Facilities Management. Larry has served our University since 2004 and has overseen many improvements to our campus – including the construction of the BB&T Center, Student Union, Griffin Hall, renovation and expansion of the Campus Recreation Center, and preliminary planning for the Health Innovation Center. And Mr. Blake was recently honored with the APPA: Leadership in Educational Facilities organization's President's Award for his exceptional achievement in facilities management and for his outstanding contributions to his professional organization. Please join me in thanking Larry for his service to our University.

Mr. Chair, that concludes my report.

B. Presidential Reports:

1. Facilities Management Report (Senior Vice President Sue Hodges Moore)

- a. Health Innovation Center/Founders Hall Renovation
- b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
- c. Campus Recreation Center Expansion/Renovation
- d. Callahan Hall Dining Renovation
- e. Exterior Door Security and Access Control
- f. Sustainability

2. Research, Grants, and Contracts Report (August 1, 2015 – September 30, 2015) (Provost and Executive Vice President Sue Ott Rowlands)

During the August 1, 2015 through September 30, 2015 time period, 24 grants were awarded. The total amount of money awarded was \$2,492,681. From July 1, 2015 through September 30, 2015, the cumulative total number of grants awarded is 30 totaling \$3,353,691.

3. Fundraising Report (July 1, 2015 through September 30, 2015) (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through September 30, 2015 totaling \$9,028,520 in support of the university.

4. Annual Financial Report (July 1, 2014 – June 30, 2015) (Senior Vice President Sue Hodges Moore)

The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

5. Organizational Changes Report (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected reorganizations in several existing departments including the following: Athletics, Academic Affairs, and Administration & Finance.

C. Presidential Recommendations:

Consent Agenda Items: A motion was made by Regent Rich Boehne and seconded by Regent Virginia Fox to approve the following Presidential Recommendations as listed; C-1 through C-5. **(Motion carried)**

1. Academic Affairs Personnel Actions:

a. Administrative Appointments:

Dr. Adrienne Lane, from associate professor and chair in the Department of Advanced Nursing Studies to associate professor, chair, and director of the Doctorate of Nursing Program, effective August 10, 2015; **Ms. Amy Shay**, from assistant professor in the Department of Advanced Nursing Studies to assistant professor and director of the Masters of Nursing Program, effective August 10, 2015; **Dr. Michelle Teschendorf**, from lecturer in the Department of Advanced Nursing Studies to lecturer and director of the RN-BSN Program, effective August 10, 2015.

b. Faculty Appointments:

Ms. Roxanne Gall, lecturer in the Department of Advanced Nursing Studies, effective August 10, 2015; **Ms. Amy Shay**, assistant professor in the Department of Advanced Nursing Studies, effective August 10, 2015.

c. Transitions:

Dr. Denice Robertson, from senior lecturer in the Department of Biological Sciences to senior lecturer and interim associate director of CINSAM, effective August 10, 2015; **Dr. Peter Theuri**, professor and department chair in the Department of Accounting, Finance and Business Law to professor of accounting in the Department of Accounting and Business Law, effective July 1, 2015. *This recommendation is a correction from the September 2015 Board submission in which Dr. Theuri was incorrectly listed as retiring effective May 16, 2015.*

d. Departures:

Ms. Dixie Leather, lecturer in the College of Education and Human Services Advising Center, effective September 22, 2015; **Dr. Dana Harley**, assistant professor in the Department of Counseling, Social Work and Leadership, effective August 9, 2015.

e. Retirements:

Ms. Sandra Forman, professor of theatre and dance in the School of the Arts, effective May 14, 2016; **Dr. John Filaseta**, associate professor of physics in the Department of Physics, Geology, and Engineering Technology, effective December 31, 2015.

f. Phased Retirements:

Dr. Joan Ferrante, professor in the Department of Sociology, Anthropology and Philosophy, beginning August 22, 2016 and terminating at the end of academic year 2017-18; **Dr. Marilyn Schleyer**, associate professor in the Department of Advanced Nursing, beginning August 11, 2014 and terminating May 9, 2015. *This recommendation is a change from Dr. Schleyer's original request to complete the Phased Retirement Program in May of 2016.*

g. Leaves, Paid/Unpaid:

Dr. Miriam Kannan, Regent's professor in the Department of Biological Sciences, unpaid leave effective for the 2016-17 academic year; **Dr. Steve Newman**, professor in the Department of Math and Statistics, unpaid leave effective for the spring semester, 2016.

h. Temporary Faculty:

Mr. Steve Castellano, Department of Biological Sciences, 2015-2016 Academic Year; **Mr. Michael Hinckley**, Department of History and Geography, 2015 Fall Semester; **Ms. Bonnie May**, Department of History and Geography, 2015-2016 Academic Year; **Dr. Theresa Snodgrass**, Department of Mathematics & Statistics, 2015 Fall Semester; **Mr. Roger Miller**, Department of Physics, Geology & Engineering Technology, 2015-2016 Academic Year; **Mr. Mohsen Keshtvarz (Mark Kesh)**, Department of Physics, Geology & Engineering Technology, 2015-2016 Academic Year; **Ms. Rebecca Hazen**, Department of Psychological Science, 2015 Fall Semester *; **Mr. Chris Smith**, School of the Arts – Visual Arts, 2015-2016 Academic Year; **Mr. William Rabe, IV**, School of the Arts – Visual Arts, 2015-2016 Academic Year.

** Correction to September 2015 Board submission indicating Ms. Rebecca Hazen's temporary appointment was for the academic year.*

2. Non-Academic Personnel Actions:

The following categories of non-academic personnel actions which occurred between August 12, 2015 and October 13, 2015 received approval by the Board of Regents:

Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

3. Major Gifts Acceptance:

The Board of Regents officially accepted contributions totaling \$8,125,000 received by the NKU Foundation Inc. and the University during the period August 1, 2015 through September 30, 2015.

4. Capital Budget Request

The Board of Regents adopted the 2016-2018 Capital Budget.

5. Posthumous Degree (Buckenmeyer)

The Board of Regents approved that Shawn Marie Daniell (Buckenmeyer) receive a posthumous Master of Arts in English.

D. Executive Session:

Regent Dennis Reppenning seconded Regent Nathaniel Smith's motion to enter into executive session pursuant to KRS 61.810(C) and KRS 61.810 (1)(G) in order to discuss potential and pending litigation and for discussion concerning a specific proposal. **(Motion carried)**

No other matters were discussed. No final action was taken.

At 2:54 p.m., Regent Katherine Hahnel seconded Regent Richard Boyce's motion to adjourn. **(Motion carried)**

Signature On File
Wendy J. Peek
Senior Administrative Assistant
Office of the President

Signature On File
Kathryn J. Herschede
Executive Assistant to the President/
Secretary to the Board of Regents

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on November 11, 2015, and that such matters are still in force and effect.

Signature On File
Virginia G. Fox
Secretary of the Board of Regents

FACILITIES MANAGEMENT REPORT

1. Health Innovation Center/Founders Hall Renovation

The 2014 General Assembly provided \$97 million in state bond funding for design and construction of the Health Innovation Center project which includes the full renovation of Founders Hall. The recent gift of \$8 million from St. Elizabeth Healthcare has increased available project funds to \$105,000,000.

The Health Innovation Center will be a comprehensive health science education and applied research facility located in the core of NKU's campus. The project will position the university to be a leader in this field, to enroll and graduate professionals trained for the changing face of health education and wellness. To meet these goals, the university's approach is collaborative and interdisciplinary, involving numerous academic disciplines, including those in health, social science, information science, and the physical and life sciences.

Upon completion, the building will be light-filled, open and inviting. It will feature active learning classrooms as well as spaces designed to advance nursing and health science education, including a clinical suite; medical simulation suite; nursing, radiology and respiratory skills labs; a biopsychology and a neuroscience lab; advanced kinesiology lab; movement studio; innovation studios; offices; and a café and spaces designed to build community. The building features a dramatic split-level public space which connects the Central Plaza with the West Quad. The new building has been planned as a pair of wings or lofts oriented along the east-west direction to optimize solar orientation. The lofts are positioned directly adjacent to Founders Hall, creating multiple collaborative common areas. They are connected via bridges to Founders Hall across a narrow four story atrium space, joining the new construction with the existing building. A large skylight at the roof level will allow natural daylight to spill down through the atrium space.

The first bid package, underground utilities, will be bid in December. Parking Lot C will close at the end of the semester and site construction will begin in late December/early January.

As reported earlier, Founders Hall will be vacated to allow for renovation and construction. All offices will be relocated and the building will be emptied of furniture prior to January 31, 2016.

The project is currently on schedule.

Architect: CO Architects/GBBN

Engineers: CMTA, THP, Kleingers & Associates

Special Consultants: Sextant Group, Capital Projects Group, Vivian Llambi

Construction Manager: Turner Construction

Scope: \$105,000,000

Anticipated Completion: Spring 2018

Health Innovation Center/Founders Hall Renovation (Continued)



Rendering of Atrium



Rendering of Exterior Front Entry

2. Health Innovation Center/Founders Hall Renovation (Chiller Installation)

The Health Innovation Center/Founders Hall project includes funding to increase the capacity to generate chilled water for the campus chilled water loop and to support cooling for the new building. A new 2500 ton chiller will be installed in the Central Plant, which was originally designed to accommodate additional equipment. The cooling towers have been delivered. Construction is scheduled to begin on December 3rd. The project is currently on schedule and within budget.

Health Innovation Center/Founders Hall Renovation (Chiller Installation)
(Continued)

Engineers: KZF and THP

Contractor: Peck Hannaford + Briggs

Scope: \$2,100,000 (included in the total \$97,000,000 HIC/Founders scope)

3. Founders Hall Moves

A significant planning effort has been accomplished to empty Founders Hall so that full renovation can occur as part of the Health Innovation Center project. Beginning with planning to relocate classes from the 28 Founders classrooms for the spring semester, this campus wide initiative has touched every college and nearly every department. If not for the collaborative teamwork across many departments and offices, this project would not have been successful. Although a number of small offices are not mentioned here, these are a few examples of the moves:

- Kentucky Center for Mathematics (KCM) is now located in an open office setting in two former classrooms in Campbell Hall.
- World Languages and Literatures moved from Landrum Hall to the Mathematics-Education-Psychology Center, to space formerly occupied by KCM.
- Political Science, Criminal Justice & Organizational Leadership moved to the first and second floors of Landrum Hall.
- The Innovation and Entrepreneurship Center has moved to the Business Academic Center.
- CINSAM has moved to the Herrmann Science Center.

Other relocated offices include the Scripps Howard Center, Wellness, Greater Cincinnati World Affairs Council, Community Connections, Cincinnati Insurance Testing Center, Women's Studies, FUEL Foodbank, ROTC, Kinesiology Research Lab, Kentucky Campus Compact, two schedulable computer labs and one open computer lab, among others.

4. Campus Recreation Center Expansion/Renovation

This project, entirely funded by a student fee to support agency bond repayment, expands and renovates the A.D. Albright Health Center to create a modern campus recreation center. Omni Architects, in collaboration with Cannon Design, led the design effort. The project scope includes:

- Construction of artificial surface, lighted intramural fields and support building.
- A building expansion to increase campus recreation space.
- Renovation of the existing campus recreation center.

The project is substantially complete. Upgrades to an elevator in Albright Health Center and renovation of the third floor restrooms will be completed over Christmas break.

Campus Recreation Center Expansion/Renovation (Continued)

The new intramural field complex, completed in September 2013, is located behind University Suites.

Architect: Omni Architects/Cannon Design

Engineers: CMTA, BMFJ, Vivian Llambi

Special Consultants: Sextant Group, Counsilman-Hunsaker

General Contractor for Intramural Fields: Mark Spaulding Construction

Construction Manager for Main Building Renovation/Expansion: Pepper Construction

Scope: \$48,331,788

5. Science Center Air Handler Refurbishment

The Herrmann Science Center has three air handlers that force air through VAV boxes, where the temperature is adjusted, and then into the rooms to heat or cool them as necessary. All three units, original to the building, are severely rusted and quickly deteriorating.

The contractor will remove the rust; patch and reinforce sections if needed; and coat the units with a patented three step process, registered with the EPA, to prevent rust and decay.

Refurbishing the units will significantly extend their life and improve operating efficiency and air quality. Due to the complexity of removal and installation due to their location in the building, the estimated cost to replace the three air handlers is \$3 million.

Contractor: Aquis

Scope: \$250,000

Anticipated Completion: TBD. The work will take an estimated nine consecutive days to complete. Operations & Maintenance is currently working with the occupants of the building to determine timing and any necessary precautions.



Science Center Air Handler Refurbishment (Continued)



Current Condition of the Science Center Air Handlers.

6. Exterior Door Security and Access Control

In the past, access to each main campus building during non-core hours was controlled by the Facilities Management custodial staff and University Police who manually locked and unlocked each exterior door. Individuals requiring access during off hours were provided door keys in accordance with university policy and procedures. The primary risks associated with this approach were that keys can be lost or stolen and doors were sometimes inadvertently left unlocked. It was impossible to determine if doors were locked without visiting each building entrance. In addition, should the university experience a major security risk, a quick and efficient building lockdown could not be initiated.

To improve personal security and protect the university's assets, this project provided for the installation of card access technology on main entrances to each building to permit after-hours access; installation of electronic and mechanical components on all entrance doors to enable lockdown capability based on a set schedule (end of day) or by the University Police in the event of a security threat or unplanned closure; and the installation of card access technology on all doors to maintenance tunnels, reducing security risks associated with the campus tunnel infrastructure. With the new system, University Police dispatchers are able to confirm all doors are secure, limiting the risk of a door malfunction or prop open.

The project also includes a camera and intercom system for at least one entrance per building, enabling University Police to view and communicate with individuals and to permit or deny access as necessary when the building is closed.

Exterior Door Security and Access Control (Continued)

Intercom installation has been on hold due to technical difficulties with our network and the vendor software. NKU IT is working with the vendor to yield a solution.

Contractor: Aegis Protective Services

Scope: \$550,000

Anticipated Completion: January 2016

7. Sustainability

Throughout the month of October, NKU joined hundreds of colleges and universities across the United States and Canada in the celebration of Campus Sustainability Month. The Sustainability Manager collaborated with First Year Programs, Environmental Sciences, and the Center for Civic Engagement to bring water-themed programming to students, faculty, and staff. On October 21st, the Environmentally Concerned Organization of Students and the service fraternity APO teamed up to host a tap water vs. bottled water taste testing and kick off the “Every Drop Counts” water security awareness campaign.

NKU was the recipient of a \$700 grant from the Campbell County Cooperative Extension Service to be used for the new on-campus community garden. This funding, in conjunction with a \$200 donation from Chartwells, will be used to ensure the garden is free and accessible to the entire NKU campus and community.

The American College and University Presidents’ Climate Commitment has been rebranded and is now referred to as the Second Nature Climate Leadership Commitments. NKU is currently a signatory of the Carbon Commitment and has committed to achieving carbon neutrality by 2050; as such, the University is required to submit a progress report biennially, the next progress report will be submitted to Second Nature in mid-January, 2016.

8. North Connector Road

This long-awaited federally funded project is finally in the bidding phase. Bids for this Kentucky Transportation Cabinet managed project were scheduled to be opened in December. The expectation is that construction fencing will be installed in February and construction will be underway in early spring, continuing for about two years. The road begins at a roundabout intersection at Three Mile Road, climbs the hill and will cross between the Maintenance Building and Campbell Hall as it runs along the west side of the new intramural fields, west of Woodcrest and through the western gravel section of parking lots L, K, A and G, ending at a new roundabout at Johns Hill Road. The road includes a sidewalk and bike lanes. A second section of the Connector Road, the south section, will be bid later and will extend over a mile south of Johns Hill Road, connecting with Pooles Creek Road, near its intersection with AA Highway.

This road was a high priority of both the 2000 and 2009 Master Plans and upon completion, will resolve traffic congestion in the core area of campus.



North Connector Road

OFFICE OF RESEARCH, GRANTS, AND CONTRACTS REPORT

The attached report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for October 1, 2015 through November 30, 2015, Fiscal Year 2015-16:

- During the October 1, 2015 through November 30, 2015 time period, **10** grants were awarded. The total amount of money awarded was **\$486,671**.
- From July 1, 2015 through November 30, 2015, the cumulative total number of grants awarded is **40** totaling **\$3,840,362**.

**NKU Office of Research, Grants and Contracts
 Presidents Report
 Grants Awarded Funding - October 1, 2015 thru November 30, 2015
 FY 2016**

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office Department</u>	<u>Project Title</u>	<u>Sponsor Total</u>
Public Service	Continuation	<i>Chase College of Law</i> Career Development	Legal Services to the Poor	\$15,000
Research	Continuation	<i>College of Arts and Sciences</i> Chemistry	Bio-Inspired Membrane Technologies	\$20,000
Student Support	Continuation	Political Science & Criminal Justice	Future Leaders Internship Program	\$10,000
Public Service	Continuation	<i>College of Education and Human Services</i> Teacher Education	NKU CTE KTIP 2016	\$2,406
Public Service	New-Non-Competitive	<i>College of Health Professions</i> Nursing	NACU - City Heights Health Ctr	\$1,500
Public Service	New-Non Competitive	<i>College of Informatics</i> Communication	What is Sex Science?	\$3,315
Public Service	Continuation	<i>Information Technology</i> Ctr of Innovation & Technology in Education	Student Technology Leadership Showcase	\$3,000
Public Service	Continuation	<i>Office of Vice Provost for Graduate Education, Research and Outreach</i> Kentucky Campus Compact	Kentucky College Coaches Project	\$423,450
Public Service	Continuation	Scripps Howard Ctr for Civic Engagement	Public Relations Intern	\$2,000
Student Support	New-Competitive	<i>Student Affairs</i> Student Services	Family Friendly Campus Toolkit	\$6,000
Total Number of Awards 10				\$ 486,671

FUNDRAISING REPORT

The attached Fundraising Report **summarizes fundraising resources committed from July 1, 2015 through November 30, 2015 totaling \$9,846,166** in support of the university.

The report includes:

1. Resources in support of the colleges, Steely Library, Go Norse Fund, NKU Fund For Excellence, Academic Affairs & University Designated Initiatives, Student Affairs, University Wide Student Aid, Campus Program Initiatives, and WNKU.
2. Resources for Fiscal Year 2016.

**Fundraising Resources FY to Date by Designation
For the Period 7/01/15- 11/30/15**

Designation	Fundraising Resources 7/01/15-11/30/15 FY16
College of Arts and Sciences	\$ 428,263
College of Business	\$ 94,994
College of Education & Human Services	\$ 38,039
College of Health Professions	\$ 8,033,243
College of Informatics	\$ 41,283
Chase College of Law	\$ 364,144
Go Norse Fund	\$ 186,100
Steely Library	\$ 5,225
Fund for Excellence	\$ 98,653
Academic Affairs & University Designated Initiatives	\$ 60,459
Student Affairs	\$ 1,843
University Wide Student Aid	\$ 73,204
WNKU (membership & underwriting)	\$ 420,717
Total	\$ 9,846,166

NORTHERN KENTUCKY UNIVERSITY

QUARTERLY FINANCIAL REPORT

FOR THE PERIOD JULY 1, 2015 THROUGH SEPTEMBER 30, 2015

Northern Kentucky University
Quarterly Financial Report

Table of Contents

Statements of Net Position

Statements of Revenues, Expenses, and Changes in Net Position

Current Unrestricted Fund Schedule of Revenue

Current Unrestricted Fund Schedule of Expenditures

Current Restricted Fund Schedule of Expenditures

Schedule of Bonds and Leases Payable

Schedule of Current Investments

Northern Kentucky University and Affiliate
A Component Unit of the Commonwealth of Kentucky
Statements of Net Position
September 30, 2015 and 2014
(in thousands)

	9/30/2015	9/30/2014
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 116,379	\$ 112,390
Notes, loans and accounts receivable, net	14,177	13,908
Other current assets	580	711
Total current assets	131,136	127,009
Noncurrent Assets		
Cash and cash equivalents	20,755	52,887
Investments	11,979	14,955
Notes, loans and accounts receivable, net	1,421	1,561
Capital assets, net	336,603	314,997
Other noncurrent assets	122	86
Total noncurrent assets	370,880	384,486
Total assets	502,016	511,495
DEFERRED OUTFLOWS OF RESOURCES		
Loss on refunding	968	1,056
Pension contributions made after the measurement date	12,456	-
Total deferred outflows	13,424	1,056
LIABILITIES		
Current Liabilities		
Accounts payable and accrued liabilities	12,395	11,012
Unearned revenue	1,459	1,333
Long-term liabilities-current portion	1,408	1,500
Total current liabilities	15,262	13,845
Noncurrent Liabilities		
Deposits	12,760	13,663
Unearned revenue	283	574
Long-term liabilities	127,438	135,737
Net pension liability	224,377	-
Total noncurrent liabilities	364,858	149,974
Total liabilities	380,120	163,819
DEFERRED INFLOWS OF RESOURCES		
Service concession arrangement revenue applicable to future years	2,497	2,142
Pension investment earnings	3,010	-
Total deferred inflows	5,507	2,142
NET POSITION		
Invested in capital assets, net of related debt	215,040	215,469
Restricted		
Nonexpendable	7,616	7,616
Expendable	6,400	8,328
Unrestricted	(99,243)	115,797
Total net position	\$ 129,813	\$ 347,210

Northern Kentucky University and Affiliate
A Component Unit of the Commonwealth of Kentucky
Statements of Revenues, Expenses and Changes in Net Position
For the Quarter Ended September 30, 2015 and 2014
(in thousands)

	9/30/2015	9/30/2014
OPERATING REVENUES		
Student tuition and fees (net of scholarship allowances of \$19,347 in 2016 and \$17,688 in 2015)	\$ 53,360	\$ 55,233
Federal grants and contracts	902	983
State and local grants and contracts	440	138
Nongovernmental grants and contracts	662	549
Sales and services of educational departments	821	735
Auxiliary enterprises		
Housing and food service (net of scholarship allowances of \$632 in 2016 and \$578 in 2015)	4,715	4,520
Other auxiliaries	2,322	2,451
Other operating revenues	4,082	2,041
Total operating revenues	67,304	66,650
OPERATING EXPENSES		
Educational and general		
Instruction	16,918	16,983
Research	516	527
Public service	2,748	2,613
Libraries	2,150	2,245
Academic support	4,703	4,533
Student services	6,205	5,592
Institutional support	6,924	7,507
Operation and maintenance of plant	4,038	3,638
Depreciation	4,038	4,013
Student aid	7,702	7,025
Auxiliary enterprises		
Housing and food service	907	1,529
Other auxiliaries	412	385
Auxiliary depreciation	709	681
Other expenses	36	29
Total operating expenses	58,006	57,300
Net loss from operations	9,298	9,350
NONOPERATING REVENUES (EXPENSES)		
State appropriations	14,561	14,561
Federal grants and contracts	9,435	9,813
State and local grants and contracts	4,865	4,482
Private gifts and grants	5	3
Investment income (loss)	(475)	281
Interest on capital asset-related debt	(1,264)	(1,384)
Other nonoperating revenues (expenses)	3	(17)
Net nonoperating revenues	27,130	27,739
Income before other revenues, expenses, gains or losses	36,428	37,089
Capital appropriations	565	-
Capital grants and gifts	37	27
Total other revenues	602	27
Increase (decrease) in net position	37,030	37,116
NET POSITION		
Net position-beginning of year	92,783	310,094
Net position-end of year	\$ 129,813	\$ 347,210

NORTHERN KENTUCKY UNIVERSITY
 Current Unrestricted Fund
 Schedule of Revenue, Budgeted and Actual
 For the Period from July 1, 2015 to September 30, 2015
 With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Revenue	% of Bgt	Revised Budget	Actual Revenue	% of Bgt
STUDENT TUITION AND FEES						
Tuition - Summer	\$ 9,574,600	\$ 3,869,671	40 %	\$ 9,658,800	\$ 4,278,177	44 %
Tuition - Fall	65,680,599	64,792,160	99	67,263,491	64,594,255	96
Tuition - Spring (Including Winter)	60,417,119	(22,268)	0	61,928,900	(23,791)	0
Mandatory Fees	4,654,000	2,394,721	51	4,754,000	2,433,106	51
Class Fees	3,202,075	1,672,912	52	3,044,783	1,639,649	54
TOTAL STUDENT TUITION AND FEES	\$ 143,528,393	\$ 72,707,196	51 %	\$ 146,649,974	\$ 72,921,396	50 %
STATE APPROPRIATIONS GENERAL	\$ 48,537,600	\$ 14,561,300	30 %	\$ 48,537,600	\$ 14,561,300	30 %
SALES AND SERVICES OF EDUCATIONAL ACTIVITIES	\$ 5,336,877	\$ 820,754	15 %	\$ 5,257,263	\$ 733,158	14 %
SALES AND SERVICES OF AUXILIARY ACTIVITIES	\$ 15,947,582	\$ 7,669,707	48 %	\$ 15,475,102	\$ 7,549,193	49 %
OTHER SOURCES						
Service Fees	\$ 2,330,438	\$ 723,399	31 %	\$ 2,403,000	\$ 730,524	30 %
Rentals	1,544,857	251,199	16	1,516,233	253,815	17
Investment Earnings	400,825	50,297	13	225,275	35,621	16
Other	2,922,897	2,976,471	102	2,706,319	850,753	31
TOTAL OTHER SOURCES	\$ 7,199,017	\$ 4,001,366	56 %	\$ 6,850,827	\$ 1,870,713	27 %
TOTAL CURRENT UNRESTRICTED FUND REVENUE	\$ 220,549,469	\$ 99,760,323	45 %	\$ 222,770,766	\$ 97,635,760	44 %

NORTHERN KENTUCKY UNIVERSITY
 Current Unrestricted Fund
 Schedule of Expenditures by Function and Account Category
 Budgeted and Actual
 For the Period from July 1, 2015 to September 30, 2015
 With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Expenditures	% of Bgt	Revised Budget	Actual Expenditures	% of Bgt
EDUCATIONAL AND GENERAL						
INSTRUCTION						
Personnel Expenses	\$ 64,667,755	\$ 14,899,083	23 %	\$ 63,023,952	\$ 14,927,688	24 %
Operating Expenses/Capital Outlay	<u>8,245,612</u>	<u>1,446,221</u>	18	<u>7,224,301</u>	<u>1,273,453</u>	18
TOTAL INSTRUCTION	\$ 72,913,367	\$ 16,345,304	22 %	\$ 70,248,253	\$ 16,201,141	23 %
RESEARCH						
Personnel Expenses	\$ 431,637	\$ 131,271	30 %	\$ 448,007	\$ 98,591	22 %
Operating Expenses/Capital Outlay	<u>260,976</u>	<u>31,494</u>	12	<u>200,719</u>	<u>13,938</u>	7
TOTAL RESEARCH	\$ 692,613	\$ 162,765	24 %	\$ 648,726	\$ 112,529	17 %
PUBLIC SERVICE						
Personnel Expenses	\$ 2,734,367	\$ 1,083,409	40 %	\$ 2,526,099	\$ 1,071,971	42 %
Operating Expenses/Capital Outlay	<u>6,806,422</u>	<u>782,332</u>	11	<u>7,361,733</u>	<u>718,641</u>	10
TOTAL PUBLIC SERVICE	\$ 9,540,789	\$ 1,865,741	20 %	\$ 9,887,832	\$ 1,790,612	18 %
LIBRARIES						
Personnel Expenses	\$ 4,341,628	\$ 914,077	21 %	\$ 4,425,276	\$ 1,036,079	23 %
Operating Expenses/Capital Outlay	<u>1,776,068</u>	<u>1,212,159</u>	68	<u>1,763,625</u>	<u>1,228,330</u>	70
TOTAL LIBRARIES	\$ 6,117,696	\$ 2,126,236	35 %	\$ 6,188,901	\$ 2,264,409	37 %
ACADEMIC SUPPORT						
Personnel Expenses	\$ 14,739,017	\$ 3,590,160	24 %	\$ 16,529,755	\$ 3,601,004	22 %
Operating Expenses/Capital Outlay	<u>4,903,999</u>	<u>972,714</u>	20	<u>3,747,089</u>	<u>791,896</u>	21
TOTAL ACADEMIC SUPPORT	\$ 19,643,016	\$ 4,562,874	23 %	\$ 20,276,844	\$ 4,392,900	22 %
STUDENT SERVICES						
Personnel Expenses	\$ 16,421,010	\$ 3,641,182	22 %	\$ 17,129,904	\$ 3,793,934	22 %
Operating Expenses/Capital Outlay	<u>6,785,266</u>	<u>2,009,937</u>	30	<u>6,876,839</u>	<u>1,550,576</u>	23
TOTAL STUDENT SERVICES	\$ 23,206,276	\$ 5,651,119	24 %	\$ 24,006,743	\$ 5,344,510	22 %

NORTHERN KENTUCKY UNIVERSITY
 Current Unrestricted Fund
 Schedule of Expenditures by Function and Account Category
 Budgeted and Actual
 For the Period from July 1, 2015 to September 30, 2015
 With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Expenditures	% of Bgt	Revised Budget	Actual Expenditures	% of Bgt
INSTITUTIONAL SUPPORT						
Personnel Expenses	\$ 23,336,244	\$ 5,299,272	23 %	\$ 24,417,572	\$ 5,967,970	24 %
Operating Expenses/Capital Outlay	<u>7,140,510</u>	<u>1,390,992</u>	19	<u>11,978,239</u>	<u>1,381,385</u>	12
TOTAL INSTITUTIONAL SUPPORT	\$ 30,476,754	\$ 6,690,264	22 %	\$ 36,395,811	\$ 7,349,355	20 %
OPERATION & MAINTENANCE OF PLANT						
Personnel Expenses	\$ 11,754,195	\$ 2,103,145	18 %	\$ 10,892,138	\$ 2,195,480	20 %
Operating Expenses/Capital Outlay	4,574,682	1,214,730	27	3,865,820	1,134,367	29
Utilities	<u>5,019,020</u>	<u>651,072</u>	13	<u>3,832,875</u>	<u>527,872</u>	14
TOTAL OPERATION & MAINTENANCE OF PLANT	\$ 21,347,897	\$ 3,968,947	19 %	\$ 18,590,833	\$ 3,857,719	21 %
STUDENT FINANCIAL AID						
Grants,Loans,Benefits	<u>22,279,226</u>	<u>12,443,588</u>	56	<u>21,190,897</u>	<u>10,087,017</u>	48
TOTAL STUDENT FINANCIAL AID	\$ 22,279,226	\$ 12,443,588	56 %	\$ 21,190,897	\$ 10,087,017	48 %
TRANSFERS						
Mandatory:						
Debt Service Principal/Interest	\$ 6,210,767	\$ 4,700,737	76 %	\$ 6,150,148	\$ 4,917,882	80 %
Nonmandatory	<u>3,476,773</u>	<u>337,885</u>	10	<u>3,844,158</u>	<u>1,336,710</u>	35
TOTAL TRANSFERS	\$ 9,687,540	\$ 5,038,622	52 %	\$ 9,994,306	\$ 6,254,592	63 %
TOTAL EDUCATIONAL AND GENERAL EXPENDITURES						
Personnel Expenses	\$ 138,425,853	\$ 31,661,599	23 %	\$ 139,392,703	\$ 32,692,717	23 %
Operating Expenses/Capital Outlay	45,512,555	9,711,651	21	46,851,240	8,620,458	18
Transfers	9,687,540	5,038,622	52	9,994,306	6,254,592	63
Financial Aid	<u>22,279,226</u>	<u>12,443,588</u>	56	<u>21,190,897</u>	<u>10,087,017</u>	48
TOTAL EDUCATIONAL AND GENERAL EXPENDITURES	\$ 215,905,174	\$ 58,855,460	27 %	\$ 217,429,146	\$ 57,654,784	27 %

NORTHERN KENTUCKY UNIVERSITY
 Current Unrestricted Fund
 Schedule of Expenditures by Function and Account Category
 Budgeted and Actual
 For the Period from July 1, 2015 to September 30, 2015
 With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Expenditures	% of Bgt	Revised Budget	Actual Expenditures	% of Bgt
AUXILIARY ENTERPRISES						
STUDENT SERVICES						
Personnel Expenses	\$ 2,507,711	\$ 537,608	21 %	\$ 2,567,103	\$ 550,785	21 %
Operating Expenses/Capital Outlay	7,445,779	1,185,912	16	5,283,489	1,046,681	20
Financial Aid	403,997	204,235	51	453,997	247,940	55
TOTAL STUDENT SERVICES	\$ 10,357,487	\$ 1,927,755	19 %	\$ 8,304,589	\$ 1,845,406	22 %
TRANSFERS						
Mandatory:						
Debt Service Principal & Interest	\$ 5,164,196	\$ 3,969,633	77 %	\$ 5,235,408	\$ 4,117,045	79 %
Nonmandatory	2,420,098	2,387,112	99	1,864,278	1,549,513	83
TOTAL TRANSFERS	\$ 7,584,294	\$ 6,356,745	84 %	\$ 7,099,686	\$ 5,666,558	80 %
TOTAL AUXILIARY ENTERPRISES						
Personnel Expenses	\$ 2,507,711	\$ 537,608	21 %	\$ 2,567,103	\$ 550,785	21 %
Operating Expenses/Capital Outlay	7,445,779	1,185,912	16	5,283,489	1,046,681	20
Financial Aid	403,997	204,235	51	453,997	247,940	55
Transfers	7,584,294	6,356,745	84	7,099,686	5,666,558	80
TOTAL AUXILIARY ENTERPRISES	\$ 17,941,781	\$ 8,284,500	46 %	\$ 15,404,275	\$ 7,511,964	49 %
TOTAL EXPENDITURES AND TRANSFERS						
Personnel Expenses	\$ 140,933,564	\$ 32,199,207	23 %	\$ 141,959,806	\$ 33,243,502	23 %
Operating Expenses/Capital Outlay	52,958,334	10,897,563	21	52,134,729	9,667,139	19
Transfers	17,271,834	11,395,367	66	17,093,992	11,921,150	70
Financial Aid	22,683,223	12,647,823	56	21,644,894	10,334,957	48
TOTAL EXPENDITURES AND TRANSFERS	\$ 233,846,955	\$ 67,139,960	29 %	\$ 232,833,421	\$ 65,166,748	28 %

NORTHERN KENTUCKY UNIVERSITY

Current Restricted Fund

Schedule of Actual Revenues and Expenditures

For the Period from July 1, 2015 to September 30, 2015

With Comparative Prior Year Data

	Fiscal Year To Date 9/30/15	Fiscal Year To Date 9/30/14	Difference	Percentage Change	Fiscal Year 2015 Final
Revenues by Source					
Federal Grants & Contracts	\$ 612,930	\$ 768,296	\$ (155,366)	-20%	\$ 3,237,767
State and Local Grants & Contracts	541,686	229,536	312,150	136%	4,517,182
Nongovernmental Grants & Contracts	85,706	51,964	33,742	65%	494,776
Federal Financial Aid Programs	9,723,982	10,028,677	(304,695)	-3%	20,227,575
State Financial Aid Programs	4,763,808	4,394,519	369,289	8%	8,678,061
NKU Foundation Subgrants	557,048	462,123	94,925	21%	2,280,417
Agency Subgrants	23,965	37,514	(13,549)	-36%	153,459
Other	-	2,250	(2,250)	-100%	4,892
Total Revenues	\$ 16,309,125	\$ 15,974,879	\$ 334,246	2%	\$ 39,594,129
Expenditures by Function					
Instruction	\$ 473,872	\$ 670,527	\$ (196,655)	-29%	\$ 3,111,580
Research	352,966	414,835	(61,869)	-15%	1,439,821
Public Service	846,148	814,880	31,268	4%	4,299,905
Libraries	22,989	13,588	9,401	69%	49,761
Academic Support	110,640	127,412	(16,772)	-13%	464,073
Student Services	193,903	125,711	68,192	54%	666,800
Institutional Support	215,651	139,463	76,188	55%	760,617
Operation & Maintenance of Plant	7,089	1,700	5,389	317%	8,329
Student Financial Aid	14,510,521	14,561,042	(50,521)	0%	28,968,793
Total Expenditures	\$ 16,733,779	\$ 16,869,158	\$ (135,379)	-1%	\$ 39,769,679

NORTHERN KENTUCKY UNIVERSITY

Schedule of Bonds and Leases Payable Through the Period Ended September 30, 2015

	Date Issued	Maturity Date	Original Indebtedness	Outstanding Indebtedness	Principal Due This Fiscal Year	Interest Due This Fiscal Year
HOUSING AND DINING SYSTEM REVENUE BONDS						
Series B	11/01/1980	11/01/2020	\$ 4,768,000	\$ 1,140,000	\$ 175,000	\$ 31,575
GENERAL RECEIPTS BONDS*						
Series A 2007	05/23/2007	09/01/2027	48,660,000	33,650,000	2,125,000	1,454,034
Series A 2008	06/18/2008	09/01/2028	19,465,000	17,545,000	455,000	738,650
Series A 2010	06/29/2010	09/01/2020	6,785,000	1,785,000	410,000	56,338
Series B 2010	10/21/2010	09/01/2027	12,265,000	9,720,000	665,000	326,031
Series A 2011	08/04/2011	09/01/2030	9,290,000	8,060,000	420,000	285,788
Series A 2013	02/26/2013	09/01/2022	4,995,000	3,600,000	475,000	76,750
Series A 2014	01/07/2014	09/01/2033	47,375,000	44,355,000	1,530,000	2,144,625
TOTAL BONDS			<u>153,603,000</u>	<u>119,855,000</u>	<u>6,255,000</u>	<u>5,113,791</u>
LEASE OBLIGATIONS						
Local Leasing Obligations	N/A	N/A	5,444,697	1,936,743	1,128,400	26,682
TOTAL LEASE OBLIGATIONS			<u>5,444,697</u>	<u>1,936,743</u>	<u>1,128,400</u>	<u>26,682</u>
TOTAL BONDS AND CAPITAL LEASES			<u>\$ 159,047,697</u>	<u>\$ 121,791,743</u>	<u>\$ 7,383,400</u>	<u>\$ 5,140,473</u>

NORTHERN KENTUCKY UNIVERSITY

Schedule of Current Investments

As of September 30, 2015

	Average Balance	YTD Yield	Maturity Date
STATE INVESTMENTS*			
State Investment Short Term Pool - General Receipts	\$ 59,611,401	0.10%	N/A
State Investment Intermediate Term Pool - General Receipts	\$ 15,686,576	0.84%	N/A
State Investment Short Term Pool - Housing	\$ 683,536	0.10%	N/A
State Investment Intermediate Term Pool - Housing	\$ 177,749	1.01%	N/A
TOTAL STATE INVESTMENTS	\$ 76,159,261		

*Invested at the state by the Office of Financial Management in investment pools.

RESERVE FOR RETIREMENT OF INDEBTEDNESS

Huntington Trust Public Funds Deposit Account	\$ 210,102	0.00%	N/A
TOTAL RESERVE FOR RETIREMENT OF INDEBTEDNESS	\$ 210,102		

FUND FOR RENEWALS AND REPLACEMENTS

Huntington Trust Public Funds Deposit Account	\$ 397,348	0.00%	N/A
TOTAL FUND FOR RENEWALS AND REPLACEMENTS	\$ 397,348		

**2016-2017 FACULTY DEVELOPMENT AWARDS:
PROJECT GRANTS**

Faculty Project Grants are awarded to encourage professional growth through financial support for independent research. The University recognizes the role of research as an integral part of professional activity of the faculty. Faculty Project Grants are intended to provide funds for research equipment and activities that are not available through program budgets. The following grants have been awarded for 2016-2017:

2016-2017 PROJECT GRANTS

Name	Department	Title
Dr. Emily Shifley	Biological Sciences	The genetics behind craniofacial defects
Dr. Mark E. Bardgett	Psychological Science	The effects of early-life antipsychotic drug administration on working memory in adult rats
Dr. Lili Ma	Chemistry	Biological Evaluation of Histone Deacetylase Inhibitors as Anticancer Agents
Dr. Isabelle Lagadic	Chemistry	Investigating new microwave-prepared materials for the advancement of research and teaching
Dr. Chris Curran	Biological Sciences	The effect of polychlorinated biphenyls on the microbiome of pregnant mice and their offspring
Dr. John Carmen	Biological Sciences	Development of a novel insect model system of amoebic pathogenesis
Ms. Sara Drabik	Communication	The Changing Role of Women in the International Coffee Industry
Dr. Patrick M. Hare	Chemistry	High level computational chemical modeling of environmental pollutants in solution
Dr. Austin Lee	Communication	Social Robotics: A Transdisciplinary Course
Dr. Monica Wakefield	Sociology, Anthropology & Philosophy	Examining Bonobo Social Structure Using Fecal Genetic Sampling at the Iyema Field Site, Lomako Forest, Equateur Province, Dem. Rep. of Congo
Dr. Monica Gallegos	Communication	Examining the impact of a unification program for prison inmates and their children: Implications for parent-child communication, health, psychosocial adjustment, and behavior
Dr. Daryl L. Harris	Theatre and Dance	Traditional and Contemporary Performing Arts in Sri Lanka, Linking Centuries, Cultures, and Continents: Basic and Applied Research

**2016-2017 FACULTY DEVELOPMENT AWARDS:
SUMMER FELLOWSHIPS**

The Summer Fellowship program is designed to encourage faculty to engage in individual research, scholarly writing, and creative and artistic projects, and to share the results of their efforts with the broader University community. The following fellowships have been awarded for summer 2016:

2016-2017 SUMMER FELLOWSHIPS

Name	Department	Title
Dr. James Walden	Computer Science	Predicting Security Vulnerabilities in OpenSSL
Dr. Kristine N. Hopfensperger	Biological Sciences	Incorporation of wetland policy into aquatic ecosystem research
Dr. Austin Lee	Communication	Persuasive Robotics: Robot Credibility
Dr. John Carmen	Biological Sciences	Development of a novel insect model system of amoebic pathogenesis
Dr. Jaime McCauley	Sociology, Anthropology & Philosophy	The Social Organization of Volunteer Water Quality Monitoring: An Institutional Ethnography
Dr. Karen Koner	Music	A Content Analysis of Graduate Studies in Music Education in National Association of Schools of Music (NASM) Accredited Institutions
Dr. Alyssa Appelman	Communication	Word-shortening and reader cognition: Acronym identification by online news consumers
Dr. Yi Hu	Computer Science	Using Networked Miniaturized Computers for Distributed Penetration Testing
Dr. Jonathan McKenzie	Political Science, Criminal Justice & Organizational Leadership	"A Strong Decoction of Seneca": Stoicism and the Marketplace in Melville's "Bartleby"
Dr. Qing Su	Economics & Finance	Fuel Efficiency of the Passenger Vehicle Fleet: Trend Analysis and Related Impact of GHG Emissions
Dr. Charlisa Daniels	Chemistry	Preparation and Analysis of Porous Polymer Monoliths Derived from Methacrylates For Environmental Uses
Dr. Jason Vest	Music	The Songs of Richard Hundley: Recording and Analysis
Dr. Steven Gores	English	William T. H. Howe: Cincinnati Book Publisher, Literary Patron, and Rare Book Collector"
Dr. Suk-hee Kim	Counseling, Social Work and Leadership	Promoting Healthy Cognitive Aging: A Scientific Literature Analysis
Dr. Daryl Harris	Theatre and Dance	Traditional and Contemporary Performing Arts in Sri Lanka, Linking Centuries, Cultures and Continents: Basic and Applied Research

ORGANIZATIONAL CHANGES REPORT

Academic Affairs

School-Based Scholars

The School-Based Scholars (SBS) program now reports to Learning Assistance Programs (LAP). SBS previously reported to Educational Outreach. The School Based Scholars Program includes courses for high school students, which are offered by many academic departments. Placement of this program under the Vice Provost gives it more visibility and allows for close connection and coordination with all colleges. The change in reporting structure aligns with LAP's goals of supporting transitioning students as they matriculate into NKU undergraduate programs.

RECOMMENDATION:

That the following academic affairs personnel actions receive Board of Regents approval:

ADMINISTRATIVE APPOINTMENTS:

Dr. Adrienne Lane, from professor and chair in the Department of Advanced Nursing Studies to professor, chair, and director of the Doctorate of Nursing Program, effective August 10, 2015. *This recommendation is a correction from the November 2015 Board submission in which Dr. Lane was incorrectly listed as an associate professor.*

Mini Vita Follows

FACULTY APPOINTMENTS:

Dr. Thaddeus Bissett, assistant professor in the Department of Sociology, Anthropology and Philosophy, effective August 15, 2016.

Mr. Jeffrey Fox, lecturer in the Department of Communication, effective January 1, 2016.

Mini Vitas Follow

DEPARTURES:

Dr. Roger Kollock, assistant professor in the Department of Kinesiology and Health, effective December 31, 2015.

RETIREMENTS:

Ms. Ana England, professor of art in the Visual Arts Program in the School of the Arts, effective May 18, 2016.

Dr. Stephen Walker, professor in the Department of Teacher Education, effective May 18, 2016.

PHASED RETIREMENTS:

Dr. Samuel Zachary, professor of theatre in the Theatre and Dance Program in the School of the Arts, beginning August 15, 2016 and terminating at the end of academic year 2017-18.

TEMPORARY FACULTY APPOINTMENTS:

College of Arts and Sciences

Physics, Geology &
Engineering Technology

Dr. David Cain

Spring Semester

Mini Vita Follows

MINI VITA

Name: Adrienne J. Lane, EdD, CNE

Title: Associate Professor

Education: EdD in Educational Administration, 1992, University of Cincinnati
MSN in Adult Nursing, 1983, University of Kentucky
BSN in Nursing and Psychology, 1977, Indiana University

Experience: 2005-2014, Professor of Nursing, University of Cincinnati
2007-2012, Executive Director of Undergraduate Nursing Programs, University of Cincinnati
2001-2002, Interim Department Chair of Adult Health, University of Cincinnati
2000, All-University Graduate Faculty, University of Cincinnati
2000-2005, Associate Professor of Nursing, University of Cincinnati
1996-1998, Director of Educational Mobility and Accelerated Pathways, University of Cincinnati
1994-2000, Assistant Professor of Nursing, University of Cincinnati
1992-1994, Assistant Professor of Clinical Nursing, University of Cincinnati
1991-1992, Nursing Quality Improvement Coordinator, Bethesda Oak Hospital
1990-1991, Corporate Education Project Coordinator, Bethesda Hospitals
1989-1991, Staff Development Instructor III, Bethesda Hospitals
1989-1990, Patient Services Project Coordinator, Bethesda Oak Hospital
1986-1989, Staff Development Instructor II, Bethesda Hospitals
1985-1987, Staff Nurse Psychiatry, Bethesda Hospitals
1985-1986, Prime Instructor Community Nursing, Bethesda Hospital School of Nursing
1983-1985, Staff Nurse, Medical Personnel Pool

1983-1985, Staff Nurse, American Nursing Care

1983-1985, Instructor Community Nursing, Bethesda Hospital School of Nursing

1978-1983, Director, Booth Hospital School of Practical Nursing

1978, Charge Nurse Inpatient Unit, Community Mental Health Center

1977-1978, Staff Nurse/Charge Nurse, Phoebe Putney Memorial Hospital

MINI VITA

Name: Thaddeus G. Bissett

Title: Assistant Professor

Education: Ph.D., 2014, Anthropology, University of Tennessee, Knoxville

M.S., 2003, Anthropology, Florida State University

B.A., 1999, Anthropology, Wake Forest University

Experience: 2014-present, Archaeologist, Cultural Resource Analysts, Inc., Knoxville, Tennessee

2013-2014, Graduate Research Assistant, Anthropology, University of Tennessee, Knoxville

2010-2013, Graduate Teaching Assistant, Anthropology, University of Tennessee, Knoxville

2008-2010, Graduate Research Assistant / Undergraduate Academic Advisor, College of Arts and Sciences, University of Tennessee, Knoxville

2007-2008, Graduate Research Assistant, Frank H. McClung Museum, University of Tennessee, Knoxville

MINI VITA

Name: Jeffrey Fox

Title: Lecturer (non-tenure track renewable)

Education: Communication Teaching Certificate, 2012, Northern Kentucky University

M.A., 2008, Communication, Northern Kentucky University

B.A., 2006, Public Relations, Northern Kentucky University

Experience: 2014 – 2015, Academic Advisor, College of Informatics, Northern Kentucky University

2011 – 2015, Adjunct Instructor, English and Communication, University of Cincinnati-Blue Ash

2011 – 2015, Adjunct Instructor, Communication, Northern Kentucky University

2007 – 2008, Graduate Assistant, Communication, Northern Kentucky University

MINI VITA

Name: Beatriz Russell

Title: Lecturer (non-tenure-track temporary)

Education: Ph.D., 2009, Molecular Genetics, Biochemistry and Microbiology, University of Cincinnati

M.A., 2002, Cell Biology and Biochemistry, Boston University

B.S., 1998, Biology, University of Miami, Coral Gables

Experience: 2014-present, Lecturer (temporary), Chemistry, Northern Kentucky University

2013-14, Adjunct Instructor, Chemistry, Northern Kentucky University

2012-13, Lecturer (temporary), Chemistry, Northern Kentucky University

2010-12, Adjunct Instructor, Chemistry, Northern Kentucky University

MINI VITA

Name: Dr. David Cain

Title: Lecturer in Physics

Education: Ph.D., 1982, Chemistry, University of Cincinnati

M.S., 1978, Physics, University of Cincinnati

B.S., 1976, Physics, University of Cincinnati (Summa Cum Laude)

Experience: 2013-present, Adjunct Professor, Physics & Geology, Northern Kentucky University

2005-2014, Visiting Professor, DeVry University, Cincinnati

2000-2003, Adjunct/Assistant Prof/Visiting Prof, Physics, Mathematics, Astronomy, ITT Technical Institute, Cincinnati

1996-2000, Technical Consultant, Mound Engineering & Analysis Group, Miamisburg

1982-1996, Analytical Specialist & Supervisor, EG&G Mound & Monsanto Research Corporation, Miamisburg, OH

1982-1985, Senior Physicist, Monsanto Research Corp. at EG&G Mound, Miamisburg

RECOMMENDATION:

That the attached non-academic personnel actions receive Board of Regents approval.

BACKGROUND:

The following categories of non-academic personnel actions which occurred between October 14, 2015 and, December 1, 2015 require approval by the Board of Regents:

1. Activations/Rehires
2. Reassignments, Reclassifications, Title/Status Changes, Promotions
3. Transfers
4. Contract/Temporary to Regular & Regular to Contract
5. Departures
6. Retirees
7. Administrative/Executive

**ACTIVATIONS/REHIRES
10/14/15 – 12/01/15**

NAME	DEPARTMENT	TITLE	EFF. DATE
Bolenbaugh, Erica	University Development	Coordinator of Development Initiatives	11/19/2015
Carrasquel, Mick	Public Safety – Field Operations	Public Safety Officer	10/12/2015*
Cox, Burl	PP – Roads & Grounds	Heavy Equipment Operator/Utility Marker	11/02/2015
Dizdar, Beris	Biological Sciences	Lab Technician	11/08/2015
Fossitt, Adam	HVAC/General Maintenance	HVAC/General Maintenance Mechanic	11/16/2015
Greer, Allison	Campus & Space Planning	Specialist	11/04/2015
Hall, Cassandra	PP – Custodial Services	Custodian	10/13/2015*
Klumpe, Kerry	Chase College of Law	Director of Communications, CCOL	11/09/2015
Madura, Shana	Softball	Assistant Coach, Women's Softball	10/14/2015
McClellan, Brigitte	PP – Custodial Services	Custodian	10/12/2015*
McFarland, Jessica	PP – Custodial Services	Custodian	10/26/2015
Powers, Corey	CINSAM	Network Lab Manager	10/19/2015
Steller, Jeffrey	Biological Sciences	Lab Technician	11/08/2015
Verst, Chad	Office of University Registrar	Coordinator, Systems	11/02/2015
Wallace-Cooper, Jill	College of Business	Manager, Events & Projects – COB	10/19/2015
Weller, Charlotte	IT – Infrastructure & Operations Group	Technical Support Specialist II	11/16/2015
Wilkinson, James	Chemistry	Lab Coordinator	11/01/2015

**REASSIGNMENTS, RECLASSIFICATIONS, TITLE/STATUS CHANGES, PROMOTIONS
10/14/15 – 12/01/15**

NAME	DEPARTMENT	TITLE	STATUS	EFF. DATE
Calhoun, Angela	Office of the University Registrar	Associate Registrar	Title Change	11/01/2015
Fitzer, David	IT – Infrastructure & Operations Group	Technical Support Specialist II	Promotion	11/09/2015
Groll, Tyler	Residential Village	Asst. Director, University Housing	Reclassification	12/01/2015
Kiskaden, Constance	Undergraduate, Academic Affairs	Coordinator, Curriculum Programs	Reassignment	11/09/2015
Lane, William	PP – Custodial Services	Lead Floor Care Operator	Promotion	10/26/2015
Magee, Daniel	HVAC/General Maintenance	Mechanical Systems Supervisor III	Promotion	11/23/2015
Matthews, Julie	Steely Library	Department Business Officer	Reclassification	11/01/2015
Molony, Gabrielle	Office of Student Conduct/Rights/Advocacy	Director, Norse Violence Prevention	Promotion	11/01/2015
Schaffer, Angela	Budget Office	Associate Director	Reclassification	11/01/2015

**TRANSFERS
10/14/15 – 12/01/15**

NAME	PREVIOUS DEPARTMENT	NEW DEPARTMENT	TITLE	EFF. DATE
Cox, Rebecca	Teacher Education	Economics & Finance	Academic Coordinator	10/26/2015
Farnsley, Ashley	UCAP	Student Engagement	Specialist	10/19/2015
Harding, Ann	English	Advanced Nursing Studies	Academic Coordinator	10/21/2015
Neumeyer, Joshua	IT – Central	College of Business	College Business Officer	11/09/2015
Perkins, Joshua	PP – Custodial Services	Laborer	Building Svcs. Mat. Handler	10/26/2015
Richardson, Rebekah	Informatics Advising Center	College Education & Human Svcs.	Advisor	11/16/2015
Straus, Ryan	Parking Services	Procurement Services	Specialist	11/16/2015
Vonhagel, Diana	PACE - Distance and Online Learning	Teacher Education	Specialist	11/01/2015

**CONTRACT/TEMPORARY TO REGULAR & REGULAR TO CONTRACT
10/14/15 – 12/01/15**

NAME	DEPARTMENT	TITLE	STATUS	EFF. DATE
McCraney, Terrance	Office of Admissions	Counselor	Temporary to Regular	11/23/2015
Shumard, Annette	Academic Affairs	Administrative Secretary	Temporary to Regular	11/02/2015

**DEPARTURES
10/14/15 – 12/01/15**

NAME	DEPARTMENT	TITLE	EFF. DATE
Berning, Malinda	PP – Custodial Services	Custodian	10/08/2015*
Burns, Jacob	Office of Student Account Services	Student Account Services Representative	10/24/2015
Emsicke, Daniel	University Development	Major Gift Officer	10/01/2015*
Foltz, Dyane	Student Financial Assistance	Assistant Director	10/17/2015
Fraser, Ryan	Men's Tennis	Assistant Coach	10/01/2015*
Halley, Michele	Visual Arts	Academic Coordinator	10/11/2015*
Kloentrup, Lisa	PP – Custodial Services	Custodian	10/14/2015
Shifman, Joshua	University Development	Assistant Director of Development & Discovery	11/10/2015
Stringer, Susan	NKU Foundation Administration	Administrative Specialist	10/15/2015
Witte, Constance	Undergraduate Academic Affairs	Assistant to the Vice Provost	12/01/2015

ADMINISTRATIVE/EXECUTIVE
10/14/15 – 12/01/15

NAME	DEPARTMENT	TITLE	REASON	EFF. DATE
Blake, Larry	Facilities Management	Assistant Vice President	Separation	12/01/2015
Fajack, Deidra	Alumni Programs	Director of Alumni Programs	Separation	11/01/2015
Gamm, Christian	Graduate Education	Director, Graduate Education	Title Change	10/13/2015*

***Not on previous report**

RECOMMENDATION:

The Board of Regents officially hereby accepts contributions totaling **\$365,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2015 through November 30, 2015 per the below list.

BACKGROUND:

At the March 12, 2014 Board Meeting, a major gift policy was approved by the Regents raising the level of major gifts submitted for review and acceptance by the Board to \$25,000. This recommendation includes major contributions of \$25,000 or more for the designated period.

Contributions of \$25,000.00 or greater (10/1/15 - 11/30/15)

Donor Name	Date	Gift Designation	Cash	Stocks	Pledge	Planned Gift	Total
Rich and Lisa Boehne Fund of the Greater Cinti Fdn.	10/26/2015	College of Informatics Building Fund	\$30,000.00	\$0.00	\$0.00	\$0.00	\$30,000.00
Jeanette Edwards Foundation	10/23/2015	Psychology Department	\$30,000.00	\$0.00	\$0.00	\$0.00	\$30,000.00
Julie & David Schneider Charitable Fund	11/5/2015	NKU Observatory Chase	\$25,000.00	\$0.00	\$0.00	\$0.00	\$25,000.00
Anonymous Donor	11/20/2015	Scholarships & Awards	\$0.00	\$0.00	\$0.00	\$250,000.00	\$250,000.00
Toyota Motor Engineering & Manufacturing North America, Inc.	11/4/2015	Toyota Diversity Scholarship	\$30,000.00	\$0.00	\$0.00	\$0.00	\$30,000.00
		Grand Totals:	\$115,000.00	\$0.00	\$0.00	\$250,000.00	\$365,000.00

RECOMMENDATION:

The Board of Regents hereby approves the following naming actions:

- (1) The naming of a scholarship in support of students pursuing a bachelor's or master's degree in teacher education with a focus in mathematics or science education.
- (2) The naming of a travel award in support of travel expenses for second year undergraduate College of Informatics students participating in international service learning experiences of at least two weeks duration.

BACKGROUND:

Naming actions in connection with private gifts are governed by NKU Administrative Regulation-II-4.0-2, section 2.2. NKU's Naming Policy provides for naming opportunities in consideration of a major contribution to the university. The policy allows flexibility in determining the level of contribution appropriate for each naming action, enabling each gift to be judged on its own merit.

After careful consideration by university officials and unanimous support by the University Naming Committee, it was recommended to offer the following naming recognitions.

- (1) The university has received a major gift commitment in support of students enrolled in the College of Education and Human Resources.
 - Donor: John G. Kenkel
 - Naming Gift: \$25,000
 - Naming: Mary Evelyn Klingenberg Education Endowed Scholarship

John Kenkel is a 1976 alumnus of NKU and a graduate of St. Henry District High School, Erlanger, Kentucky. In making this gift, Mr. Kenkel expressed his desire to honor his high school math teacher, Ms. Evelyn Klingenberg, by establishing an endowed scholarship in education in her name.

- (2) The university has received a major gift commitment in support of students enrolled in the College of Informatics.
 - Donor: David. A. Cain
 - Naming Gift: \$25,000
 - Naming: Informatics Renaissance Travel Award

David Cain is the President, CEO for The Wireless Store., Inc. and President, CEO and Founder of PULLS LLC, a mobile application platform that allows business owners to create business offers that can be shared with contacts in real-time. A 1995 graduate of the College of Informatics, Mr. Cain has served on the College of Informatics Advisory Board and the NKU Alumni Board. Mr. Cain is passionate about engaging informatics students with global communities in service learning roles that leverage their expertise. His gift is designed to create opportunities that will broaden the range of students' experiences and perspectives so that they will be prepared to thrive in a global context as "renaissance people for the information age." Additionally, his gift is designed to provide opportunities for underserved populations abroad to learn from, and alongside, informatics students from NKU.

RECOMMENDATION:

That Emeritus status for the following individuals receives Board of Regents approval:

Dr. George Manning, professor in the Department of Psychological Science, effective January 1, 2016.

Professor Caryl Yzenbaard, professor in the Salmon P. Chase College of Law, effective May 17, 2016.

Mini Vitas Follow

BACKGROUND:

The faculty members recommended for Emeritus status have received the endorsement of the faculty, the dean, the provost, and the president.

MINI VITA

Name: Dr. George Manning

Title: Professor

Education: Ed.D., 1970, Vocational Education, University of Cincinnati

M.Ed., 1967, Vocational Education, University of Cincinnati

B.A., 1965, Psychology and Philosophy, George Williams College

Experience: 1975-Present, Professor, Psychological Science, Northern Kentucky University

1972-1975, Associate Professor, Business, Northern Kentucky University

1970-1972, Assistant Professor, Business, Northern Kentucky University

1966-1970, Labor Relations, Ford Motor Company

MINI VITA

Name: Professor Caryl Yzenbaard

Title: Professor (tenured)

Education: J.D., 1970, The University of Michigan College of Law

A.B., 1967, Hope College

Experience: 1978-2015, Professor, Northern Kentucky University Chase College of Law

1976-1978, Associate Professor, Northern Kentucky University Chase College of Law

1975-1976, Assistant Professor, Northern Kentucky University Chase College of Law

1973-1975, Associate with the law firm of Taft, Stettinius and Hollister, Cincinnati, Ohio

1971-1973, Associate with the law firm of Dykema & Gossett, Detroit Michigan

1970-1971, Associate with the law firm of Taft, Stettinius and Hollister, Cincinnati, Ohio

RECOMMENDATION:

That an “LL.M. in United States Law” program at NKU Chase College of Law, as outlined in the accompanying proposal, be approved by the Board of Regents for immediate implementation.

BACKGROUND:

For individuals who have obtained their law degrees at the bachelor’s-degree level in other countries and who wish to gain a more thorough understanding of the American legal system, I recommend the Board approves a flexible LL.M. in United States Law program. The target audience will be individuals who believe that obtaining knowledge about the American legal system will benefit them in their current or future employment. Once the program is fully implemented, the target number of LL.M. students will be between 15 and 20 each year.

The proposal is advantageous to students in the program, to NKU, to Chase, and to the Commonwealth. The advantages to the students would be that they will be able to earn a valuable degree that will allow them to be more marketable in the workforce. Also, this degree will be flexible, reasonably priced, and attainable within a relatively short period of time (one year of full-time study). The advantage to NKU is that the new degree program fits in with the University’s strategic plan (see attached proposal). The advantage to Chase is that the program will allow Chase to recruit successful professionals from foreign countries, which will enhance diversity and the overall learning environment for all students. Finally, the program will benefit the Commonwealth because it will draw attention to Kentucky from people in parts of the world unfamiliar with what Kentucky has to offer from both an educational and business perspective.

For a complete description of the program, please see the accompanying proposal.

PROPOSAL FOR NEW MASTER'S PROGRAM

NKU – Salmon P. Chase College of Law
Institution Submitting Proposal

LL.M.
Degree Designation as on Diploma

LL.M. in U.S. Law
Title of Proposed Degree Program

EEO Status	_____
CIP Code	22.0202
Academic Unit (e.g. Dept., Division, School)	NKU – Salmon P. Chase College of Law
Name of Academic Unit	NKU – Salmon P. Chase College of Law
Name of Program Director	Lawrence D. Rosenthal
Intended Date of Implementation	August 2016
Anticipated Date for Granting First Degrees	May 2017
Date of Governing Board Approval	_____
Name, Title and Information of Contact Person	Lawrence D. Rosenthal Associate Dean for Academics NKU Salmon P. Chase College of Law NKU Nunn Hall, Fifth Floor Highland Heights, KY 859-572-5359 rosenthal@nku.edu

Date of CPE Approval _____

Evaluation Criteria

All actions in the approval of new programs for public institutions are subject to a stipulation regarding the program's ability to attain specified goals that have been established by the institution and approved by the Council on Postsecondary Education (the Council). At the conclusion of an appropriate period of time, the program's performance shall be reviewed by Council staff following criteria established in the Council's Academic Programs Policy.

A. Centrality to the Institution's Mission and Consistency with State's Goals

A program will adhere to the role and scope of the institution as set forth in its mission statement and as complemented by the institution's academic plan.

1. List the objectives of the proposed program. These objectives should deal with the specific institutional and societal needs that this program will address.

The main objectives of the program are the following: (1) to provide foreign-trained lawyers with the opportunity to expand their knowledge of the American legal system; (2) to provide foreign-trained lawyers with the research skills, the writing skills, the analytical skills, and the substantive knowledge necessary to perform their jobs in a more skillful and knowledgeable manner; and (3) to allow these students to focus in one or more areas of American law, which will improve their job performance and/or make them more attractive to potential employers. The proposed program will not only provide valuable skills and knowledge to those who complete the program, but it will also allow these students to immerse themselves in a foreign culture and gain perspective on the legal culture in the United States. Also, having foreign-trained lawyers in classes with American law students will provide an excellent opportunity for both the American students and the foreign students to interact and engage with people from backgrounds much different than their own. Finally, this program will allow foreign students to become familiar with Kentucky and the Northern Kentucky area, allowing them to make personal and business contacts that could result in bringing more business and attention to the geographic region(s) NKU serves.

2. Explain how the proposed program relates to the institutional mission and academic plan.

One of the goals articulated in NKU's strategic plan is "Talent Development." This goal promotes "lifelong learning," which is something the LL.M. program will provide for the program's students and for the NKU Chase J.D. students. Specifically, the LL.M. students are already lawyers in their home countries, but offering them this opportunity will further their education in a way that is not possible in their home countries. It will provide them with networking opportunities in the United States, the opportunity to immerse themselves in a different culture, and the opportunity to gain substantive knowledge of the American legal system and of a particular area of United States law. This

program will also support the “lifelong learning” of NKU Chase’s J.D. students by exposing them to individuals with very diverse backgrounds.

NKU also lists the following under the goal of “Talent Development”: (1) “Enroll more students to increase educational attainment levels;” (2) “Produce skilled graduates prepared for the workforce, advanced careers, and graduate education;” and (3) “Assist graduates in the transition from college to work and career advancement.” This program will help further many of these goals, and this will become apparent based on the information contained in the responses to the questions contained in this document.

The LL.M. program will support other goals located within NKU’s strategic plan such as “Expand relevant programs in high-demand fields to meet regional workforce needs.” In addition to making foreign students aware of Kentucky and of the Northern Kentucky region (and creating ties to the area through externships and/or social meetings with members of the local bar and/or local businesses), this program will support the goal of addressing the need for professionals in “high-demand fields” by allowing students to focus their studies on areas of the law that affect their home countries and the world, including the emerging and critically important field of information technology and informatics (including data privacy and cyber security). Specifically, students interested in both the legal and technology aspects of this field will be able to take courses at both NKU Chase and at the NKU College of Informatics to provide them with an area of specialization unavailable at most American and foreign law schools. Students can also focus on other topics in whichever “high-demand fields” exist in the countries to which they return.¹

Regarding some of the CPE’s other values, this program will do the following: (1) foster a “culture of inclusion” by providing opportunities for people from a broad spectrum of professional, cultural, and socio-economic backgrounds to participate in the program; (2) promote the highest standards in teaching and research; (3) provide access to those who are committed to the pursuit of higher learning; and (4) help prepare students to be “informed, competent, knowledgeable, and engaged” citizens and leaders. This program will also promote the use of technology, as students who wish to pursue the area of information technology and informatics are free to select from the many technology-related courses at NKU Chase and at the NKU College of Informatics. Faculty members also incorporate technology into their classrooms, which would certainly continue once LL.M. students are participating in those classrooms. *See also*, the answer to question 3, below.

3. Explain how the proposed program addresses the state’s postsecondary education strategic agenda.

¹ It is possible that not all students will leave the United States immediately. It is certainly possible that students will try to remain in the NKY area or somewhere else within the Commonwealth after completing the LL.M. program.

The LL.M. program will promote several of the “Stronger by Degrees” values.

First, with respect to creating a “culture of inclusion,” this program will certainly foster diversity in the classroom, as these foreign LL.M. students will be from different parts of the world and will bring those fresh and diverse perspectives into the classroom. NKU Chase J.D. students and the LL.M. students will gain valuable experiences from attending classes and other school-related events with people with cultural backgrounds much different than their own.

Second, the program will help prepare the program’s graduates to be more informed, competent, knowledgeable, and engaged citizens in several ways. For example, (1) these students will gain substantive knowledge about the American legal system and perhaps in a specialty area, making them more informed, competent, and knowledgeable, which will contribute to their ability to be more valuable to current and future employers; and (2) many LL.M. graduates will work in international companies and/or law firms, which comes with the expectation that they will utilize their positions to occupy leadership roles within their communities.

Third, with respect to engaging with local businesses and industry, these LL.M. students will be able to participate in “Field Placement Clinics” (externships) with local businesses, which will create more ties between NKU and the local community. These ties could result in business relationships that extend after the student is no longer at NKU Chase. Having such a degree program will bring more attention to the Commonwealth, the Northern Kentucky area, and NKU, and this additional recognition will benefit all of those regions/entities and local businesses.

Fourth, students will be exposed to technology both through the use of technology in the classroom and through the option of taking courses in the NKU College of Informatics.

Fifth, NKU Chase will adhere to its current practice of promoting excellence in teaching, research, and public service. The NKU Chase faculty members will continue to engage in all activities listed above, and they will also be able to gain new perspectives from non-U.S. lawyers. The NKU Chase faculty members who will be teaching these LL.M. students are prolific scholars who have placed scholarly works in very well-respected law journals. This excellence in research will continue once the LL.M. program begins. Additionally, many faculty members also engage in extensive public service, which will certainly continue. The LL.M. students can work in conjunction with the faculty members (with respect to both research and public service) in order to benefit the school, the region, and, of course, themselves. Finally, with respect to teaching, NKU Chase prides itself on its quality teaching. Having an international presence in the classroom will provide the teachers with opportunities to show NKU Chase J.D.

students and the LL.M. students how the American legal system differs from the legal systems of the LL.M. students' home countries.

Sixth, with respect to using financial resources in a prudent manner, NKU Chase is aware of the financial considerations that go into making a program both academically rigorous and successful. There will be no significant additional expenses as a result of this program. NKU Chase anticipates tuition revenues from this program to be larger than the expenses associated with it, keeping in mind the need to be financially responsible and academically rigorous when starting any new program.

Finally, there will be program accessibility to those who are interested in participating in the program. The program will be priced in a manner consistent with allowing all qualified students who wish to participate in the program to do so.

4. Explain how the proposed program furthers the statewide implementation plan.

The proposed LL.M. program will be mindful of the various parts of the statewide implementation plan. Review of both the program as a whole and of individual students will take place after each semester of the new program. NKU Chase will be mindful of student success and program success. Also, as was mentioned previously, NKU Chase is extremely mindful of the need to be prudent with the allocation of resources for this program. As this document will demonstrate, the resources raised by this program will outweigh the costs associated with it, which will ensure the financial viability of the program. *See also* the responses to questions 2 and 3, above.

B. Program Quality and Student Success

The curriculum should be structured to meet the stated objectives and student learning outcomes of the program.

1. List all student learning outcomes of the program.

Although the LL.M. graduates are not expected to be “bar-ready” after completing this program, the LL.M. graduates will be able to do the following: (1) understand the American legal system and the various sources of American law; (2) read and understand various types of American legal authorities; (3) gain a knowledge base in a particular area of law (or a more general knowledge base in American law) that will allow them to be more productive and sought-after employees; and (4) utilize the skill of legal reasoning. All of these skills will enhance their value to current or future employers.

2. Explain how the curriculum achieves the program-level student learning outcomes by describing the relationship between the overall curriculum or the major curricular components and the program objectives.

Through the use of required, core, and elective J.D. courses, Chase's LL.M. program will provide students with a solid understanding of the U.S. legal system as well as of a particular area of law (if the student chooses to "specialize"). The J.D. required courses and the J.D. core courses will provide students with a solid understanding of the United States legal system, and the J.D. elective courses will allow the students to focus in a particular area of law. Although there will not be any "formal" specializations, students will be able to choose electives in a particular area of law if they choose to do so. For example, a student interested in employment/labor law could take Administrative Law, Employee Benefits Litigation, Employment Discrimination Law, Employment Law, Labor Law, and Mediation. A student interested in tax law could take Basic Tax, Advanced Tax, Business Tax, Tax Litigation, and Estate Planning. Finally, if a student is interested in Criminal Law, he or she could take Criminal Law, Criminal Procedure, Criminal Litigation, Corporate and White-Collar Crime, Death Penalty Seminar, and Advanced Criminal Procedure. These examples, along with many other possible "custom" specializations, are not *formal* specializations, but they would provide students with an area of concentration.

In most, if not all, J.D. courses, students will read legal authorities from the United States, which will help the students achieve learning outcomes (1) and (2) above. LL.M. students will achieve learning outcome (3) by carefully reading the assigned materials and actively participating in the courses they decide to take. Finally, the skill of legal reasoning is utilized in just about all J.D. courses, which will allow the LL.M. students to achieve outcome (4).

3. Highlight any distinctive qualities of this proposed program.

This program allows foreign students who do not wish to invest the time or the resources into obtaining a J.D. degree to learn about the United States legal system as well as about a particular area of American law (of the students' choosing). The program's structure provides for both an understanding of some core legal concepts of the American legal system as well as for specialization in a particular area of law. One unique aspect of this program is the students' ability to focus on an area of law that rests at the intersection of law and technology. Specifically, students interested in this area can take courses at both NKU Chase and the NKU College of Informatics. This will allow students to understand both the technological and legal aspects of issues involving information technology and informatics (including information/data privacy and cyber security), both of which are becoming extremely important issues.

4. Will this program replace or enhance any existing program(s) or concentration(s) within an existing program?

This program will not replace any of NKU Chase's existing programs, tracks, concentrations, or specializations. Regarding enhancing the J.D. program, when foreign students enter the program, they will bring their experiences and their cultural diversity into the classroom and will enhance the learning environment for the J.D. students.

- a. If yes, please specify. Include the projected faculty/student in major ratio.

See above. Also, there will be very little impact on the current student/faculty ratio.

5. Is there a specialized accrediting agency related to this program? **See below.**

- a. If yes, identify the agency.

The American Bar Association does not accredit LL.M. programs; it does, however, require acquiescence.

- b. Do you plan to seek accreditation?

See above.

- c. If yes, explain your plans for accreditation. If no, explain your rationale for not seeking accreditation.

NKU Chase will seek acquiescence only. NKU Chase expects the ABA to vote on this program toward the end of January, 2016.

6. Attach the SACS Faculty Roster Form. Faculty resources shall be demonstrated to be adequate and appropriate for the proposed program. The number of faculty should meet external standards where appropriate. The qualifications of faculty will support the objectives and curriculum of the proposed program.

A complete list of faculty members (full-time and adjunct) is located at the end of this document. The addition of the LL.M. program will not require an increase in the number of full-time faculty members or in the number of adjunct faculty members.

7. Access to the qualitative and quantitative library resources must be appropriate for the proposed program and should meet recognized standards for study at a particular level or in a particular field where such standards are available. Adequacy of electronic access, library facilities, and human resources to service the proposed program in terms of students and faculty will be considered. Physical facilities and instructional equipment must be adequate to support a high quality program. The proposal must

address the availability of classroom, laboratory, and office space as well as any equipment needs.

- a. Describe the library resources available to support this program. You may attach any documentation provided to SACS.

LL.M. students will have access to the entire collection at the NKU Chase Law Library and at NKU's Steely Library. Also, LL.M. students will have access to all on-line resources available to J.D. students.

For a description of the Chase Law Library and the available resources, see <http://chaselaw.nku.edu/library.html>

- b. Describe the physical facilities and instructional equipment available to support this program.

LL.M. students will have access to all of the same facilities and instructional equipment the NKU Chase J.D. students have. The LL.M. courses will take place in Nunn Hall, on the main NKU campus.

8. Clearly state the admission, retention, and completion standards designed to encourage high quality.

Admissions decisions will be based on each applicant's educational record, work history, and other factors addressed in the application. There will be no LSAT requirement. Students will be required to submit TOEFL or IELTS scores.

Grading will be based on the NKU masters-level grading scale (A-C, F). Students will be required to remain in good academic standing throughout their enrollment at NKU Chase.

9. Clearly state the degree completion requirements for the program.

The LL.M. program is a thirty credit-hour degree program designed for foreign-trained lawyers who do not wish to pursue a J.D. degree, but who wish to gain an understanding of the United States legal system and also of a particular area of specialization (if desired). The program will have two categories of courses: (1) Required courses (Introduction to United States Law, Basic Legal Skills-Writing, and Basic Legal Skills-Research), and (2) numerous Elective courses. The Elective courses will be courses normally offered at the law school throughout the academic year.

10. Provide the following information for the program and for each concentration (some categories may not apply to all programs):

- a. Total number of hours required for degree: **30**

- b. Number of hours in degree program core: **7-8**
- c. Number of hours in concentration: **N/A**
- d. Number of hours in guided electives: **22-23 total elective hours**
- e. Number of hours in free electives: **22-23 total elective hours**

11. Describe how the proposed program will articulate with related programs in the state. It should describe the extent to which student transfer has been explored and coordinated with other institutions. Attach all draft articulation agreements related to this proposed program.

There are no similar programs in Kentucky. There are no articulation agreements.

12. List courses under the appropriate curricular headings. **See list below, rather than chart.**

Required courses: Intro. to U.S. Law; Basic Legal Skills-Research; Basic Legal Skills-Writing.

Elective courses: All other courses offered to J.D. students (provided the LL.M. students meet the course prerequisites).

Core Courses				
Prefix & Number	Course Title	Course Description	Credit Hours	New
				Y/N
Elective Courses				
Prefix & Number	Course Title	Course Description	Credit Hours	New
				Y/N
Courses in Concentration				
Prefix & Number	Course Title	Course Description	Credit Hours	New
				Y/N

13. Describe planned alternative methods of program delivery involving greater use of technology, distance education, and/or accelerated degree designs, to increase efficiency, better address student educational and workforce needs, and maximize student success, for both traditional and non-traditional students.

As is the case with the J.D program, there will be some on-line course offerings, as well as some hybrid offerings (part in-class, part on-line). These on-line and hybrid courses will allow students to complete some of the course requirements with fewer required on-campus hours.

C. Program Demand/Unnecessary Duplication

Proposed programs must respond to the needs of the academy and to larger economic and social environments. Thus, the institution must demonstrate demand for the proposed program. All proposed programs must address student demand. Programs must also address either employer demand or academic disciplinary needs.

1. Student Demand: Clearly describe all evidence of student demand, typically in the form of surveys of potential students and/or enrollments in related programs at the institution.
 - a. Provide evidence of student demand at the regional, state, and national levels.

If approved, the NKU Chase LL.M. will be the only LL.M. degree offered by a Kentucky law school. Although many law schools do have LL.M. programs, this demonstrates that law students are, in fact, interested in obtaining this degree. With respect to career options at the regional, state, and national levels, the students for whom this program is designed will most likely be returning to their home countries; however, they will be able to use the networking opportunities this program provides in order to establish contacts with the area, including contacts with local law firms and businesses. These contacts, of course, could lead to employment opportunities or the use of the local businesses' / firms' services in the future. Also, many of these graduates will be pursuing jobs in international law firms doing business in the United States and representing clients with a U.S. presence, which could result in sending more business to local businesses and/or law firms. Finally, some students will be pursuing jobs with companies and/or governments that routinely conduct business affected by U.S. laws, making this type of degree program critically important.

Employers outside the United States do value these LL.M. degrees. Specifically, an H.R. manager from an international law firm (Freshfields Bruckhaus Deringer) in Hamburg, Germany stated the following:

"We are interested in those who have studied in the US, the UK, Australia, South Africa, and New Zealand," says Hedi Ruth Osenberg, human resources manager at Freshfields in Hamburg. About 30 percent of new hires at Freshfields in Germany last year had an LL.M. from one of these English-speaking countries.

"The main things are English-language skills and the intercultural experience," says Osenberg about how an LL.M. can strengthen a candidate's profile. The year studying abroad makes lawyers more aware of differences and difficulties among

nationalities - something Osenberg says is "very helpful" at a firm working on transnational deals and international clients on a daily basis.²

The following facts regarding the value of an LL.M. for foreign lawyers were also addressed:

Of 474 partners promoted between January 2009 to July 2010 at 12 of the world's biggest international law firms, about a quarter of them had an LL.M. Freshfields, for example, promoted 32 new partners in 2009-10, 8 of whom had an LL.M. All 8 were promoted at offices outside of Britain and the United States. This reflects another trend at the 12 firms: of 281 partners promoted outside of the UK and US, a bigger percentage - 36%, or 103 partners - had an LL.M.

From another source that addressed the value of an LL.M. for foreign lawyers:³

Most law firms don't require LL.M.s when hiring. However, they can be valuable for those who want to climb the career ladder in international-facing law firms outside the US and the UK. For instance, although Germany's Hengeler Mueller does not actively look for LL.M.s when hiring, the firm does have a formal requirement "that our associates must have spent about one year or so in an English speaking country in some professional environment before he or she is up for partnership," according to Joachim Rosengarten, a partner at the firm.

"Having an LL.M. is one way to fulfill such criteria."

Indeed, for many lawyers from non-English speaking countries, this international experience is an important facet of the LL.M. experience. For these students, especially if they want to go back to their home countries after graduation, "they then have a better chance of getting into the very best law firms in their countries," according to Alexander Türk, the director of postgraduate taught programs at King's College London.⁴

² <http://www.llm-guide.com/article/534/do-law-firms-value-the-llm>.

³ <http://www.llm-guide.com/article/958/how-many-big-law-associates-and-partners-have-an-llm>.

⁴ In this same article, the following information was revealed regarding lawyers working in eleven of the world's biggest international law firms: "In these places [several European and Asian cities], we find that an average of 19 percent (but up to 43 percent) of lawyers in these firms have pursued an LL.M."

This source also included the following conclusion:

For those aiming for Big Law jobs in non-English speaking countries, an LL.M. can be valuable for the international experience it provides. A good command of legal English and exposure to facets of international law can go a long way in international-facing law firms in countries like France and Germany.

As the competition for law jobs increases, having a law degree from an American law school will help improve a graduate's chances of securing a job. As a result, this proposed program will be seen as providing a valuable degree for foreign lawyers.

- b. Identify the applicant pool and how they will be reached.

The applicant pool is lawyers who were trained in foreign countries where a law degree is awarded at the bachelor's-degree level. During the first year of the program, the target will most likely be students from South America. This conclusion was reached after discussing possible markets with three individuals in the NKU Office of International Programs. When the program is approved, NKU Chase anticipates that an NKU representative and an NKU Chase representative will travel abroad in order to present the new program to potential students. This will allow the specific targeting of students who are interested in this type of program.

- c. Describe the student recruitment and selection process.

The recruitment process was described in answer "b," above. Admissions decisions will be based on each applicant's educational record, work history, and other factors addressed in the application. There will be no LSAT requirement. The applicants will have to submit TOEFL or IELTS scores.

- d. Identify the primary feeders for the program.

The program will try to recruit lawyers who were trained in foreign countries where a law degree is earned at the bachelor's-degree level. This will be accomplished through in-person visits by representatives of NKU and NKU Chase.

- e. Provide any evidence of a projected net increase in total student enrollments to the campus as a result of the proposed program.

Chase anticipates between five and ten students entering the program in the first year. The chart below shows the estimates for enrollment beyond the first year.

- f. Project estimated student demand for the first five years of the program.

Academic Year	Degrees Conferred	Majors (Headcount) – Fall Semester
2016	0	5-10 (depending on approval date)
2017	5-10	15
2018	15	15
2019	15	15
2020	15	15

2. Employer Demand: Clearly describe evidence of employer demand. Such evidence may include employer surveys, current labor market analyses, and future human resources projections. Where appropriate, evidence should demonstrate employers' preferences for graduates of the proposed program over persons having alternative existing credentials and employers' willingness to pay higher salaries to graduates of the proposed program.

Please see answer to question C(1)(a), above.

- a. Describe the types of jobs available for graduates, average wages for these jobs, and the number of anticipated openings for each type of jobs at the regional, state, and national levels.

Please see answer to question C(1)(a), above.

3. Academic Disciplinary Needs: Clearly describe all evidence justifying a new program based on changes in the academic discipline or other academic reasons.

As the world economy grows, the need for people with knowledge of various laws and regulations from numerous countries (including the United States) also increases. This LL.M. program will help students fit the niche of foreign-trained lawyers who are also familiar with American law, which will make these students much more attractive to employers.

4. Similar programs: A new program may serve the same potential student population, the proposed program must be sufficiently different from existing programs in the state or access to existing programs must be sufficiently limited to warrant initiation of a new program.
- a. Identify similar programs in other Southern Regional Education Board (SREB) states and in the nation.

For a list of schools with an LL.M. program, see the website listed below. Although many law schools do have LL.M. programs, no law school in Kentucky has such a program. Also, very few, if any, law schools have access to a College of Informatics as does NKU Chase.

<http://www.llm-guide.com/usa/1>

- b. If similar programs exist in Kentucky,

There are no similar programs in Kentucky.

- i. Does the proposed program differ from existing programs? If yes, please explain. **N/A**
- ii. Does the proposed program serve a different student population (i.e., students in a different geographic area) from existing programs? If yes, please explain. **N/A**
- iii. Is access to existing programs limited? If yes, please explain. **N/A**
- iv. Is there excess demand for existing similar programs? If yes, please explain. **N/A**
- v. Will there be collaboration between the proposed program and existing programs? **N/A**
- vi. If yes, please explain the collaborative arrangements with existing programs. **N/A**
- vii. If no, please explain why there is no proposed collaboration with existing program. **N/A**

D. Cost and Funding of the Proposed Program

The resource requirements and planned sources of funding of the proposed program must be detailed in order to assess the adequacy of the resources to support a quality program. This assessment is to ensure that the program will be efficient in its resource utilization and to assess the impact of this proposed program on the institution's overall need for funds.

1. Will this program require additional resources?

The only additional resources this program will require are marketing costs, including travel to foreign countries for recruiting.

- a. If yes, provide a brief summary of additional resources that will be needed to implement this program over the next five years.

See Cost/Funding chart below.

2. Will this program impact existing programs and/or organizational units within your institution?

No.

a. If yes, please describe the impact. N/A

3. Provide adequate documentation to demonstrate sufficient return on investment to the state to offset new costs and justify approval for the proposed program.

The new costs will be minimal (marketing/recruiting). Chase will be utilizing its current course offerings and faculty members to run the new program. No additional faculty will be needed, and no additional expenses, other than marketing and recruiting, will be incurred. With tuition anticipated to be at or near \$30,000, half of one student's tuition will cover the anticipated expenses for the program.

Cost/Funding Explanation

Complete the following table for the first five years of the proposed program and provide an explanation of how the institution will sustain funding needs. *The total funding and expenses in the table should be the same, or explain source(s) of additional funding for the proposed program.

A. Funding Sources, by year of program	1st Year	2nd Year	3rd Year	4th Year	5th Year
Total Resources Available from Federal Sources New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Total Resources Available from Other Non-State Sources New Existing	0	0	0	0	0
Narrative Explanation/Justification:					
State Resources New Existing	0	0	0	0	0
Narrative Explanation/Justification:					
Internal Allocation Internal Reallocation	0	0	0	0	0

Narrative Explanation/Justification: The source and process of allocation and reallocation should be detailed, including an analysis of the impact of the reduction on existing programs and/or organization units.					
Student Tuition	5	10	15	15	15
New	students;	students;	students;	students;	students;
Existing	\$150,000	\$300,000	\$450,000	\$450,000	\$450,000
	tuition	tuition	tuition	tuition	tuition
Narrative Explanation/Justification: Describe the impact of this program on enrollment, tuition, and fees. The figures above represent estimates regarding the number of students in the program and the cost of tuition. The tuition is based on the projected “market rate” for an LL.M. degree in this geographic market, and it does not include any increases.					
TOTAL	\$150,000	\$300,000	\$450,000	\$450,000	\$450,000

B. Breakdown of Budget Expenses/Requirements	1st Year	2nd Year	3rd Year	4th Year	5th Year
Staff	0	0	0	0	0
Executive, Administrative, Managerial	0	0	0	0	0
New					
Existing					
Other Professional	0	0	0	0	0
New					
Existing					
Faculty	0	0	0	0	0
New					
Existing					
Graduate Assistants	0	0	0	0	0
New					
Existing					
Student Employees	0	0	0	0	0
New					
Existing					
Equipment and Instructional Materials	0	0	0	0	0
New					
Existing					
Narrative Explanation/Justification:					

Library New Existing	0	0	0	0	0
Narrative Explanation/Justification:					
Contractual Services New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Academic and/or Student Services New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Other Support Services New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Faculty Development New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Assessment New Existing	0	0	0	0	0
Narrative Explanation/Justification					
Other New Existing	\$15,000	\$10,000	\$5,000	\$5,000	\$5,000
Narrative Explanation/Justification: The figures for this category represent the recruiting and marketing budget.					
TOTAL New Existing	\$15,000	\$10,000	\$5,000	\$5,000	\$5,000

E. Program Review and Assessment

Describe program evaluation procedures for the proposed program. These procedures may include evaluation of courses and faculty by students, administrators, and departmental personnel as appropriate. Program review procedures shall include standards and guidelines for the assessment of student outcomes implied by the program objectives and consistent with the institutional mission.

With respect to the students' opportunity to evaluate the faculty and courses, students will be asked to complete faculty evaluations for each course. This is how the J.D. students review their professors and courses. All evaluations are reviewed by the Associate Dean for Academics (Associate Dean), who can then determine whether any changes are necessary.

Unlike J.D. students, who are expected to take a bar exam, LL.M. students are not expected, and in many cases not allowed, to take a bar exam. As a result, NKU Chase will not be able to evaluate the LL.M. program in the same manner as it evaluates the success of its J.D. program. NKU Chase will, however, evaluate its LL.M. graduates' success in the classroom and in obtaining employment.

The Associate Dean will also track the LL.M. students' success in their coursework. After each semester, the Associate Dean will check each student's grades to make sure he/she is making sufficient progress toward graduation. Also, the Associate Dean will contact the NKU Chase faculty members with LL.M. students in their courses, to make sure the students are performing well.

1. For each assessment method, please provide direct indicators of achievement of program-level student learning outcomes and frequency of data collection.

a. Which components will be evaluated?⁵

All LL.M. student exams, papers, projects, and exercises will be evaluated.

b. When will the components be evaluated?

Most exams, papers, projects, and exercises will be evaluated at the end of each semester.

c. When will the data be collected?

The data will be collected at the end of each semester.

5 All LL.M. students will be expected to satisfy the same course requirements as J.D. students (in each course, not the entire J.D. program). Most courses in the J.D. program involve final exams, papers, projects, or exercises. The LL.M. students will be expected to complete the same exams, papers, projects, and exercises as J.D. students, and faculty members will use the same methods of assessment for these students.

- d. How will the data be collected?

The Associate Dean will collect the data.

- e. What will be the benchmarks and/or targets to be achieved?

Each LL.M. student must earn a passing grade in order to receive credit for the course. A passing grade must be a “C” or above, which is the cut-off for good academic standing for J.D. students.

- f. What individuals or groups will be responsible for data collection?

The Associate Dean, with help from the NKU Chase registrar, will collect the data.

- g. How will the data and findings be shared with faculty?

The data will be shared with the faculty at a regularly-scheduled faculty meeting.

- i. How will the data be used for making programmatic improvements?

If the LL.M. students’ performance indicates a problem, the Associate Dean will either propose more required prerequisite courses or meet with individual faculty members to determine what might be causing the inadequate performance. Requiring higher English proficiency test scores might also be considered.

- 2. What are the measures of teaching effectiveness?

Student performance (exam, paper, projects, and/or exercises) will be the primary way of measuring teaching effectiveness. Each semester, the LL.M. students’ performance in each class will be analyzed.

- 3. What efforts to improve teaching effectiveness will be pursued based on these measures?

If the LL.M. students’ performance indicates a problem, the Associate Dean will meet with individual faculty members to determine what might be causing the inadequate performance (and how to cure those deficiencies).

- 4. What are the plans to evaluate students’ post-graduate success?

Employment data will be evaluated. The office of career services will track the LL.M. graduates’ employment at various times after graduation to evaluate employment rates and average salaries.

NKU Chase Faculty

FULL-TIME FACULTY

ERIC ALDEN, B.A., M.A., J.D.
JOHN M. BICKERS, A.B., J.D., LL.M.
ROGER D. BILLINGS, JR., A.B., J.D.
SHARLENE BOLTZ, B.A., J.D.
ANTHONY E. CHAVEZ, B.S., J.D.
URSULA DOYLE, B.A., M.A., J.D.
NANCY L. FIRAK B.S., J.D., LL.M.
CAROL FURNISH, B.A., M.L.S.
CHRISTOPHER GULINELLO, B.A., J.D., LL.M.
AMY HALBROOK, B.A., J.D.
JACK HARRISON, B.A., J.D.
THOMAS E. HEARD, B.A., M.L.S., J.D.
DENNIS HONABACH, A.B., J.D.
JENNIFER JOLLY-RYAN, B.A., J.D.
KENNETH D. KATKIN, A.B., J.D.
DONALD K. KAZEE, B.A., M.A., J.D.
JENNIFER KINSLEY, B.A., J.D.
JENNIFER KREDER, B.A., J.D.
MICHAEL J.Z. MANNHEIMER, B.A., J.D.
BARBARA MCFARLAND, B.A., J.D.
LJUBOMIR NACEV, B.A., J.D., LL.M.
LAWRENCE ROSENTHAL, B.A., J.D., LL.M.
DAVID SINGLETON, B.A., J.D.
JEFFREY STANDEN, A.B., J.D.
MARK M. STAVSKY, B.A., J.D., LL.M.
HENRY L. STEPHENS, JR., B.A., J.D.
MATTHEW TOKSON, J.D.
JANE UNDERWOOD, B.A., M.L.S., J.D.
JOHN T. VALAURI, B.A., J.D.
BARBARA WAGNER, B.A. M.S.B.A., J.D.
MICHAEL WHITEMAN, B.A., M.S.L.S., J.D.

ADJUNCT FACULTY

MARK ARZEN, J.D.
DAVID BARRON, J.D.
HON. GREGORY M. BARTLETT, J.D.
JOHN BENINTENDI, J.D.
VICTORIA BOGGS, J.D.
NAIMA CLARKE, J.D.
ERIN CORKEN, J.D.
JOHN CRUZE, J.D.

PETER DEHAAN, J.D.
DAVID DORTON, J.D.
ROBERT A. GOERING, JR., J.D.
ROBERT A. GOERING, SR., J.D.
WILLIAM GUSTAVSON, J.D.
MARK HARDY, J.D.
SHERYL HEETER, J.D.
PENNY HENDY, J.D.
DAVID JEFFERIES, J.D.
RICHARD KATZ, J.D.
J.B. LIND, J.D.
MARY K. "KATE" MOLLOY, J.D.
JOSEPH MORDINO, J.D.
FRANK MUNGO, J.D.
DANIEL MURNER, J.D.
MARC PERA, J.D.
STEVEN RAY, J.D.
ERIC RICHARDSON, J.D.
DEBRA ROTHSTEIN, J.D.
THOMAS ROUSE, J.D.
ANN SCHOEN, J.D.
GREG SIZEMORE, J.D.
NATHANIEL SIZEMORE, J.D.
HON. DOUGLAS STEPHENS, J.D.
JOSEPH TANSINO, J.D.
HON. AMUL THAPAR, J.D.
HON. KAREN A. THOMAS, J.D.
BERNADINE C. TOPAZIO, J.D.
AL WEISBROD, J.D.
ELIZABETH WINCHELL, J.D.
JUSTICE DONALD C. WINTERSHEIMER, J.D.
STEPHEN WIRTHLIN, JR., J.D.
ELIZABETH ZINK-PEARSON, J.D.

RECOMMENDATION:

That the Board of Regents approve the following adjustments to the 2016-2018 Capital Budget.

BACKGROUND:

At the November 11, 2015 Board meeting, the Board approved the 2016-2018 Capital Budget. After submission of Board materials, several changes were made to the list of projects:

- 1) \$4 million in local financing authority was added to the Land Acquisition project.
- 2) A Capital Equipment Lease Pool of \$1,250,000 was created to allow short term financing for some of the more expensive items of equipment that the university will purchase for the Health Innovation Center.
- 3) The scope of the Construct Satellite Parking Lot was increased to \$12 million, with \$6 million in agency bonds and \$6 million in local financing authority.
- 4) A lease was added to the capital budget to allow the university the option to lease space off campus for academic use.

Legislative authorization is required for all projects above \$600,000 in scope and for any project requiring local financing.

Capital Approval Process:

CPE has again proposed a blended approach for capital recommendations to the Governor and Legislature. The approach blends the primary recommendation of the 2007 Statewide Facilities Assessment & Space Adequacy Study conducted by VFA, Inc. and Paulien & Associates. The study addressed asset preservation and renovation and new and expanded space needs simultaneously in each biennium, over multiple biennia. This method was introduced in 2012-2014 and refined in 2014-2016.

Institutional priorities are unranked in three categories:

- 1) Asset preservation and renovation (“E1” projects on the attached list).
- 2) New/expanded Education & General space and research facilities (“E2”).
- 3) Information technology initiatives (“E3”).

Projects identified with an “E” on the attached list are eligible for pool funding that CPE will recommend for each category. The proposed formula for allocation of funds includes a base allocation as well as factors for:

- The VFA Model
- FTE students
- Total Public Funds per institution
- Extramural R&D expenditures

NKU’s share of this formula for 2016-2018, based on CPE’s proposed pool of \$640,000,000, would be \$43,445,900.

The Capital Budget was submitted to CPE and the Governor's Office for Policy and Management on November 16. The Governor will develop the Biennial Budget recommendation for submission to the General Assembly in January.

Northern Kentucky University
Capital BUDGET Project List 2016-2018

Priority	Project	2016-2018 TOTAL Scope	Fund Source
1	E2-Expand Herrmann Science Center	\$ 85,000,000	State Bond Funds
2	E1-Renew/Renovate Fine Arts Center Phase II	66,000,000	State Bond Funds
3	E1-Renovate Civic Center Building	4,600,000	State Bond Funds
4	E1-Repair Structural Heaving Landrum/Fine Arts	7,000,000	State Bond Funds
5	E2-Construct Chiller Plant	19,400,000	State Bond Funds
6	Construct/Acquire New Residence Hall 2016-2018	28,500,000	Agency Bonds
7	Construct/Renov/Replace Stud Housing & Aux Services	125,000,000	Other/Long-Term Fin.
8	Repair Norse/Woodcrest Exterior Stairs & Landings	3,000,000	Restricted
9	E1-Renovate Albright Health Center/Wellness	12,000,000	State Bond Funds
10	E1-Renovate Gateway/Highland Hts Campus	6,000,000	State Bond Funds
11	E1-Renovate Nunn Hall	7,000,000	State Bond Funds
12	E1-Replace Underground Gas Mains	2,500,000	State Bond Funds
13	E1-Renew E&G Buildings Systems Projects Pool	7,000,000	State Bond Funds
14	Renovate Residence Halls 2016-2018	10,000,000	Restricted
15	E1-Renew Electrical Distribution Systems Pool	5,000,000	State Bond Funds
16	E3-Upgrade Instructional Technology	3,500,000	State Bond Funds
17	E1-Renew HVAC Project Pool	6,500,000	State Bond Funds
18	E3-Campus Telecommunications Upgrade	1,500,000	State Bond Funds
19	E3-Next Generation Digital Campus	10,000,000	State Bond Funds
20	Renew Kenton Drive Garage	1,100,000	Restricted
21	Reauthorization	15,000,000	Agency Bonds
22	E3-Enhance/Upgrade Cyber Security System	1,500,000	State Bond Funds
23	E3-Upgrade Infrastructure for Admin Systems	1,500,000	State Bond Funds
24	E3-Scientific/Technology Equipment Pool	5,000,000	State Bond Funds
25	Construct Basketball Practice Facility	15,000,000	Other/Private
26	Construct Center for Applied Ecology - Reauthorization	1,000,000	Restricted
		1,000,000	Other
27	Renovate/Expand Baseball Field - Add'l Reauthorization	5,300,000	Restr./Long-Term Fin.
28	Acquire Land/Master Plan 2010-2012 Add'l Reauthorization	17,500,000	Agency Bonds
		4,000,000	Restricted
		4,000,000	Restr./Long-Term Fin.
29	Acquire/Renovate Ctr for Legal Education	40,000,000	Other/Long-Term Fin.
30	E3-Budget Planning System	700,000	State General Funds
31	Construct Satellite Parking Lot	6,000,000	Agency Bonds
		6,000,000	Restr./Long-Term Fin.
32	Renovate Brown Building Reauthorization	3,000,000	Restricted
		1,500,000	Other/Private
33	Capital Equipment Lease Pool	1,250,000	Other/Long-Term Fin.

Northern Kentucky University
Capital BUDGET Project List 2016-2018

Priority	Project	2016-2018 TOTAL Scope	Fund Source
34	Purchase Coach Bus	690,000	Restr./Long-Term Fin.
35	Purchase Large Format Color Press	700,000	Restr./Long-Term Fin.
36	Lease Academic Space	n/a	Restricted
37	Guaranteed Energy Savings Performance Contracts	n/a	Restr./Long-Term Fin.

TOTAL \$ 541,240,000

RECOMMENDATION:

That the Board of Regents approve the acquisition of the 12.02 acre Campbell Hall parcel from Gateway Community College/KCTCS.

BACKGROUND:

In September 2008, the University signed a Lease with Option to Purchase Agreement with the Kentucky Community and Technical College System, KCTCS, for the purchase of the 12.02 acre parcel located at the north end of campus, at 90 Campbell Drive. This lease was approved by the Board on September 10, 2008. This site is improved with a 46,915 two-level classroom and lab building that was previously known as the Campbell County North Technical School, now known as Campbell Hall, constructed in 1976.

The site is contiguous to campus property across its south border. The land was actually owned by the university prior to its transfer to the Kentucky Department of Education in April 1975 to provide for construction of what was then known as the Campbell North Vocational Technical School. By 2008, KCTCS had absorbed the vocational schools, created Gateway Community College and begun to focus Gateway's efforts in other areas of Northern Kentucky.

Campbell Hall currently houses the Kentucky Center for Mathematics, Educational Outreach, PACE classes and several offices. It has nine classrooms and one large computer lab. The university currently occupies about half the usable space in the building. Upon completion of the transfer to NKU, the remaining areas will be renovated to create much needed flex space for office and shop/support space uses.





Campbell Hall

RECOMMENDATION:

That the Board of Regents approve an emergency project with a scope of \$1.7 million to replace the HVAC system in Kentucky and Commonwealth Halls.

BACKGROUND:

Kentucky and Commonwealth Halls, the University's traditional style residence halls, were constructed in 1982. Each residence hall holds 198 students in double occupancy bedrooms, with a shared shower/restroom facility per floor.

The existing HVAC system in these buildings is a two-pipe system with a fan coil unit per room. A boiler and chiller provide the hot and cold water for the system. The components of this system are beyond their useful life, and replacement is essential. System components are failing and continued reliance on operation of the current system is not recommended.

The intent is to engage an engineer to develop a feasibility study and make recommendations for system type and performance. Due to design and bidding timeframes, installation may be delayed until summer 2017.

This project will be funded from Residence Life Auxiliary funds. Because the scope of this project exceeds \$600,000, and it was not included in the 2014-2016 Capital Budget, Board of Regents approval is required. Legislative approval for the project was granted at the November 17, 2015 meeting of the Capital Projects and Bond Oversight Committee.

RECOMMENDATION:

That the Board of Regents approve the Matriculated Student Tuition Policy, which will replace the Dually Enrolled Undergraduate and Graduate Students Tuition Policy.

BACKGROUND:

The Matriculated Student Tuition policy states that students will be charged for tuition based on their primary enrolled program of study. This policy will replace the Dually Enrolled Undergraduate and Graduate Students Tuition Policy which allowed the student be charged different rates for different course levels (i.e., students dually enrolled as undergraduate and graduate will be charged the undergraduate rate for undergraduate courses and graduate rate for graduate courses).

The Matriculated Student Tuition Policy address challenges of enforcing the dually enrolled tuition policy. It improves process efficiencies and ceases manual manipulation of the system tuition calculation, which will reduce inaccurate student billing statements. It aligns with Student Financial Assistance's ability to award proper financial aid packages. Additionally, the policy creates transparency within the tuition rate structure for students.

Attachments:

Matriculated Student Tuition Policy

Matriculated Student Tuition

Policy Number:	Reserved for future use
Policy Link:	
Responsible Official:	Chief Financial Officer
Responsible Office:	Student Account Services
Effective date:	7/1/2016
Next review date:	Click here to enter a date.
Supersedes policy dated:	7/1/2013
Approved by:	Choose an item.

I. Policy Statement

NKU students enrolled in an Undergraduate program of study will be charged for all classes at the undergraduate rate.

NKU students enrolled in Graduate programs of study (including Provisional) will be charged for all classes at the graduate rate.

NKU students dually enrolled in two different degree level programs of study (i.e. Undergraduate and Graduate or Graduate and Professional) will be charged at the highest degree level of courses registered.

NKU students enrolled in the Law Program of study will be charged Law program rates for all courses registered.

Any NKU student changing their primary enrolled program after the published full session drop/add period for that term will be charged for that semester at the original enrolled program of study rate: i.e. Undergraduate program to Graduate program, Graduate program to Undergraduate program, as well as Graduate program to Professional program, Professional program to Graduate program.

II. Entities Affected

NKU matriculated student body. All campus members, which includes all departments, colleges and divisions.

III. Authority

Nothing in this policy constitutes a contract, an offer of a contract, or a promise that any tuition or fees ultimately authorized by The Board of Regents will be limited by any term or provision of this policy. The Board of Regents expressly reserve the right and option, in its absolute discretion, to establish tuition or fees at any level it deems appropriate based on a full consideration of the circumstances, and nothing in this policy shall be a basis for any party to rely on tuition or fees of a specified level or based on a specified formula.

IV. Definitions

Matriculated Student – Any enrolled student at Northern Kentucky University.

V. Communications

It is the responsibility of campus departments/divisions to communicate this change in tuition policy between its approval and July 1, 2016 when the policy takes effect.

References and Related Materials

References:

Related Policies:

Related Forms:

Revision History:

Supersedes Dually Enrolled Undergraduate and Graduate Students Tuition Policy - 2013

RECOMMENDATION:

That the following sabbatical leave recommendations for the 2016-2017 academic year receive Board of Regents approval.

BACKGROUND:

Sabbatical leaves are granted by the University to promote the professional growth and effectiveness of the faculty. Sabbatical leaves enable the recipients to devote full time to scholarly activity and research, advanced study, or artistic performance in pursuit of academic objectives.

2016-2017 SABBATICALS

Name	Department	Title	Term Requested
Dr. Darius J. Fatemi	Accounting and Finance	Judgment and Decision Making in Accounting: First-Hand Experience Working Alongside Professional Accountants	Academic Year
Dr. Karen Mutsch	Advanced Nursing Studies	The Effectiveness of a Clinical Nurse Program: Program Evaluation Short Project Description	Spring 2017
Dr. Kimberly P. Clayton-Code	Teacher Education	32 Years of Excellence: A Longitudinal Examination of the Kentucky Governor's Scholars Program's Impact on High Potential Students and Our Community	Fall 2016
Dr. Kristine N. Hopfensperger	Biological Sciences	Incorporation of wetland policy into aquatic ecosystem research and teaching	Spring 2017
Dr. Richard L. Boyce	Biological Sciences	Quantifying the impact of the invasive Callery (Bradford) pear in the Greater Cincinnati region	Fall 2016
Dr. Lili Ma	Chemistry	Biological Evaluation and Computer Modeling of Anticancer Drug Candidates	Spring 2017
Dr. Lindsey A. Walters	Biological Sciences	What makes a good parent? The influence of parental care on offspring success in Carolina chickadees	Fall 2016
Dr. Patrick Hare	Chemistry	High level computational chemical modeling of environmental pollutants in solution	Fall 2016
Paul A. Tenkotte	History and Geography	America Discovers Florida, 1865-2015	Spring 2017
Dr. Jennifer Cellio	English	Unfit: Rhetorics of Reproduction and Hereditary "Fitness"	Spring 2017
Dr. Boni Li	Sociology, Anthropology & Philosophy	Emigrating from China to the United States: A Comparison of Different Social Experiences 2nd edition	Spring 2017
Dr. Michael S. Waters	Mathematics and Statistics	Machine Learning, Image Analysis, and Smart Device Applications	Fall 2016
Dr. Andrea Gazzaniga	English	Michael Field and the Queer Sublime	Fall 2016
Ms. Jennifer Jolly-Ryan	Chase College of Law	Protecting Civil Liberties during Disease and Pandemics	Academic Year

Name	Department	Title	Term Requested
Dr. Sharmanthie Fernando	Physics and Geology	Stability and quasi-normal modes of black holes in string theory	Fall 2016
Mr. John C. Schlipp	Steely Library	Intellectual Property & Information Rights: Practical Background & Best Practices for Professionals in Information-based Careers	Spring 2017
Mr. Perry Bratcher	Steely Library	An Analysis of Associate and Bachelor Degree Level Library Science Programs in the United States	Fall 2016
Dr. Jackie Wroughton	Mathematics and Statistics	Studying the Effect of Flipping the Introductory Statistics Classroom on Student Achievement and Attitudes	Fall 2016