



**Northern Kentucky University**  
**Board of Regents Materials**

**March 16, 2016**

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**AGENDA**  
**Northern Kentucky University**

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**Regents Dinner**  
**Tuesday, March 15, 2016 – 6:00 p.m.**

**6:00 p.m.**

- Regents Dinner at President's Home (Ft. Thomas, KY)
- 

**Joint Finance and Policy Meeting**  
**NKU, Student Union, 104 – Wednesday, March 16, 2016 – 9:00 a.m.**

**9:00 a.m.**

**A. Joint Finance and Policy Committee:**

1. Steely Library (Ott Rowlands, Almquist)
2. Emergency Preparedness (Hodges Moore, Kachurek, Gaffin, Martin, Moon, Zaidi)
3. CINSAM (Ott Rowlands, Frank, Kulkarni)
4. FUEL (Ott Rowlands, Reed, Taylor)

**Board Lunch**  
**NKU, Student Union 108 – March 16, 2016 – 11:30 a.m.**

**11:30 a.m.**

**Board of Regents Meeting**  
**NKU, Student Union, 104 – Wednesday, March 16, 2016 – 1:00 p.m.**

**1:00 p.m.**

- Call to Order
- Roll Call
- Approval of January 13, 2016, Board Minutes
- Presidential Comments
- Joint Finance and Policy Committee Summary, Secretary of the Board of Regents

**1:20 p.m.**

**B. Presidential Reports:**

1. Facilities Management Report (Hodges Moore)
2. Research/Grants/Contracts Report (December 1, 2015 – January 31, 2016) (Ott Rowlands)
3. Fundraising Report (July 1, 2015 – January 31, 2016) (Gentry)
4. Quarterly Financial Report (Hodges Moore)

**1:40 p.m.**

**C. Presidential Recommendations:**

1. \*Academic Affairs Personnel Actions
2. \*Non-Academic Personnel Actions
3. \*Major Gifts Acceptance
4. \*Faculty Emeritus Status Appointments
5. \*Student Government Association Constitution Revisions
6. \*General Receipt Bond Resolution
7. \*Organizational Chart Update
8. \*Posthumous Degree (Young)
9. Academic Affairs Reappointment, Promotion, and Tenure

**2:00 p.m.**

**D. Executive Session**

\*Consent Agenda Items - (Items placed on the consent agenda are passed in one motion without discussion. Any Regent may request that an item be removed from the consent agenda for a separate motion by calling Wendy Peek in the Office of the President, 572-5172, by 2 p.m., Monday, March 14, 2016).

**Board of Regents Meeting  
Northern Kentucky University, Student Union, Room 104  
January 13, 2016**

Regent Nathaniel Smith, Chair, called the regular meeting of the Board of Regents to order at 1:00 pm, Wednesday, January 13, 2016.

**Roll Call:** Richard Boehne, Richard L. Boyce, Virginia G. Fox, Katherine Hahnel, Terry Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Nathaniel Smith, Elizabeth Thompson, André Ward.

Other Attendees: Geoffrey S. Mearns, Kathryn Herschede, Wendy Peek, Sara Sidebottom, Sue Ott Rowlands, Eric Gentry, Sue Hodges Moore, Kim Turner, Kathleen Roberts, Tracy Insko, Bill Farrow, Chris Bowling, Austin Beach, Kim Scranage, Mike Hales, Kevin Kirby, Dale Scalise-Smith, Becky Porterfield, Cindy Reed, Katherine Frank, Jeff Standen, Leah Stewart, Kathy Stewart, Sara Kelley, Larry Meyer, Christian Gamm, Melissa Gorbandt, Samantha Langley, Amanda Nageleisen, Joel Robinson, Bill Thompson, Mary Paula Schuh, Vickie Natale, Russ Kerdolff, Allen Cole, Vickie Pritchard, Abby Anstead.

Regent Katherine Hahnel seconded Regent Dennis Repenning's motion to approve the minutes of the November 11, 2015 Board of Regents meeting. **(Motion carried)**

**Presidential Comments:**

**Legislative Session**

Last week, the Kentucky General Assembly convened for the 60-day legislative session. One of the most important responsibilities of the legislature during this session is to enact a two-year budget for the Commonwealth of Kentucky. For the past 18 months, I have been advocating for the Governor and the legislature to fix the funding disparity that impedes our University's progress and to enact an outcomes-based funding model for higher education. The Council on Postsecondary Education has confirmed what we have known for some time: NKU is operating with an unwarranted \$10.7 million funding deficit compared to the median of our peer comprehensive universities because of the absence of a rational approach to allocating the state appropriation.

In the days, weeks, and months ahead, we need your help. I will continue to meet with various campus organizations and college departments to share what we want to achieve during the 2016 legislative session, and to answer any questions that our campus community may have.

To help get our message out and to educate the public on the process of effecting change in Frankfort, the NKU Foundation has set up the website [www.investinsuccessky.com](http://www.investinsuccessky.com). This website provides information on where we stand and how individuals can help.

I will continue to update you on the progress we are making and important developments in the legislature.

### **Retention**

I am pleased to report that we continue to see modest and encouraging increases in the Fall 2015 to Spring 2016 retention rate. Earlier this week, our preliminary Fall to Spring retention rate was 88% compared to 85.9%, at the same time last year, for a 2.1% increase over last year.

This is good news. I am grateful to all of our faculty and staff who have worked hard to help us to recruit, retain, and graduate our students. I look forward to continued improvement in this important metric.

### **Vice President for Student Affairs Search**

As you know, over the Summer, we initiated a search for a new Vice President for Student Affairs. The committee met diligently over the Fall semester and brought two candidates to campus late last semester.

After considering the input from the search committee, as well as the evaluations provided by those who attended the finalist interviews, I have decided to continue the search. Going forward, though, we will post the position as the Vice President for Student Affairs, without the responsibility of Dean of Students. With this change, the responsibilities of the Dean of Students will be absorbed by an existing assistant vice president. Similar to the structure in Student Affairs previously, we will have two AVP positions reporting to the Vice President: the AVP for Student Inclusiveness and the AVP for Student Support/Dean of Students. We have resumed the search process, and we will continue to seek involvement from others within the division and across our campus.

### **Budget Model**

For more than a year, we have been preparing to implement a new budget model. Our model incorporates an approach that creates transparency and balances accountability among academic, auxiliary, and support units. The new model also engages the entire university community in our pursuit of student success, strategic growth, innovation, entrepreneurship, and institutional excellence.

In recent months, we have established new governance committees to help manage the process, including a budget executive committee, a central unit allocations committee, and a space management and deferred maintenance committee. The budget governance committees have begun meeting to put policies, procedures, and processes in place once we are in full swing next academic year.

Over the next few months, we will begin training budget officers and others with budget responsibilities on model development.

This year's strategy for developing next year's budget represents a hybrid approach that adheres to the principles of transparency and engagement. Our goals are to educate the campus community on the University's financial condition and to engage faculty and staff in generating ideas regarding strategic investments and cost saving initiatives. The Faculty Senate and Staff

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Congress are already engaged in soliciting ideas. Open forums will be held to provide additional opportunities for feedback. The budget governance committees will be consulted at strategic points throughout the process.

We have also developed a website with resources, communications, and more information. The information can be accessed at <http://newbudgetmodel.nku.edu>.

Unless the Governor and the General Assembly allocate additional state support for our University to fix the existing funding disparity, it will again be difficult to develop a balanced budget for next academic year.

### **Emergency Preparedness**

Over the winter break, our Police Department conducted an emergency preparedness exercise in coordination and collaboration with other local first responders. This is just one of many activities and training exercises that we are engaged in to be prepared for an emergency on our campus.

Sue Hodges Moore, Senior Vice President for Administration and Finance, is leading a cross-divisional committee to consider our emergency preparedness, emergency response protocols, and emergency communication protocols. Dr. Hodges Moore and members of the committee will provide a more detailed report at the March Board meeting.

### **Street Reach App**

You may have read some articles in our local newspapers or seen a segment on our local television stations highlighting the creation of the ‘Street Reach’ app. The Street Reach app, which I mentioned last Friday during the convocation, aims to break down barriers between the homeless and street outreach services by allowing the public to make electronic reports of individuals in need. It also allows the homeless to reach out for help.

Students Justin Hill, a developer in the Center for Applied Informatics, and Brittney Kane, who is pursuing a master’s degree in social work, led the project. The project was a partnership between the Center for Applied Informatics, the College of Education and Human Services, and local community organizations including Strategies to End Homelessness.

I am proud of our innovative and entrepreneurial students who are working in our community to solve pressing problems. And I am especially proud that our community seeks out our students to help address important community needs.

### **Athletics Update**

As I also mentioned in the convocation, I am very proud that for the ninth semester in a row, our student-athletes achieved above a 3.0 GPA. In fact, we reached our highest GPA ever – 3.24. Twelve out of our 15 sports had team GPAs that exceeded 3.0. More than 30% of our student-athletes earned academic honors in the Fall semester. Congratulations to the students, their coaches, and the entire department for this outstanding achievement.

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Last semester, we were also graded in a different way. Recently, officials from the Horizon League evaluated our athletic competition broadcast based on ESPN's standards. Our overall rating was an 'A.' We received the highest score possible in every dimension, including directing, game documentation, talent, graphics, and camera placement. What makes this achievement truly remarkable is that most aspects of our broadcasts are run by students with the help, support, and advice of Bill Farro from Information Technology, and Wes Akers from our Electronic Media and Broadcasting department in the College of Informatics. I want to express my appreciation to Bill, Wes, and all of our outstanding students who run our broadcasts.

### **Sara Sidebottom**

Last Friday, Sara Sidebottom, our University's general counsel, advised me that she will retire on July 31. Sara has served our University in this capacity for 16 years. She has provided sound legal advice and wise counsel, and I am grateful for the support she has given to me. I will form a search committee to assist in hiring Sara's successor. But now, please join me in recognizing Sara and thanking her for her service.

Mr. Chairman, this concludes my report.

### **B. Presidential Reports:**

#### **1. Facilities Management Report (Senior Vice President Sue Hodges Moore)**

- a. Health Innovation Center/Founders Hall Renovation
- b. Health Innovation Center/Founders Hall Renovation (Chiller Installation)
- c. Founders Hall Moves
- d. Campus Recreation Center Expansion/Renovation
- e. Science Center Air Handler Refurbishment
- f. Exterior Door Security and Access Control
- g. Sustainability
- h. North Connector Road

#### **2. Research, Grants, and Contracts Report (October 1, 2015 – November 30, 2015)** (Provost and Executive Vice President Sue Ott Rowlands)

During the October 1, 2015 through November 30, 2015 time period, 10 grants were awarded. The total amount of money awarded was \$486,671. From July 1, 2015 through November 30, 2015, the cumulative total number of grants awarded is 40 totaling \$3,840,362.

#### **3. Fundraising Report (July 1, 2015 through November 30, 2015)** (Vice President Eric Gentry)

The Fundraising Report summarized fundraising resources committed from July 1, 2015 through November 30, 2015 totaling \$9,846,166 in support of the university.

#### **4. Quarterly Financial Report (July 1, 2014 – September 30, 2015)** (Senior Vice President Sue Hodges Moore)

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The Report was reviewed by the Board of Regents Audit Committee in accordance with Article III (D) (2) of the Board of Regents Bylaws.

**5. Faculty Development Awards – Project Grants** (Provost and Executive Vice President Sue Ott Rowlands)

The Project Grant Report reflected the grants that have been awarded for 2016-2017.

**6. Faculty Development Awards – Faculty Summer Fellows** (Provost and Executive Vice President Sue Ott Rowlands)

The Faculty Summer Fellows Report reflected the fellows that have been awarded for the summer of 2016.

**7. Organizational Changes Report** (Senior Vice President Sue Hodges Moore)

The organizational changes report reflected that the School-Based Scholars (SBS) program now reports to Learning Assistance Programs (LAP).

**C. Presidential Recommendations:**

**Consent Agenda Items:** A motion was made by Regent Virginia Fox and seconded by Regent André Ward to approve the following Presidential Recommendations as listed; C-1 through C-10. **(Motion carried)**

**1. Academic Affairs Personnel Actions:**

**a. Administrative Appointments:**

**Dr. Adrienne Lane**, from professor and chair in the Department of Advanced Nursing Studies to professor, chair, and director of the Doctorate of Nursing Program, effective August 10, 2015. *This recommendation is a correction from the November 2015 Board submission in which Dr. Lane was incorrectly listed as an associate professor.*

**b. Faculty Appointments:**

**Dr. Thaddeus Bissett**, assistant professor in the Department of Sociology, Anthropology and Philosophy, effective August 15, 2016; **Mr. Jeffrey Fox**, lecturer in the Department of Communication, effective January 1, 2016.

**c. Departures:**

**Dr. Roger Kollock**, assistant professor in the Department of Kinesiology and Health, effective December 31, 2015.



**d. Retirements:**

**Ms. Ana England**, professor of art in the Visual Arts Program in the School of the Arts, effective May 18, 2016; **Dr. Stephen Walker**, professor in the Department of Teacher Education, effective May 18, 2016.

**e. Phased Retirements:**

**Dr. Samuel Zachary**, professor of theatre in the Theatre and Dance Program in the School of the Arts, beginning August 15, 2016 and terminating at the end of academic year 2017-18.

**f. Temporary Faculty:**

**Dr. David Cain**, Department of Physics, Geology, and Engineering Technology, 2016 Spring Semester.

**2. Non-Academic Personnel Actions:**

The following categories of non-academic personnel actions which occurred between October 14, 2015 and December 1, 2015 received approval by the Board of Regents: Activations/Rehires; Reassignments, Reclassifications, Title/Status Changes, Promotions; Transfers; Contract/Temporary to Regular & Regular to Contract; Departures; Retirees; Administrative/Executive.

**3. Major Gifts Acceptance:**

The Board of Regents officially accepted contributions totaling **\$365,000** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period October 1, 2015 through November 30, 2015.

**4. Naming Recommendations (Klingenberg, Informatics Renaissance Travel Award):**

The Board of Regents approved the following naming actions:

- (1) The naming of a scholarship in support of students pursuing a bachelor's or master's degree in teacher education with a focus in mathematics or science education.
- (2) The naming of a travel award in support of travel expenses for second year undergraduate College of Informatics students participating in international service learning experiences of at least two weeks duration.

**5. Faculty Emeritus Status Appointment (Manning, Yzenbaard):**

The Board of Regents approved the Emeritus status for the following individuals.

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**Dr. George Manning**, professor in the Department of Psychological Science, effective January 1, 2016. **Professor Caryl Yzenbaard**, professor in the Salmon P. Chase College of Law, effective May 17, 2016.

**6. Chase College of Law LL.M. Program:**

The Board of Regents approved the “LL.M. in United States Law” program at NKU Chase College of Law, for immediate implementation.

**7. 2016-18 Capital Budget Adjustment:**

The Board of Regents approved the adjustments to the 2016-2018 Capital Budget.

**8. Campbell Hall Acquisition:**

The Board of Regents approved the acquisition of the 12.02 acre Campbell Hall parcel from Gateway Community College/KCTCS.

**9. Emergency HVAC Replacement Project – Kentucky and Commonwealth Residence Halls:**

The Board of Regents approved an emergency project with a scope of \$1.7 million to replace the HVAC system in Kentucky and Commonwealth Halls.

**10. Matriculated Student Tuition Policy:**

The Board of Regents approved the Matriculated Student Tuition Policy, which will replace the Dually Enrolled Undergraduate and Graduate Students Tuition Policy.

**C. Presidential Recommendation – C-11 – Sabbatical Leaves**

A motion was made by Regent Lee Scheben and seconded by Regent Dennis Repenning to approve the Presidential Recommendations C-11, sabbatical leaves. Regent Richard Boyce abstained from the vote. **(Motion carried)**

**D. Executive Session:**

Regent Terry Mann seconded Regent Nathaniel Smith’s motion to enter into executive session pursuant to KRS 61.810(1)(C). **(Motion carried)**

No other matters were discussed. No final action was taken.

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At 2:35 p.m., Regent Virginia Fox seconded Regent Andr Ward’s motion to adjourn. (**Motion carried**)

Signature On File  
**Wendy J. Peek**  
**Senior Administrative Assistant**  
**Office of the President**

Signature On File  
**Kathryn J. Herschede**  
**Executive Assistant to the President/**  
**Secretary to the Board of Regents**

I, Virginia Fox, Secretary of the Board of Regents of Northern Kentucky University, certify that the foregoing is a true copy of the minutes of the regular meeting held on January 13, 2016, and that such matters are still in force and effect.

Signature On File  
**Virginia G. Fox**  
**Secretary of the Board of Regents**

## **FACILITIES MANAGEMENT REPORT**

### **1. Health Innovation Center/Founders Hall Renovation**

The 2014 General Assembly provided \$97 million in state bond funding for design and construction of the Health Innovation Center project which includes the full renovation of Founders Hall. A gift of \$8 million from St. Elizabeth Healthcare has increased available project funds to \$105,000,000.

The Health Innovation Center will be a comprehensive health science education and applied research facility located in the core of NKU's campus. The project will position the university to be a leader in this field, to enroll and graduate professionals trained for the changing face of health education and wellness. To meet these goals, the university's approach is collaborative and interdisciplinary, involving numerous academic disciplines, including those in health, social science, information science, and the physical and life sciences.

Upon completion, the building will be light-filled, open and inviting. It will feature active learning classrooms as well as spaces designed to advance nursing and health science education, including a clinical suite; medical simulation suite; nursing, radiology and respiratory skills labs; a biopsychology and a neuroscience lab; advanced kinesiology lab; movement studio; innovation studios; offices; and a café and spaces designed to build community. The building features a dramatic split-level public space which connects the Central Plaza with the West Quad. The new building has been planned as a pair of wings or lofts oriented along the east-west direction to optimize solar orientation. The lofts are positioned directly adjacent to Founders Hall, creating multiple collaborative common areas. They are connected via bridges to Founders Hall across a narrow four story atrium space, joining the new construction with the existing building. A large skylight at the roof level will allow natural daylight to spill down through the atrium space.

Parking Lot C is closed and the building site is now enclosed with a construction fence. The first bid package for underground utilities has been awarded and that work has begun. The actual un-ceremonious construction ground-breaking was January 25<sup>th</sup> for an underground storm water detention structure. Bid Packages 2, 3 and 4 are out to bid.

Founders Hall was vacated as of February 4<sup>th</sup> to allow for renovation and construction to begin. All offices have been relocated and the building has been emptied of furniture. Interior demolition of Founders will begin the end of February.

The project is currently on schedule and within budget.

Architect: CO Architects/GBBN

Engineers: CMTA, THP, Kleingers & Associates

Special Consultants: Sextant Group, Capital Projects Group, Vivian Llambi

Construction Manager: Turner Construction

Scope: \$105,000,000

**Health Innovation Center/Founders Hall Renovation (Continued)**

Anticipated Completion: Spring 2018



Construction Begins - Underground Utilities Being Installed



Rendering of Exterior Front Entry



Rendering of the First Floor Lobby and Mezzanine

## 2. **Health Innovation Center/Founders Hall Renovation (Chiller Installation)**

The Health Innovation Center/Founders Hall project includes funding to increase the capacity to generate chilled water for the campus chilled water loop and to support cooling for the new building. The new 2,500 ton chiller has been installed in the Central Plant. The cooling towers have been erected. Construction is scheduled to be complete the first week in March. The project is currently on schedule and within budget.

Engineers: KZF and THP

Contractor: Peck Hannaford + Briggs

Scope: \$2,100,000 (included in the total \$105,000,000 HIC/Founders scope)



120,000 lb. Chiller being lifted into the Power Plant

### **3. Founders Hall Moves**

A significant planning effort has been accomplished to empty Founders Hall so that full renovation can occur as part of the Health Innovation Center project. Beginning with planning to relocate classes from the 28 Founders classrooms for the spring semester, this campus wide initiative has touched every college and nearly every department. If not for the collaborative teamwork across many departments and offices, this project would not have been successful. Although a number of small offices are not mentioned here, these are a few examples of the moves:

- Kentucky Center for Mathematics (KCM) is now located in an open office setting in two former classrooms in Campbell Hall.
- World Languages and Literatures moved from Landrum Hall to the Mathematics-Education-Psychology Center, to space formerly occupied by KCM.
- Political Science, Criminal Justice & Organizational Leadership moved to the first and second floors of Landrum Hall.
- The Innovation and Entrepreneurship Center has moved to the Business Academic Center.
- CINSAM has moved to the Herrmann Science Center.

Other relocated offices include the Scripps Howard Center, Wellness, Greater Cincinnati World Affairs Council, Community Connections, Cincinnati Insurance Testing Center, Women's Studies, FUEL Foodbank, ROTC, Kinesiology Research Lab, Kentucky Campus Compact, two schedulable computer labs, among others.

### **4. Science Center Air Handler Refurbishment**

The Herrmann Science Center has three air handlers that force air through VAV boxes, where the temperature is adjusted, and then into the rooms to heat or cool them as necessary. All three units, original to the building, are severely rusted and quickly deteriorating.

This project will refurbish these units. The contractor will remove the rust; patch and reinforce sections if needed; and, coat the units with a patented three step process, registered with the EPA, to prevent rust and decay.

Refurbishing the units will significantly extend their life and improve operating efficiency and air quality. Due to the complexity of removal and installation of new units due to their location in the building, the estimated cost to replace the three air handlers is \$3 million.

Contractor: Aquis

Scope: \$250,000

Anticipated Completion: Work is scheduled for the week of spring break, March 5-13, 2016 and Operations & Maintenance worked with the occupants of the building to plan and prepare for the event.



Current Condition of the Air Handlers and Mechanical Room

## **5. Sustainability**

NKU is a signatory of the Second Nature Climate Leadership Commitment, formerly known as the American College and University Presidents' Climate Commitment (ACUPCC). In 2007, NKU committed to becoming carbon neutral by the year 2050.

Earth Week, April 18-22, 2016, will mark the grand opening of a new community garden on campus. The garden, to be located behind the historic log cabin on Nunn Drive, will join a network of two other gardens in Highland Heights. Together, the three gardens will offer over 50 plots for adoption by any student, faculty, staff, or community member.

NKU will be represented at the Smart and Sustainable Campus Conference this April. The conference will take place in Baltimore, MD and both the Director of Energy Management and the Sustainability Manager have been selected to present on the topics of sustainable behavior change and metrics of sustainability programming.

## **6. Replace Campus Light Poles - Phase 1**

The steel light poles on campus have reached/exceeded their life expediency, are rusting internally at the base, and need to be replaced, mitigating several safety risks. In addition, the project will reduce operating costs through use of long-lasting LED lights that use less energy and reduce maintenance.

This is phase one of an estimated three-year replacement plan. Final cost of the project is dependent on the decisions made during this first phase. Planning for the project includes deciding on a foot-candle standard, evaluating lighting product options, and deciding on priority areas for pole replacement. It is hoped that phase one can include about 25% of campus poles.

Staff members in Parking Services and University Housing will participate with Facilities Management in the decision-making process.



## **Replace Campus Light Poles - Phase 1 (Continued)**

Contractor: TBD

Scope: \$165,000

Anticipated Completion: TBD



Current condition of some of the light poles on campus

### **7. North Connector Road**

The Kentucky Transportation Cabinet has awarded this long-awaited federally funded project to Bray Construction. The \$10.6 million project is underway. Installation of construction fencing began in early February. Construction is expected to be complete in fall 2017.

The one-mile long road begins at a roundabout intersection at Three Mile Road, climbs the hill and will cross between the Maintenance Building and Campbell Hall as it runs along the west side of the new intramural fields, west of Woodcrest and through the western gravel section of parking lots L, K, A and G, ending at a new roundabout at Johns Hill Road. The road includes a sidewalk and bike lanes. A second section of the Connector Road, the south section, will be bid later and will extend over a mile south of Johns Hill Road, connecting with Pooles Creek Road, near its intersection with AA Highway.

This road was a high priority of both the 2000 and 2009 Master Plans and upon completion, will resolve traffic congestion in the core area of campus.



North Connector Road

**OFFICE OF RESEARCH, GRANTS, AND CONTRACTS REPORT**

The attached report lists the grants awarded, with the amount awarded for each grant, for NKU faculty and staff for December 1, 2015 through January 31, 2016, Fiscal Year 2015-16:

- During the December 1, 2015 through January 31, 2016 time period, **9** grants were awarded. The total amount of money awarded was **\$432,730**.
- From July 1, 2015 through January 31, 2016, the cumulative total number of grants awarded is **49** totaling **\$4,273,092**.

**NKU Office of Research, Grants and Contracts**  
**Grants Awarded Funding - December 1, 2015 thru January 31, 2016**  
**FY 2015-16**

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor Total</u>
		<u>Department</u>			
<i>College of Arts and Sciences</i>					
Research	Continuation	Biological Sciences	Managing for Multiple Ecosystem Services in Working Landscapes in Sacramento - Additional Funds	University of California/Regents	<b>\$6,900</b>
Instruction	Continuation	Center for Integrative Natural Science and Mathematics	Environmental Education Master Plan - Additional Funds	KY Environmental Education Council	<b>\$363</b>
Instruction	New-Competitive	Music	National Association of Teachers of Singing Event	National Association of Teachers of Singing	<b>\$600</b>
Research	New-Competitive	Physics and Geology	HELIX: The High Energy Light Isotope Experiment	National Aeronautics and Space Administration	<b>\$228,856</b>
<i>College of Education and Human Services</i>					
Instruction	New-Competitive	Teacher Education	Northern Kentucky Writing Project FY 15-16	KY Department of Education	<b>\$40,488</b>
Public Service	Continuation	Teacher Education	CTE KTIP FY 15-16 - Additional Funding	KY Education Professional Standards Board	<b>\$401</b>
<i>College of Informatics</i>					
Instruction	New-Competitive	Communication	Health Communication Campaigns: Design, Implementation and Evaluation	Procter & Gamble	<b>\$10,000</b>

<u>Category</u>	<u>Type</u>	<u>College/Administrative Office</u> <u>Department</u>	<u>Project Title</u>	<u>Sponsor</u>	<u>Sponsor</u> <u>Total</u>
<i>Facilities Management</i>					
Public Service	New-Competitive	Energy Management	NKU Community Gardens	Campbell County Cooperative Extension	<b>\$700</b>
<i>University Advancement</i>					
Public Service	New-Non Competitive	WNKU-FM Radio	WNKU Radio Community Service Grant FY 15-16	Corporation for Public Broadcasting	<b>\$144,422</b>

**Total Number of Awards: 9**

**Total Funds Awarded: \$432,730**

**FUNDRAISING REPORT**

The attached Fundraising Report **summarizes fundraising resources committed from July 1, 2015 through January 31, 2016 totaling \$11,798,179** in support of the university.

The report includes:

1. Resources in support of the colleges, Steely Library, Norse Athletics, NKU Fund For Excellence, Academic Affairs and University Designated Initiatives, Student Affairs, University wide Student Aid, and WNKU.
2. Resources for Fiscal Year 2016.

**Fundraising Resources FY to Date by Designation  
For the Period 7/01/15- 1/31/16**

<b>Designation</b>	<b>Fundraising Resources 7/01/15-1/31/16 FY16</b>
College of Arts and Sciences	\$ 598,535
College of Business	\$ 114,469
College of Education & Human Services	\$ 74,462
College of Health Professions	\$ 62,611
Health Innovation Center	\$ 8,000,000
College of Informatics	\$ 263,250
Chase College of Law	\$ 475,086
Go Norse Fund	\$ 235,252
Steely Library	\$ 12,670
Fund for Excellence	\$ 178,938
Academic Affairs & University Designated Initiatives	\$ 62,944
Student Affairs	\$ 3,845
University Wide Student Aid	\$ 1,150,908
WNKU (membership & underwriting)	\$ 565,209
<b>Total</b>	<b>\$ 11,798,179</b>

# **NORTHERN KENTUCKY UNIVERSITY**

## **QUARTERLY FINANCIAL REPORT**

**FOR THE PERIOD JULY 1, 2015 THROUGH DECEMBER 31, 2015**

**Northern Kentucky University**  
Quarterly Financial Report

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Financial Statements

Statement of Net Position

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Current Unrestricted Fund Schedule of Revenue

Current Unrestricted Fund Schedule of Expenditures

Current Restricted Fund Schedule of Expenditures

Schedule of Bonds and Leases Payable

Schedule of Current Investments



**Northern Kentucky University**  
**A Component Unit of the Commonwealth of Kentucky**  
**Statement of Net Position**  
December 31, 2015 and 2014  
*(in thousands)*

	<b>12/31/2015</b>	<b>12/31/2014</b>
<b>ASSETS</b>		
Current Assets		
Cash and cash equivalents	\$ 92,713	\$ 90,570
Notes, loans and accounts receivable, net	72,717	72,401
Other current assets	829	749
Total current assets	166,259	163,720
Noncurrent Assets		
Cash and cash equivalents	15,839	45,518
Investments	11,968	14,875
Notes, loans and accounts receivable, net	1,421	1,561
Capital assets, net	343,166	317,333
Other noncurrent assets	122	85
Total noncurrent assets	372,516	379,372
Total assets	538,775	543,092
<b>DEFERRED OUTFLOWS OF RESOURCES</b>		
Loss on refunding	946	1,034
Pension contributions made after the measurement date	12,456	-
Total deferred outflows of resources	13,402	1,034
<b>LIABILITIES</b>		
Current Liabilities		
Accounts payable and accrued liabilities	16,053	14,098
Unearned revenue	66,529	65,645
Long-term liabilities-current portion	989	830
Total current liabilities	83,571	80,573
Noncurrent Liabilities		
Deposits	12,280	12,707
Unearned revenue	283	397
Long-term liabilities	126,978	135,221
Net pension liability	224,377	-
Total noncurrent liabilities	363,918	148,325
Total liabilities	447,489	228,898
<b>DEFERRED INFLOWS OF RESOURCES</b>		
Service concession arrangement revenue applicable to future years	2,471	2,942
Difference between projected & actual earnings-pension investments	3,010	-
Total deferred inflows of resources	5,481	2,942
<b>NET POSITION</b>		
Invested in capital assets, net of related debt	218,848	213,021
Restricted		
Nonexpendable	7,616	7,616
Expendable	5,257	7,523
Unrestricted	(132,514)	84,126
Total net position	\$ 99,207	\$ 312,286

**Northern Kentucky University**  
**Statement of Revenues, Expenses, and Changes in Net Position**  
For the Quarter Ended December 31, 2015 and 2014  
*(in thousands)*

	<u>12/31/2015</u>	<u>12/31/2014</u>
<b>OPERATING REVENUES</b>		
Student tuition and fees (net of scholarship allowances of \$19,952 in 2015 and \$17,969 in 2014)	\$ 53,075	\$ 55,219
Federal grants and contracts	1,650	1,842
State and local grants and contracts	1,295	1,490
Nongovernmental grants and contracts	1,398	1,155
Sales and services of educational departments	1,894	1,730
Auxiliary enterprises		
Housing and food service (net of scholarship allowances of \$652 in 2015 and \$587 in 2014)	5,886	5,315
Other auxiliaries	2,626	2,886
Other operating revenues	3,538	3,644
Total operating revenues	<u>71,362</u>	<u>73,281</u>
<b>OPERATING EXPENSES</b>		
Educational and General		
Instruction	37,789	37,042
Research	823	842
Public service	6,129	5,825
Libraries	3,502	3,648
Academic support	8,447	8,698
Student services	12,786	12,006
Institutional support	12,988	14,001
Operation and maintenance of plant	8,359	7,601
Depreciation	8,182	8,030
Student Aid	7,952	7,325
Auxiliary enterprises:		
Housing and food service	2,720	3,119
Other auxiliaries	680	713
Auxiliary depreciation	1,454	1,391
Other expenses	71	38
Total operating expenses	<u>111,882</u>	<u>110,279</u>
Operating income (loss)	<u>(40,520)</u>	<u>(36,998)</u>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
State appropriations	26,696	26,696
Federal grants and contracts	9,773	10,044
State and local grants and contracts	5,162	4,665
Private gifts and grants	6	10
Investment income (loss)	(350)	373
Interest on capital asset--related debt	(1,987)	(2,607)
Other nonoperating revenues (expenses)	1,708	(18)
Net nonoperating revenues	<u>41,008</u>	<u>39,163</u>
Income before other revenues, expenses, gains or losses	<u>488</u>	<u>2,165</u>
Capital appropriations	5,841	-
Capital grants and gifts	95	27
Total other revenues	<u>5,936</u>	<u>27</u>
Increase (decrease) in net assets	<u>6,424</u>	<u>2,192</u>
<b>NET POSITION</b>		
Net position-beginning of year	<u>92,783</u>	<u>310,094</u>
Net position-end of quarter	<u>\$ 99,207</u>	<u>\$ 312,286</u>

**Condensed Statements  
Management Use Only  
Excludes required GASB Pension Reporting**

**Condensed Statement of Net Position**

	12/31/2015	12/31/2014
<b>ASSETS</b>		
Current assets	\$ 166,259	\$ 163,720
Capital assets, net	343,166	317,333
Noncurrent assets	29,350	62,039
Total assets	<u>538,775</u>	<u>543,092</u>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>		
	<u>946</u>	<u>1,034</u>
<b>LIABILITIES</b>		
Current liabilities	83,571	80,573
Noncurrent liabilities	139,541	148,325
Total liabilities	<u>223,112</u>	<u>228,898</u>
<b>DEFERRED INFLOWS OF RESOURCES</b>		
	<u>2,471</u>	<u>2,942</u>
<b>NET POSITION</b>		
Invested in capital assets, net of related debt	218,848	213,021
Restricted		
Nonexpendable	7,616	7,616
Expendable	5,257	7,523
Unrestricted	82,417	84,126
Total net position	<u>\$ 314,138</u>	<u>\$ 312,286</u>

**Condensed Statement of Revenues, Expenses and Changes in Net Position**

	12/31/2015	12/31/2014
<b>OPERATING REVENUES</b>		
Student tuition and fees, net	\$ 53,075	\$ 55,219
Grants and contracts	4,344	4,487
Sales and services of educational departments	1,894	1,730
Auxiliary enterprises	8,511	8,201
Other operating revenues	3,538	3,644
Total operating revenues	<u>71,362</u>	<u>73,281</u>
<b>OPERATING EXPENSES</b>		
Educational and general	98,775	96,988
Depreciation	8,182	8,030
Auxiliary enterprises (including depreciation)	4,854	5,223
Other expenses	71	38
Total operating expenses	<u>111,882</u>	<u>110,279</u>
Net loss from operations	<u>(40,520)</u>	<u>(36,998)</u>
<b>NONOPERATING REVENUES (EXPENSES)</b>		
State appropriations	26,696	26,696
Gifts, grants and contracts	14,941	14,719
Investment income	(350)	373
Interest on capital asset--related debt	(1,987)	(2,607)
Other nonoperating revenues (expenses)	1,708	(18)
Net nonoperating revenues	<u>41,008</u>	<u>39,163</u>
Income (loss) before other revenues, expenses, gains or losses	<u>488</u>	<u>2,165</u>
Capital appropriations	5,841	-
Capital grants and gifts	95	27
Total other revenues	<u>5,936</u>	<u>27</u>
Increase (decrease) in net position	<u>6,424</u>	<u>2,192</u>
Net position-beginning of year as previously reported	92,783	310,094
Add back effect of Pension	214,931	
Net position-end of year	<u>\$ 314,138</u>	<u>\$ 312,286</u>

\*This report is provided to allow comparability to the prior year due to the GASB pension requirement in 2015.

**NORTHERN KENTUCKY UNIVERSITY**

Current Unrestricted Fund

Schedule of Revenue, Budgeted and Actual

For the Period from July 1, 2015 to December 31, 2015

With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Revenue	% of Bgt	Revised Budget	Actual Revenue	% of Bgt
<b>STUDENT TUITION AND FEES</b>						
Tuition - Summer	\$ 9,574,600	\$ 3,867,552	40 %	\$ 9,258,800	\$ 4,275,684	46 %
Tuition - Fall	64,368,416	64,437,689	100	65,758,200	64,280,935	98
Tuition - Spring (Including Winter)	57,927,834	414,269	1	60,755,900	338,707	1
Mandatory Fees	4,654,000	2,396,449	51	4,754,000	2,433,400	51
Class Fees	<u>3,364,255</u>	<u>1,910,359</u>	57	<u>3,098,271</u>	<u>1,858,776</u>	60
<b>TOTAL STUDENT TUITION AND FEES</b>	<u>\$ 139,889,105</u>	<u>\$ 73,026,318</u>	52 %	<u>\$ 143,625,171</u>	<u>\$ 73,187,502</u>	51 %
<b>STATE APPROPRIATIONS GENERAL</b>	<u>\$ 48,537,600</u>	<u>\$ 26,695,700</u>	55 %	<u>\$ 48,537,600</u>	<u>\$ 26,695,700</u>	55 %
<b>SALES AND SERVICES OF EDUCATIONAL ACTIVITIES</b>	<u>\$ 5,351,624</u>	<u>\$ 1,893,219</u>	35 %	<u>\$ 5,182,005</u>	<u>\$ 1,727,094</u>	33 %
<b>SALES AND SERVICES OF AUXILIARY ACTIVITIES</b>	<u>\$ 15,947,582</u>	<u>\$ 9,163,573</u>	57 %	<u>\$ 15,475,102</u>	<u>\$ 8,787,706</u>	57 %
<b>OTHER SOURCES</b>						
Service Fees	\$ 2,330,438	\$ 1,046,658	45 %	\$ 2,407,851	\$ 1,150,294	48 %
Rentals	1,544,857	578,797	37	1,516,233	659,221	43
Investment Earnings	385,000	50,973	13	225,275	81,434	36
Other	<u>2,939,691</u>	<u>3,774,482</u>	128	<u>2,849,442</u>	<u>1,548,436</u>	54
<b>TOTAL OTHER SOURCES</b>	<u>\$ 7,199,986</u>	<u>\$ 5,450,910</u>	76 %	<u>\$ 6,998,801</u>	<u>\$ 3,439,385</u>	49 %
<b>TOTAL CURRENT UNRESTRICTED FUND REVENUE</b>	<u><u>\$ 216,925,897</u></u>	<u><u>\$ 116,229,720</u></u>	54 %	<u><u>\$ 219,818,679</u></u>	<u><u>\$ 113,837,387</u></u>	52 %

# NORTHERN KENTUCKY UNIVERSITY

## Current Unrestricted Fund

### Schedule of Expenditures by Function and Account Category

#### Budgeted and Actual

FOR THE PERIOD JULY 1, 2015 THROUGH DECEMBER 31, 2015

With Comparative Prior Year Data

	Fiscal Year 2015/16			Fiscal Year 2014/15		
	Revised Budget	Actual Expenditures	% of Bgt	Revised Budget	Actual Expenditures	% of Bgt
<b>EDUCATIONAL AND GENERAL</b>						
<b>INSTRUCTION</b>						
Personnel Expenses	\$ 66,974,935	\$ 33,761,810	50 %	\$ 64,062,531	\$ 32,864,873	51 %
Operating Expenses/Capital Outlay	<u>7,586,259</u>	<u>2,610,728</u>	34	<u>9,347,704</u>	<u>2,509,048</u>	27
<b>TOTAL INSTRUCTION</b>	<b>\$ 74,561,194</b>	<b>\$ 36,372,538</b>	<b>49 %</b>	<b>\$ 73,410,235</b>	<b>\$ 35,373,921</b>	<b>48 %</b>
<b>RESEARCH</b>						
Personnel Expenses	\$ 457,707	\$ 245,189	54 %	\$ 442,471	\$ 199,515	45 %
Operating Expenses/Capital Outlay	<u>285,454</u>	<u>45,424</u>	16	<u>321,738</u>	<u>23,498</u>	7
<b>TOTAL RESEARCH</b>	<b>\$ 743,161</b>	<b>\$ 290,613</b>	<b>39 %</b>	<b>\$ 764,209</b>	<b>\$ 223,013</b>	<b>29 %</b>
<b>PUBLIC SERVICE</b>						
Personnel Expenses	\$ 3,102,284	\$ 2,374,689	77 %	\$ 3,209,739	\$ 2,255,361	70 %
Operating Expenses/Capital Outlay	<u>6,656,651</u>	<u>1,880,588</u>	28	<u>7,388,946</u>	<u>1,676,034</u>	23
<b>TOTAL PUBLIC SERVICE</b>	<b>\$ 9,758,935</b>	<b>\$ 4,255,277</b>	<b>44 %</b>	<b>\$ 10,598,685</b>	<b>\$ 3,931,395</b>	<b>37 %</b>
<b>LIBRARIES</b>						
Personnel Expenses	\$ 4,339,841	\$ 1,967,598	45 %	\$ 4,425,276	\$ 2,074,561	47 %
Operating Expenses/Capital Outlay	<u>1,782,370</u>	<u>1,478,332</u>	83	<u>1,994,375</u>	<u>1,554,835</u>	78
<b>TOTAL LIBRARIES</b>	<b>\$ 6,122,211</b>	<b>\$ 3,445,930</b>	<b>56 %</b>	<b>\$ 6,419,651</b>	<b>\$ 3,629,396</b>	<b>57 %</b>
<b>ACADEMIC SUPPORT</b>						
Personnel Expenses	\$ 14,495,960	\$ 6,875,705	47 %	\$ 16,218,198	\$ 6,934,466	43 %
Operating Expenses/Capital Outlay	<u>4,754,658</u>	<u>1,331,056</u>	28	<u>5,221,729</u>	<u>1,498,864</u>	29
<b>TOTAL ACADEMIC SUPPORT</b>	<b>\$ 19,250,618</b>	<b>\$ 8,206,761</b>	<b>43 %</b>	<b>\$ 21,439,927</b>	<b>\$ 8,433,330</b>	<b>39 %</b>
<b>STUDENT SERVICES</b>						
Personnel Expenses	\$ 16,514,538	\$ 7,857,267	48 %	\$ 17,027,109	\$ 7,881,548	46 %
Operating Expenses/Capital Outlay	<u>7,397,932</u>	<u>3,427,339</u>	46	<u>7,314,107</u>	<u>3,649,957</u>	50
<b>TOTAL STUDENT SERVICES</b>	<b>\$ 23,912,470</b>	<b>\$ 11,284,606</b>	<b>47 %</b>	<b>\$ 24,341,216</b>	<b>\$ 11,531,505</b>	<b>47 %</b>

**NORTHERN KENTUCKY UNIVERSITY**  
 Current Unrestricted Fund  
 Schedule of Expenditures by Function and Account Category  
 Budgeted and Actual  
 For the Period from July 1, 2015 to December 31, 2015  
 With Comparative Prior Year Data

	<b>Fiscal Year 2015/16</b>			<b>Fiscal Year 2014/15</b>		
	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>
<b>INSTITUTIONAL SUPPORT</b>						
Personnel Expenses	\$ 23,504,056	\$ 10,504,463	45 %	\$ 24,416,443	\$ 11,479,155	47 %
Operating Expenses/Capital Outlay	<u>7,012,374</u>	<u>1,966,413</u>	28	<u>7,872,614</u>	<u>2,183,871</u>	28
TOTAL INSTITUTIONAL SUPPORT	\$ 30,516,430	\$ 12,470,876	41 %	\$ 32,289,057	\$ 13,663,026	42 %
<b>OPERATION &amp; MAINTENANCE OF PLANT</b>						
Personnel Expenses	\$ 11,853,498	\$ 5,042,675	43 %	\$ 10,888,539	\$ 4,602,233	42 %
Operating Expenses/Capital Outlay	4,559,760	1,680,288	37	3,985,406	1,601,295	40
Utilities	<u>3,943,890</u>	<u>1,555,282</u>	39	<u>3,835,375</u>	<u>1,422,756</u>	37
TOTAL OPERATION & MAINTENANCE OF PLANT	\$ 20,357,148	\$ 8,278,245	41 %	\$ 18,709,320	\$ 7,626,284	41 %
<b>STUDENT FINANCIAL AID</b>						
Grants,Loans,Benefits	<u>23,455,151</u>	<u>12,634,815</u>	54	<u>21,161,658</u>	<u>10,317,043</u>	49
TOTAL STUDENT FINANCIAL AID	\$ 23,455,151	\$ 12,634,815	54 %	\$ 21,161,658	\$ 10,317,043	49 %
<b>TRANSFERS</b>						
Mandatory:						
Debt Service/Capital Lease	\$ 6,210,767	\$ 4,082,043	66 %	\$ 6,150,148	\$ 5,699,503	93 %
Nonmandatory	<u>3,498,434</u>	<u>2,423,742</u>	69	<u>4,553,629</u>	<u>2,160,078</u>	47
TOTAL TRANSFERS	\$ 9,709,201	\$ 6,505,785	67 %	\$ 10,703,777	\$ 7,859,581	73 %
<b>TOTAL EDUCATIONAL AND GENERAL EXPENDITURES</b>						
Personnel Expenses	\$ 141,242,819	\$ 68,629,396	49 %	\$ 140,690,306	\$ 68,291,712	49 %
Operating Expenses/Capital Outlay	43,979,348	15,975,450	36	47,281,994	16,120,158	34
Transfers	9,709,201	6,505,785	67	10,703,777	7,859,581	73
Financial Aid	<u>23,455,151</u>	<u>12,634,815</u>	54	<u>21,161,658</u>	<u>10,317,043</u>	49
TOTAL EDUCATIONAL AND GENERAL EXPENDITURES	\$ 218,386,519	\$ 103,745,446	48 %	\$ 219,837,735	\$ 102,588,494	47 %

**NORTHERN KENTUCKY UNIVERSITY**  
 Current Unrestricted Fund  
 Schedule of Expenditures by Function and Account Category  
 Budgeted and Actual  
 FOR THE PERIOD JULY 1, 2015 THROUGH DECEMBER 31, 2015  
 With Comparative Prior Year Data

	<b>Fiscal Year 2015/16</b>			<b>Fiscal Year 2014/15</b>		
	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>	<u>Revised Budget</u>	<u>Actual Expenditures</u>	<u>% of Bgt</u>
<b>AUXILIARY ENTERPRISES</b>						
STUDENT SERVICES						
Personnel Expenses	\$ 2,558,450	\$ 1,194,272	47 %	\$ 2,567,103	\$ 1,154,269	45 %
Operating Expenses/Capital Outlay	7,353,746	2,229,549	30	5,278,775	2,108,866	40
Financial Aid	<u>403,997</u>	<u>250,902</u>	62	<u>453,997</u>	<u>246,774</u>	54
TOTAL STUDENT SERVICES	\$ 10,316,193	\$ 3,674,723	36 %	\$ 8,299,875	\$ 3,509,909	42 %
TRANSFERS						
Mandatory:						
Debt Service/ Capital Lease	\$ 5,164,196	\$ 4,162,706	81 %	\$ 5,235,408	\$ 4,810,906	92 %
Nonmandatory	<u>2,461,536</u>	<u>2,459,234</u>	100	<u>1,857,816</u>	<u>1,760,345</u>	95
TOTAL TRANSFERS	\$ 7,625,732	\$ 6,621,940	87 %	\$ 7,093,224	\$ 6,571,251	93 %
<b>TOTAL AUXILIARY ENTERPRISES</b>						
Personnel Expenses	\$ 2,558,450	\$ 1,194,272	47 %	\$ 2,567,103	\$ 1,154,269	45 %
Operating Expenses/Capital Outlay	7,353,746	2,229,549	30	5,278,775	2,108,866	40
Financial Aid	403,997	250,902	62	453,997	246,774	54
Transfers	<u>7,625,732</u>	<u>6,621,940</u>	87	<u>7,093,224</u>	<u>6,571,251</u>	93
TOTAL AUXILIARY ENTERPRISES	\$ 17,941,925	\$ 10,296,663	57 %	\$ 15,393,099	\$ 10,081,160	65 %
<b>TOTAL EXPENDITURES AND TRANSFERS</b>						
Personnel Expenses	\$ 143,801,269	\$ 69,823,668	49 %	\$ 143,257,409	\$ 69,445,981	48 %
Operating Expenses/Capital Outlay	51,333,094	18,204,999	35	52,560,769	18,229,024	35
Transfers	17,334,933	13,127,725	76	17,797,001	14,430,832	81
Financial Aid	<u>23,859,148</u>	<u>12,885,717</u>	54	<u>21,615,655</u>	<u>10,563,817</u>	49
TOTAL EXPENDITURES AND TRANSFERS	<u>\$ 236,328,444</u>	<u>\$ 114,042,109</u>	48 %	<u>\$ 235,230,834</u>	<u>\$ 112,669,654</u>	48 %

# NORTHERN KENTUCKY UNIVERSITY

## Current Restricted Fund

### Schedule of Actual Revenues and Expenditures

For the Period from July 1, 2015 to December 31, 2015

With Comparative Prior Year Data

	Fiscal Year To Date 12/31/15	Fiscal Year To Date 12/31/14	Difference	Percentage Change	Fiscal Year 2015 Final
<b>Revenues by Source</b>					
Federal Grants & Contracts	\$ 1,210,687	\$ 1,498,879	\$ (288,192)	-19%	\$ 3,237,767
State and Local Grants & Contracts	1,412,871	1,693,968	(281,097)	-17%	4,517,182
Nongovernmental Grants & Contracts	194,345	129,547	64,798	50%	494,776
Federal Financial Aid Programs	10,212,551	10,388,449	(175,898)	-2%	20,227,575
State Financial Aid Programs	5,044,257	4,466,038	578,219	13%	8,678,061
NKU Foundation Subgrants	1,127,575	970,545	157,030	16%	2,280,417
Agency Subgrants	81,948	64,825	17,123	26%	153,459
Other	569	3,050	(2,481)	-81%	4,892
<b>Total Revenues</b>	<b>\$ 19,284,803</b>	<b>\$ 19,215,301</b>	<b>\$ 69,502</b>	<b>0%</b>	<b>\$ 39,594,129</b>
<b>Expenditures by Function</b>					
Instruction	\$ 1,262,494	\$ 1,467,777	\$ (205,283)	-14%	\$ 3,111,580
Research	531,961	619,028	(87,067)	-14%	1,439,821
Public Service	1,785,639	1,877,949	(92,310)	-5%	4,299,905
Libraries	55,769	42,093	13,676	32%	49,761
Academic Support	203,481	239,343	(35,862)	-15%	464,073
Student Services	436,214	322,315	113,899	35%	666,800
Institutional Support	451,304	314,600	136,704	43%	760,617
Operation & Maintenance of Plant	16,133	2,654	13,479	508%	8,329
Student Financial Aid	15,134,301	14,875,853	258,448	2%	28,968,793
<b>Total Expenditures</b>	<b>\$ 19,877,296</b>	<b>\$ 19,761,612</b>	<b>\$ 115,684</b>	<b>1%</b>	<b>\$ 39,769,679</b>



# NORTHERN KENTUCKY UNIVERSITY

## Schedule of Bonds and Leases Payable Through the Period Ended December 31, 2015

	Date Issued	Maturity Date	Original Indebtedness	Outstanding Indebtedness	Principal Due This Fiscal Year	Interest Due This Fiscal Year
<b>HOUSING AND DINING SYSTEM REVENUE BONDS</b>						
Series B	11/01/1980	11/01/2020	\$ 4,768,000	\$ 965,000	\$ 175,000	\$ 31,575
<b>GENERAL RECEIPTS BONDS*</b>						
Series A 2007	05/23/2007	09/01/2027	48,660,000	33,650,000	2,125,000	1,454,034
Series A 2008	06/18/2008	09/01/2028	19,465,000	17,545,000	455,000	738,650
Series A 2010	06/29/2010	09/01/2020	6,785,000	1,785,000	410,000	56,338
Series B 2010	10/21/2010	09/01/2027	12,265,000	9,720,000	665,000	326,031
Series A 2011	08/04/2011	09/01/2030	9,290,000	8,060,000	420,000	285,788
Series A 2013	02/26/2013	09/01/2022	4,995,000	3,600,000	475,000	76,750
Series A 2014	01/07/2014	09/01/2033	47,375,000	44,355,000	1,530,000	2,144,625
<b>TOTAL BONDS</b>			<u>153,603,000</u>	<u>119,680,000</u>	<u>6,255,000</u>	<u>5,113,791</u>
<b>LEASE OBLIGATIONS</b>						
Local Leasing Obligations	N/A	N/A	<u>5,444,697</u>	<u>1,655,196</u>	<u>1,128,400</u>	<u>26,682</u>
<b>TOTAL LEASE OBLIGATIONS</b>			<u>5,444,697</u>	<u>1,655,196</u>	<u>1,128,400</u>	<u>26,682</u>
<b>TOTAL BONDS AND CAPITAL LEASES</b>			<u>\$ 159,047,697</u>	<u>\$ 121,335,196</u>	<u>\$ 7,383,400</u>	<u>\$ 5,140,473</u>

# NORTHERN KENTUCKY UNIVERSITY

## Schedule of Current Investments

As of December 31, 2015

	Average Balance	YTD Yield	Maturity Date
<b>STATE INVESTMENTS*</b>			
State Investment Short Term Pool - General Receipts	\$ 58,831,319	0.13%	N/A
State Investment Intermediate Term Pool - General Receipts	16,668,613	0.09%	N/A
State Investment Short Term Pool - Housing	722,297	0.13%	N/A
State Investment Intermediate Term Pool - Housing	211,872	-0.11%	N/A
<b>TOTAL STATE INVESTMENTS</b>	<b>\$ 76,434,101</b>		

\*Invested at the state by the Office of Financial Management in investment pools.

### RESERVE FOR RETIREMENT OF INDEBTEDNESS

Huntington Trust Public Funds Deposit Account	\$ 210,096	0.00%	N/A
<b>TOTAL RESERVE FOR RETIREMENT OF INDEBTEDNESS</b>	<b>\$ 210,096</b>		

### FUND FOR RENEWALS AND REPLACEMENTS

Huntington Trust Public Funds Deposit Account	\$ 397,378	0.00%	N/A
<b>TOTAL FUND FOR RENEWALS AND REPLACEMENTS</b>	<b>\$ 397,378</b>		

**RECOMMENDATION:**

That the following academic affairs personnel actions receive Board of Regents approval:

**FACULTY APPOINTMENTS:**

**Dr. Ada Tuna Cenkci**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 15, 2016.

**Ms. Diane Coning**, lecturer (non-tenure track renewable)/advisor in the College of Health Professions, effective January 27, 2016.

**Ms. Ellen Hokkanen**, director of recruitment and retention in the Center for Integrative Natural Science and Mathematics, effective December 14, 2015.

**Dr. Stacie Jankowski**, assistant professor in the Department of Communication, effective August 15, 2016.

**Ms. Amanda Kilmer**, lecturer (non-tenure track renewable) and coordinator in the Department of Political Science, Criminal Justice and Organizational Leadership, effective July 1, 2016.

**Dr. Jessica M. Kratzer**, assistant professor in the Department of Communication, effective August 15, 2016.

**Ms. Emily LaForge**, lecturer (non-tenure track renewable) and advisor in the College of Health Professions, effective January 11, 2016.

**Mr. Jae-Seung Lee**, assistant professor in the Department of Political Science, Criminal Justice and Organizational Leadership, effective August 15, 2016.

**Mr. Timothy McCord**, lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 15, 2016.

**Ms. Stacey Schaff**, lecturer (non-tenure track renewable) in the College of Education and Human Services Advising Center, effective January 11, 2016.

**Ms. Tracy Songer**, assistant professor in the Department of Communication, effective August 15, 2016

**Ms. Cynthia Thomas**, lecturer (non-tenure track renewable) in the Department of Computer Science, effective August 15, 2016

Mini Vitas Follow

**TRANSITIONS:**

**Dr. Shawn Faulkner**, from professor and department chair in the Department of Teacher Education to professor in the Department of Teacher Education, effective July 1, 2016.

Mini Vita Follows

**REQUEST FOR LEAVE (PAID/UNPAID):**

**Dr. Shawn Faulkner**, professor in the Department of Teacher Education, paid half-time re-entry leave with benefits effective for the 2016-17 academic year.

**RETIREMENTS:**

**Dr. Barbara Klaw**, professor of French in the Department of World Languages and Literatures, effective May 10, 2016.

**PHASED RETIREMENTS:**

**Ms. Andrea Cornuelle**, professor in the Department of Allied Health, beginning August 22, 2016 and terminating at the end of academic year 2016-17.

**Dr. Diana Duffin Belland**, professor of music in the School of the Arts, beginning August 15, 2016 and terminating on August 15, 2018.

**TEMPORARY FACULTY APPOINTMENTS:**

**College of Arts and Sciences**

Physics, Geology &  
Engineering Technology

Mr. Daniel Hunter

Spring Semester

**College of Education and Human Services**

Kinesiology & Health

Mr. Joseph Hacker

Spring Semester

Mini Vitas Follow

## MINI VITA

**Name:** Ada Tuna Cenkci

**Title:** Assistant Professor

**Education:** Ph.D., 2013, Business Administration, Yeditepe University, Turkey

MBA, 2007, San Francisco State University

B.A., Industrial Engineering, Yildiz Technical University, Turkey

**Experience:** 2014- present, Visiting Assistant Professor, Leadership Studies in Education and Organization, Wright State University.

2013-2014, Assistant Professor, Business Administration, Okan University, Turkey.

## MINI VITA

**Name:** Diane Coning

**Title:** Lecturer/Advisor

**Education:** MEd in Secondary Education, 2008, Xavier University

BA in History, 1993, University of Cincinnati

**Experience:** 2014-2016, Academic Advisor, Cincinnati State Technical and Community College

2014, Adjunct Instructor of Humanities, ITT Technical Institute

2008-2014, Associate Dean of General Studies, ITT Technical Institute

2007-2012, Adjunct Instructor of Humanities, DeVry University

2007-2008, Educational Outreach Specialist, Girls Scouts of Western Ohio

2001-2006, Outdoor Ministry Director, Outreach, Lutheran Outdoor Ministries of Ohio

1998-2000, School-Age Program Coordinator, Hyde Park Before and After Program

1997-1999, Museum Programs Specialist, Cincinnati Museum Center

1994-1996, Coordinating Naturalist, YMCA Camp Kern

## MINI VITA

**Name:** Ellen Hokkanen

**Title:** Director of Recruitment and Retention, CINSAM

**Education:** M.S., 2008, Chemistry, Yale University

B.S., 2007, Chemistry, University of Virginia

**Experience:** 2011-2013, 2014-2015, Science Teacher, Sam Rayburn High School

2013-2014, Testing Coordinator, Sam Rayburn High School

2010-2011, Science Teacher, La Marque High School

2009-2010, Research Chemist, AM Biotechnologies

## MINI VITA

**Name:** Dr. Stacie Jankowski

**Title:** Assistant Professor

**Education:** PhD in Mass Communication, 2015, Indiana University

MA in Journalism, 2009, Indiana University

BA in Journalism and English, 2004, University of Kentucky

**Experience:** 2013-2015, Temporary Lecturer of Communication, Northern Kentucky University

2011-2012, Instructor of Communication, Indiana University

2007-2011, Associate Instructor of Communication, Indiana University

2005-2007, Teacher of English, St. Henry District High School



## MINI VITA

**Name:** Amanda M. Kilmer

**Title:** Program Coordinator, Lecturer (non-tenure track renewable)

**Education:** M.S., 2015, Human Development & Leadership, Murray State University  
B.A., 2006, Liberal Arts, Northern Kentucky University

**Experience:** 2014-present, Academic Advisor, College of Health Professions, NKU  
2012-2014, Academic Coordinator, NKU  
2008-2012, Research Associate, REACH of Louisville.

## MINI VITA

**Name:** Dr. Jessica M. Kratzer

**Title:** Assistant Professor

**Education:** PhD in Communication, 2011, University of Columbia

MA in Communication, 2004, University of Cincinnati

BA in Speech Communication, 2002, Northern Kentucky University

**Experience:** 2011-2015, Assistant Professor of Communication, Middle Tennessee State University

2007-2010, Graduate Instructor of Communication, University of Missouri

2004-2007, Lecturer of Communication, Northern Kentucky University

2003-2004, Graduate Instructor of Communication, University of Cincinnati

## MINI VITA

**Name:** Emily G. LaForge

**Title:** Lecturer/Advisor

**Education:** MEd in Counseling, 2013, DePaul University

MEd in Interdisciplinary Studies, 2008, University of Dayton

BA in English, 2006, University of Dayton

BS in Adolescent/Young Adult Education, 2006, University of Dayton

**Experience:** 2014-2016, Director-Transfer Director, Richard J. Daley College

2013-2014, College Advisor, Richard J. Daley College

2012-2013, School Counseling Intern, St. Rita of Cascia H.S.

2010-2011, Teacher of English, Christ the King Jesuit College Preparatory H.S.

2006-2010, Teacher of English, Seccina Memorial H.S.

## MINI VITA

**Name:** Jae-Seung Lee

**Title:** Assistant Professor

**Education:** Ph.D., Candidate, Criminal Justice, Sam Houston State University

M.S., 2009, Criminal Justice, University of Houston-Downtown

B.E., 2003, Material Engineering, Kyungnam University, Republic of Korea

**Experience:** 2013-present, Teaching Assistant, Criminal Justice, Sam Houston State University

## MINI VITA

**Name:** Mr. Timothy McCord

**Title:** Lecturer

**Education:** MS in Computer Science, 2004, Northern Kentucky University

Graduate Certificate in Enterprise Resource Planning, 2009, Northern Kentucky University

PhD in Music Theory (ABD), 1995, University of Cincinnati

MM in Music Theory, 1992, University of Cincinnati College Conservatory of Music

BS in Jazz Studies, 1985, University of Cincinnati College Conservatory of Music

**Experience:** 2010-2015, Temporary Lecturer of Computer Science, Northern Kentucky University

2012-2013, Senior Technical Consultant, NetCracker Technology

2008-2012, Senior Technical Consultant, Convergys Information Management Group

## MINI VITA

**Name:** Stacey Schaff

**Title:** Lecturer

**Education:** MSW, Portland State University

BS, Anthropology/Sociology, Eastern Oregon University

**Experience:** 2015-2016, Family Engagement Consultant, Georgia Department of Early Care and Learning

2013-2015, Family Engagement Coordinator, Georgia Department of Early Care and Learning

2012-2013, Project Coordinator, Georgia Department of Public Health

2008-2012, Director of Children's Services, Innovative Services, NW

2001-2008, Program Manager, American Samoa Early Intervention

2002-2004, Residential Treatment Counselor, Boys and Girls Aid Society of Oregon

2002-2004, Counselor Intern, Clark Community College

## MINI VITA

**Name:** Tracy Songer

**Title:** Assistant Professor

**Education:** MA in Communication, 2010, Northern Kentucky University

Graduate Certificate in Communication Teaching, 2010, Northern Kentucky University

BA in Radio, Television, and Film, 1996, Northern Kentucky University

**Experience:** 2010- 2015, Temporary Lecturer of Electronic Media Broadcasting, Northern Kentucky University

2009- 2010, Teaching Assistant of Communication, Northern Kentucky University

## MINI VITA

**Name:** Ms. Cynthia Thomas

**Title:** Lecturer

**Education:** MS in Digital Forensics, 2014, University of Central Florida

BS in Computer Information Technology, 2011, Northern Kentucky University

BS in Animal Sciences, 2004, University of Kentucky

**Experience:** 2014-2015, Temporary Lecturer of Computer Science, Northern Kentucky University

2004-2014, Lecturer of Computer and Information Technology, Fayette County Waste Management Department



## MINI VITA

**Name:** Shawn Faulkner

**Title:** Associate Professor

**Education:** Ph.D., University of Toledo

Ed.S., Wright State University

M.Ed., Wright State University

B.S., Tennessee Temple University

**Experience:** 2010-present, Associate Professor and Chair, Northern Kentucky University

2009-2010, Assistant Professor and Assistant Chair, Northern Kentucky University

2004-2009, Assistant Professor, Northern Kentucky University

2003-2004, Title One Teacher, Ohio Central School System

2002-2003, Literacy Unit Coordinator, Ohio Central School System

1988-2002, Title One Teacher, Ohio Central School System

1993-1998, Grade 6 Teacher, Temple Christian School

1988-1993, Grades 7/8 Teacher, Temple Christian School

## MINI VITA

**Name:** Daniel Lee Hunter

**Title:** Lecturer (non-tenure-track temporary)

**Education:** M.S., 2011, Geology (Geophysics emphasis), University of Kentucky  
B.S., 2008, Geology, Northern Kentucky University

**Experience:** 2015-present, Lecturer, Northern Kentucky University  
2011-2015, Geosolutions Geophysicist, Schlumberger, Houston, TX  
2010-2011, Field Geologist, Army Corps of Engineers, Nashville, TN  
2008-2010, Research/Teaching Assistant, University of Kentucky

## MINI VITA

**Name:** Joe Hacker

**Title:** Lecturer

**Education:** M.Ed., Instruction and Curriculum Design, University of Cincinnati  
BS, Athletic Training, Northern Kentucky University

**Experience:** 2009-2016, Certified Athletic Trainer, NovaCare Rehabilitation  
2006-2009, Clinical Aide, NovaCare Rehabilitation  
2005-2006, Production Coordinator, National Development Council  
2015-2016, Clinical Preceptor, Thomas More College  
2010-2016, Clinical Preceptor, Northern Kentucky University

**RECOMMENDATION:**

That the attached non-academic personnel actions receive Board of Regents approval.

**BACKGROUND:**

The following categories of non-academic personnel actions which occurred between December 2, 2015 and, February 16, 2016 require approval by the Board of Regents:

1. Activations/Rehires
2. Reassignments, Reclassifications, Title/Status Changes, Promotions
3. Transfers
4. Contract/Temporary to Regular & Regular to Contract
5. Departures
6. Retirees
7. Administrative/Executive

**ACTIVATIONS/REHIRES**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>EFF. DATE</b>
Claybern, Marites	PP – Custodial Services	Custodian	01/26/2016
Cox, Lori	University Development	Director, Annual Giving Program	02/01/2016
Grothaus, Richard	PP – Custodial Services	Custodian	01/19/2016
Isbell, Rodney	Aux. Housing Facilities Mgmt.	HVAC Mechanic	12/07/2015
Lawrence, Christopher	Carpentry/Construction	Specialist/Carpentry Repairs	02/01/2016
Marks, Jerome	College Development	Director of Development, COB	01/19/2016
Morshed, Sadik	Chase – Student Affairs	Assistant Director of Admissions	02/08/2016
Ratliff, Michael	Electric Shop	Electrician	01/04/2016
Robinson, Steven	CRC Facility Management	Plumbing Mechanic	01/26/2016
Scott, Larissa	PP – Custodial Services	Floor Care Operator	12/14/2015
Shaffer, Lindsey	Early Childhood Center	Coordinator – Child Care	02/01/2016
Waitman, Katherine	Transfer Services	Specialist, Transfer Evaluation	01/19/2016
Widener, Tara	University Development	Director of Development, CoHP	01/04/2016
Yates, Katherine	Teacher Education	Administrative Secretary	01/19/2016
Zielke, Christa	WNKU – FM Radio	Manager, Membership	11/16/2015*

**REASSIGNMENTS, RECLASSIFICATIONS, TITLE/STATUS CHANGES, PROMOTIONS**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>STATUS</b>	<b>EFF. DATE</b>
Biggs, Stephanie	Teacher Education	Academic Assistant	Promotion	12/08/2015
Brown, Becky	IT-FI Business Support Group	Sr. Business Systems Analyst	Promotion	12/01/2015*
Bushle, David	University Marketing	Publications Production Coordinator	Title Change	12/01/2015*
Roseberry, Edward	Carpentry/Construction	Specialist/Carpentry Repairs	Promotion	11/30/2015*
Terino, Joseph	IT – Enterprise Systems Group	Enterprise Developer	Promotion	01/01/2016
Thaxton, Lori	IT -Infrastructure & Operations Group	Systems Analyst III	Reclassification	12/01/2015*
Zippin, Angela	Disability Programs & Services	Assistant Director of Disability Svcs.	Promotion	02/15/2016

**TRANSFERS**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>PREVIOUS DEPARTMENT</b>	<b>NEW DEPARTMENT</b>	<b>TITLE</b>	<b>EFF. DATE</b>
Bauer, Jodi	Roads & Grounds	CRC Facility Management	Horticulture Technician	02/15/2016
Irvin, Michael	Residence Halls	Norse Advising	Advisor	02/15/2016
Ketron, Shirley	Chase College of Law	Office of the CFO	Assistant to the CFO	12/07/2015
Moran, John	Roads & Grounds	Aux. Housing Facilities Mgmt.	Floor Care Operator	12/14/2015
Points, Audra	Biological Sciences	Environmental Safety & Compliance	Specialist, Envier. Safety	02/01/2016
Weimer, Jeffrey	PP – Custodial Services	Carpentry/Construction	Specialist, Gen/Struc. Repairs	01/04/2016

**CONTRACT/TEMPORARY TO REGULAR & REGULAR TO CONTRACT**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>STATUS</b>	<b>EFF. DATE</b>
Ashley, Patrick	Office of Admissions	Coordinator	Faculty to Staff	01/04/2016
Rollins, Lisa	IT – Central	Accountant/Business Officer	Regular to Contract	12/17/2015
Shuler, Autumn	English	Academic Secretary	Temporary to Regular	01/22/2016

**DEPARTURES**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>EFF. DATE</b>
Andrews, Jane	PP – Custodial Services	Custodian	01/10/2016
Clark, Ryan	University Communications	Writer/Digital Specialist	01/09/2016
Curran, Eric	IT – Infrastructure & Operations Group	Technology Support Specialist II	02/12/2016
Dolhancryk, Brandon	PP – Custodial Services	Custodian	02/03/2016
Fehler, Matthew	Public Safety – Field Operations	Public Safety Officer	01/03/2016
Griffin, Louis	Mail/Distribution Services	Mail Clerk	12/11/2015
Guenther, Elaine	Comptroller - General Accounting	Manager, Accounting/Financial Reporting	02/11/2016
Humphries, Eric	IT -Infrastructure & Operations Group	Technology Support Specialist II	01/26/2016
Jackson, Ashley	Comptroller – Foundation Fiscal Mgmt.	Accountant, NKU Foundation	01/23/2016
Langford, Charles	Public Safety – Field Operations	Public Safety Officer	12/30/2015
Lawrence, Alicia	Athletic Marketing	Assistant Athletic Director – Development	12/14/2015
Messer, Sarah	Student Financial Assistance	Team Leader	01/23/2016
Tipton, Jessica	HR – Benefits Management	Analyst	02/06/2016
Vaughan, Jana	University Dev. & Alumni Relations	Administrative Specialist	01/30/2016
Wright, Helen Doris	Graduate Center/Graduate Programs	Specialist	11/22/2015*

**RETIREEES**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>EFF. DATE</b>
Amundsen, Leona	Office of University Registrar	Assistant Registrar	12/05/2015
Hahn, Linda	PP – Auxiliary Housing	Custodian	02/01/2016
Hunley, Diane	Human Resources	Associate Director	01/31/2016

**ADMINISTRATIVE/EXECUTIVE**  
**12/02/15 – 02/16/16**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>TITLE</b>	<b>REASON</b>	<b>EFF. DATE</b>
Cole III, Walter Allen	Office of the University Registrar	Registrar	New Hire	01/04/2016
Knox, Cindy	Disability Programs & Services	Director	Promotion	12/01/2015*
Mospens, Susan	Student Support Services	Director	Retirement	01/01/2016
Sullivan, Karen	Administration & Finance	Director of Administration	New Hire	12/09/2015
Zaidi, Syed	Facilities Management	Assistant Vice President	New Hire	01/19/2016

\*Not on previous report



**RECOMMENDATION:**

The Board of Regents officially hereby accepts contributions totaling **\$1,468,530.86** received by the NKU Foundation Inc. for the benefit of Northern Kentucky University during the period December 1, 2015 through January 31, 2016 per the below list.

**BACKGROUND:**

At the March 12, 2014 Board Meeting, a major gift policy was approved by the Regents raising the level of major gifts submitted for review and acceptance by the Board to \$25,000. This recommendation includes major contributions of \$25,000 or more for the designated period.

**Contributions of \$25,000 or Greater (12/01/15-01/31/2016)**

<b>Donor Name</b>	<b>Date</b>	<b>Gift Designation</b>	<b>Cash</b>	<b>Pledge</b>	<b>Planned Gift</b>	<b>Totals</b>
David & Linda Allen NKU Scholarship Endowed Fund of the Greater Cincinnati Foundation	1/19/2016	The David and Linda Allen Scholarship	\$0.00	\$0.00	\$35,400.45	\$35,400.45
William C. & Jane E. Beuttel Family Charitable Trust	1/6/2016	NKU Fund for Excellence	\$53,130.41	\$0.00	\$0.00	\$53,130.41
Julie & David Schneider Charitable Fund	1/6/2016	NKU Observatory	\$25,000.00	\$0.00	\$0.00	\$25,000.00
Lois and Richard Rosenthal Foundation	1/21/2016	Theatre Outreach, Uptown Arts Scholarship	\$30,000.00	\$0.00	\$0.00	\$30,000.00
D. L. Cordray	12/4/2015	COI (Informatics Initiatives)	\$0.00	\$0.00	\$25,000.00	\$25,000.00
Keith F. Goggin	12/30/2015	Professor Edward P. Goggin Endowed Scholarship	\$50,000.00	\$0.00	\$0.00	\$50,000.00
JRG Scholarships of the Johnson Charitable Gift Fund	12/15/2015	Center for Applied Informatics	\$175,000.00	\$0.00	\$0.00	\$175,000.00

<b>Donor Name</b>	<b>Date</b>	<b>Gift Designation</b>	<b>Cash</b>	<b>Pledge</b>	<b>Planned Gift</b>	<b>Totals</b>
Lisette Louise Maria Anhofer Đ	12/2/2015	Music Department	\$50,000.00	\$0.00	\$0.00	\$50,000.00
Scripps Howard Foundation	12/18/2015	Boehne Family Scholarship Endowment	\$0.00	\$1,000,000.00	\$0.00	\$1,000,000.00
Constance C. Widmer	12/16/2015	Connie & Firmin Widmer Endowed Scholarship in Social Justice	\$0.00	\$25,000.00	\$0.00	\$25,000.00
<b>TOTAL</b>			<b>\$383,130.41</b>	<b>\$1,025,000.00</b>	<b>\$60,400.45</b>	<b>\$1,468,530.86</b>

**RECOMMENDATION:**

That Emeritus status for the following individuals receives Board of Regents approval:

**Dr. Barbara Klaw**, professor of French in the Department of World Languages and Literatures, effective May 10, 2016.

**Dr. Russell Proctor II**, professor in the Department of Communication, effective May 10, 2016.

**Dr. Stephen Walker**, professor in the Department of Teacher Education, effective May 18, 2016.

Mini Vitas Follow

**BACKGROUND:**

The faculty members recommended for Emeritus status have received the endorsement of the faculty, the dean, the provost, and the president.

## MINI-VITA

**Name:** Barbara Klaw

**Title:** Professor

**Education:** Ph.D. French, 1990, University of Pennsylvania

M.A., French, 1985, University of Pennsylvania

B.A., French, 1979, Northern Illinois University

**Experience:** 2001- present, Professor of French, Northern Kentucky University

1996-2001, Associate Professor, French, Northern Kentucky University

1990-1996, Assistant Professor, French, Northern Kentucky University

Summer 1988, Research Assistant, Penn-in-Tours Program, Faculté des Lettres,  
Tours, France

1987-1988, Lecturer, French, University of Pennsylvania

1985-1986, Lecturer, English, Université de Dijon, Dijon, France

1983-1987, Teaching Assistant, French, University of Pennsylvania

## MINI VITA

- Name:** Dr. Russell Proctor
- Title:** Professor
- Education:** PhD in Interpersonal Communication, 1991, Bowling Green State University  
MA in Communication Studies, 1984, Northern Illinois University  
BA in Communication Arts, 1977, Oakland University
- Experience:** 2001 – 2016, Professor of Communication, Northern Kentucky University  
1997 – 2008, Coordinator Speech Communication Program, Northern Kentucky University  
1995 – 2000, Associate Professor with Tenure of Communication, Northern Kentucky University  
1991 – 1994, Assistant Professor of Communication, Northern Kentucky University  
1990 – 1991, University Research Fellow of Communication, Bowling Green State University  
1988 – 1990, Doctoral Teaching Fellow of Communication, Bowling Green State University  
1986 – 1988, Instructor of Communication, North Central College  
1984 – 1988, Instructor of Communication, Elmhurst College  
1982 – 1983, Graduate Teaching Assistant of Communication, Northern Illinois University

## MINI VITA

**Name:** Stephen Walker

**Title:** Professor

**Education:** Ed.D., Ball State University

M.A., The University of Evansville

B.S., Ball State University

**Experience:** 1999-present, Professor, Northern Kentucky University

1994-1999, Associate Professor, Northern Kentucky University

1988-1994, Assistant Professor, Northern Kentucky University

1988, Part Time Instructor, Ball State University

1987-1988, Assistant Professor, Brescia College

1985-1987, Instructor, Brescia College

1983-1985, Doctoral Fellow, Ball State University

1982-1983, Associate Coordinator of Barret Center, Henderson County Schools

1979-1983, Teacher, Henderson County Schools

1973-1976, Teacher, Indianapolis Public Schools

**RECOMMENDATION:**

Recommend the Student Body of Northern Kentucky University vote to approve revisions to the Constitution of the Student Government Association of Northern Kentucky University.

**BACKGROUND:**

**Constitution of the Student Government Association of Northern Kentucky University**  
**Executive Summary of Major and Minor Changes**

In the 2014-2015 academic year, members of the Student Government Association [SGA] began reviewing and editing the Constitution. Ultimately, this review failed to be endorsed. The current 2015-2016 SGA sought to refine that work, and a comprehensive revision began. A review of the Constitution was deemed necessary due to administrative shifts within SGA, as well as a collective desire to ensure the efficiency of its inner-workings in an effort to most effectively serve the student body.

**Listed below are the major revisions to the Constitution:**

**Removal of the Finance Committee**

**Recommendation:** The removal of the Finance Committee. This can be found in Article IV, Sec. 1, subsection (B) (3) (b).

**Rationale:** The assigned responsibilities to the Finance Committee were either not done, due to those responsibilities being assigned to other positions in SGA per the Constitution, or due to the implausibility of the duty being fulfilled.

**Change in GPA requirement**

**Recommendation:** Remove GPA requirement for the Student Senate and Justices from a 2.0, redefine GPA requirement as 2.25 for the Student Senate. This can be found in Article III, Sec. 2, subsection (C) (1).

**Rationale:** The change in the GPA requirement for the Student Senate and the Judicial Council is to reflect that the members of Student Government hold themselves to a higher academic standard as they are elected to represent the Student Body.

**Addition of Chief of Staff Position**

**Recommendation:** Add position to the Executive Cabinet. This can be found in Article IV, Sec. 1, subsection (A) (7). Also, transfer responsibility for coordinating with committee chairs from the Vice President to the Chief of Staff. This can be found in Article IV, Sec. 1, subsection (A) (7) (c).

**Rationale:** The Chief of Staff position currently exists in SGA. The re-assignment of responsibility is for the sake of practicality, as the Chief of Staff is already responsible for editing all legislation of the Student Senate.

**Listed below are the minor changes to the Constitution:**

### **Vice President of Student Affairs/Dean of Students**

**Recommendation:** Refer to the Dean of Students now as the “Vice President of Student Affairs/Dean of Students.”

**Rationale:** This change adds consistency, as the job title for the position has changed.

### **Meetings**

**Recommendation:** Added “...unless the University is closed or has a planned holiday that conflicts with the normally scheduled Student Senate meeting.” This can be found in Article VI, Sec. 1.

**Rationale:** Clarify a reason as to why a Student Senate meeting might not occur every week during the fall and spring semesters.

### **Article I**

**Recommendation:** Change the name of Article I from Objectives to “Functions.” Remove the word “objective,” replace with “function,” and remove the words “are as follows,” replace with “shall be to” in Article I, Sec. 1. Add in “Serve as the official representative body of the students,” in Article I, Sec. 1, subsection (A).

**Rationale:** Explains the purpose of the Student Government Association.

### **Article II**

**Recommendation:** Add in:  
Section 1: Definition of Membership

A. A member of the Student Government Association shall be defined as any student currently enrolled at Northern Kentucky University

**Rationale:** Defines the Student Government Association membership.

### **Grammar, Syntax, and Spelling**



**Recommendation:** Correct grammatical, spelling, and compositional errors in the Constitution.

**Rationale:** Update Constitution for grammatical, spelling, and/or compositional errors.

**CONSTITUTION**  
of the  
**Student Government Association**  
of  
**Northern Kentucky University**

Approved

## **PREAMBLE**

In order to better coordinate relations among and between the Board of Regents, Administration, Faculty, Staff, and the student body; to insure collegial governance based on mutual respect of each party; to promote the integrity of Northern Kentucky University; we, the students of Northern Kentucky University, recognizing our rights as members of the University, hereby establish this Student Government Association and its Constitution.

## **ARTICLE I FUNCTION**

Section 1: The function of the Student Government Association (SGA) shall be to:

- A. Serve as the official representative body of the students.
- B. Protect and promote the rights, as well as advocate for the best interests, of all Northern Kentucky University (NKU) students.

## **ARTICLE II DEFINITIONS**

Section 1: Definition of Membership

- A. A member of the Student Government Association shall be defined as any student enrolled at Northern Kentucky University

Section 2: Definition of Quorum

- A. Quorum of the Student Senate is such a number as must be present in order that business can be legally transacted. The quorum refers to the number present, not to the number voting. A majority of the Student Senate plus the Chair (see Article IV, Sec. 1, subsection (A) (2) (a)) shall constitute a quorum with the authority to conduct any and all business unless specified differently within the Constitution.
- B. Neither an abstention nor a proxy vote shall count as a vote.

Section 3: Definition of Majority

- A. A majority shall be defined as one (1) more than fifty percent (50%) of those members voting. In the case of a tie, the President shall cast the final vote.

Section 4: Supermajority

- A. A supermajority shall be defined as two thirds (2/3) of those members voting.

Section 5: Definition of Student Senate

- A. The term Student Senate shall refer to the entire membership of the Senate, not just those present and voting.

Section 6: Definition of Legislation

- A. Anything proposed before through the Student Senate as a resolution.
  1. Exceptions shall be honorary Resolutions, Executive Orders, Proclamations, or Letters of Commendation

## **ARTICLE III MEMBERSHIP AND QUALIFICATIONS**

Section 1: . Membership shall not be refused to any student that is protected by the University's policies and procedures related to nondiscrimination

Section 2: Qualifications

- A. Qualifications for the SGA Executive Cabinet, Student Senate, and Judicial Council:
  - 1. Must be an enrolled student at NKU and in good standing as defined by the University.
  - 2. Must not have been convicted of a felony in any state.
  - 3. Must run for election with the declared intention of completing a one (1) year term.
- B. Specific qualifications for the Executive Cabinet:
  - 1. Must be a full-time student at NKU during the term of office, as defined by NKU.
  - 2. Must stand for election before the entire student body.
  - 3. Must receive a cumulative GPA of at least 0.25 above the Student Senate GPA requirement.
  - 4. Must have completed thirty (30) credit hours by the beginning of the fall semester following their election.
  - 5. Candidates for President and Vice President must comply with Commonwealth of Kentucky Statutes, relating to the Board of Regents membership (KRS 164.321).
    - a. There shall be five (5) Executive Cabinet seats elected by the student body.
- C. Specific qualifications for the Student Senate and Judicial Council:
  - 1. Must receive a cumulative GPA of at least 2.25 on a 4.0 scale at the time of election.
  - 2. There shall be no more than thirty (30), but no less than twenty-five (25), Student Senate seats filled.
  - 3. There shall be five (5) Judicial Council seats elected by the student body.

**ARTICLE IV  
BRANCHES OF GOVERNMENT**

Section 1: Students of NKU shall be represented by three distinct branches: Executive (Executive Cabinet), Legislative (Student Senate), and Judicial (Judicial Council).

- A. The Executive Cabinet:
  - 1. The Executive Cabinet shall consist of the President, Vice President, Secretary of Public Relations, Secretary of Administration, and Secretary of Student Involvement.
    - a. These officers are not members of the Student Senate and therefore do not receive the voting rights provided by such membership.
    - b. These officers shall carry out any reasonable action as directed by the President.
    - c. These officers shall maintain a minimum of ten (10) office hours per academic week.
    - d. Shall meet prior to each Student Senate meeting to consider matters brought before the Student Senate.
    - e. Shall be required to attend all Student Senate meetings, as well as all SGA retreats, except when it interferes with the performance of their duties.
  - 2. President
    - a. The President of SGA is granted the limited rights of chair of the Student Senate as specified in Robert's Rules of Order.
    - b. Shall preside over meetings of the Student Senate and all operations of the Executive Cabinet.
    - c. Shall review all legislation adopted by the Student Senate. The President may veto legislation.
      - i. Legislation approved by the President shall be verified by his or her signature. Failure to sign legislation within ten (10) official school days of passage by the

- Student Senate constitutes a veto.
    - ii. Legislation vetoed by the President shall be returned to the Student Senate, accompanied by a veto message. A veto may be overridden by a supermajority of the Student Senate at the next Student Senate meeting.
  - d. Shall enter into agreements with agencies and organizations inside or outside the University community. This shall be done on behalf of SGA subject to a majority approval of the Student Senate. If the Student Senate is in recess, a majority approval of the Executive Cabinet shall be used.
    - i. Recess shall be defined as the summer sessions and official University holidays and breaks during the academic year.
  - e. Shall represent the student body on the Board of Regents (KRS 164.321).
  - f. Shall enforce the provisions of the Constitution, the Standing Rules of Order, mandates, legislation, Bylaws of the Student Senate, decisions of the Judicial Council, and referendum of the student body.
  - g. Shall recommend ad-hoc committees and non-voting ex-officio members to the Student Senate for approval by a majority vote of the Student Senate.
  - h. Shall be obligated to log a minimum amount of twenty (20) office hours per academic week.
  - i. Shall possess the authority to require written reports from Executive Cabinet members as he or she deems necessary.
  - j. Shall recommend students for appointment to University standing committees through the Vice President of Student Affairs/Dean of Students for appointment by the University president.
  - k. Shall represent SGA on the Student Fee Allocation Board.
3. Vice President
  - a. Shall act in place of the President in the absence of, or at the request of the President.
  - b. Shall recommend to the President Student Senate Committee Chairs and Student Senate members to the respective committees, to be approved by a simple majority of the Student Senate.
  - c. Shall serve as the SGA representative at Faculty Senate and Staff Congress meetings. If unable to fulfill this duty, the President may select an alternate student representative to serve in this capacity.
  - d. Shall coordinate the SGA retreat with the Advisors, to be held before the start of the fall academic semester following elections.
  - e. Shall coordinate the SGA banquet, to be held at the conclusion of the spring semester.
  - f. Shall be obligated to log a minimum amount of ten (10) office hours per academic week.
4. Secretary of Public Relations
  - a. Shall, in conjunction with the President, serve as the official spokesperson for SGA.
  - b. Shall prepare and coordinate all external SGA publicity.
  - c. Shall coordinate and assist in public relation efforts of all Student Senate committees.
  - d. Shall oversee the maintenance of the SGA web site and all SGA-related media.
  - e. Shall welcome and assist guests of the SGA.
  - f. Shall coordinate all communication with other institutions.
  - g. Shall be obligated to log a minimum amount of ten (10) office hours per academic week.
  - h. Shall assist the Election Commission in the publicity of all election notices and materials.
5. Secretary of Student Involvement
  - a. Shall coordinate and facilitate communication with student organizations.
  - b. Shall give reports to the Student Senate on student organization concerns.

- c. Shall represent SGA on the Student Fee Allocation Board.
  - d. Shall chair the Legacy Fund Committee and co-chair the Student Organization Board.
  - e. Must attend regularly scheduled meetings of the Legacy Fund Committee and Student Organization Board.
  - f. Shall coordinate and facilitate student organization concerns with the Executive Cabinet.
  - g. Shall be obligated to log a minimum amount of ten (10) office hours per academic week.
  - h. Shall serve as the official liaison with the Office of Student Engagement.
6. Secretary of Administration
- a. Shall provide Student Senate meeting agendas.
  - b. Shall discuss and distribute the attendance policies for Student Senate and Executive Cabinet members.
  - c. Shall compile and maintain records of attendance and voting.
  - d. Shall compile and maintain permanent records of minutes and agendas. The Office of the Vice President of Student Affairs/Dean of Students shall serve as the official repository of all SGA records.
  - e. Shall consult with the Advisors on the academic status of all SGA members.
  - f. Shall maintain the SGA budget in collaboration with the Office of the Vice President of Student Affairs/Dean of Students.
  - g. Shall oversee all membership and recruitment efforts.
  - h. Shall oversee the application process for all SGA appointments.
  - i. Shall be obligated to log a minimum amount of ten (10) office hours per academic week.
  - j. Shall ensure that the minutes of the previous meeting are received at least twenty-four (24) hours prior to the next SGA meeting.
7. Chief of Staff
- a. Shall be appointed by the President and approved by a majority vote of the Student Senate.
  - b. Shall serve as a non-voting ex-officio member of SGA, unless the appointee is already a member of the Student Senate.
  - c. Shall ensure the productivity of committees by coordinating tasks with the Committee Chairs.
  - d. Shall be responsible for all legislation format, grammar, and organization.
  - e. Shall be obligated to log a minimum of ten (10) office hours per academic week.
  - f. Shall distribute and educate parliamentary procedure to the Student Senate.
  - g. Assigned other responsibilities at the discretion of the SGA President.
8. Filling Vacancies
- a. Board of Regents Vacancy:
    - i. If the President does not maintain his or her position as SGA President, a special election to select a full-time student member of the Board of Regents shall occur (KRS 164.321(8) (a)).
    - ii. This special election shall be held no later than twenty (20) official school days after said vacancy.
    - iii. The student elected shall fulfill the remainder of the Student Regent term of office, but shall not assume the duties of the President of SGA unless the elected person is the current Vice President.
  - b. If a vacancy shall occur in the Presidency, the Vice President shall assume the office of the President. A written letter of vacancy by the outgoing President must be provided in order to declare a vacancy in the presidency. If, for some reason, a letter cannot be obtained, a supermajority vote of the Student Senate will serve to declare the vacancy.
  - c. In the event that the President and Vice President resign, the Student Senate shall, by a

supermajority vote, elect an acting President until such a time as a special election can be held for the office of President and Vice President.

- i. This special election shall not be later than twenty (20) official school days after said resignations.
- d. If a vacancy should occur in the Executive Cabinet, other than the Presidency, the President shall appoint an individual to fill the vacancy, approved by a supermajority vote of the Student Senate.
  - i. The appointed individual shall assume the said office until the next regularly scheduled election.
  - ii. The appointed individual shall meet all qualifications for the Executive Cabinet prior to appointment.

## B. The Student Senate

### 1. Senate Powers and Duties

- a. Shall attend all meetings of the Student Senate.
- b. Shall attend and participate in all of their respective committee meetings and SGA retreats during their term of office.
- c. Shall serve on an SGA Student Senate committee as recommended by the Vice President to the President and approved by a majority vote of the Student Senate.
- d. Shall serve on a University standing committee at the recommendation of the President of SGA and appointment by the University president.

### 2. Filling Vacancies

- a. Should any vacancies occur in the Student Senate, they shall be filled through appointment by the President with a supermajority vote of the Student Senate. Those individuals shall assume their respective position until the next regularly scheduled election. All appointed Student Senate members shall fulfill the same requirements as those for a candidate of the respective office.
- b. Should any vacancies occur in the Student Senate after the Spring elections conclude, but before the Fall semester session begins, the individual(s) with the next highest amount of votes in the Spring elections shall be appointed by the President.
- c. Following the first meeting of the fall semester, if any Senate vacancies occur the Executive Cabinet shall announce and publicize vacant positions to the student body and provide a period of no less than five (5) official school days for candidates to file for consideration.
  - i. Members of the Executive Cabinet then have five (5) official school days to interview and appoint applicants.

### 3. Student Senate Committees

- i. Student Senate committees shall be outlined in the bylaws, and reviewed on an annual basis to check for continued relevance and mission
- a. Academic Affairs Committee
  - ii. Shall address concerns regarding policies, programs, and initiatives regarding student academic success.
  - iii. Shall give reports to the Student Senate on emerging university programs regarding academic success.
- b. University Improvements Committee
  - i. Shall address the student perspective of campus beautification and capital projects.
  - ii. Shall address parking, food service and space concerns.
  - iii. Shall address concerns pertaining to the quality of life on campus.
- c. Student Rights Committee

- i. Shall address grievances made by the student body.
- ii. Shall review the Code of Student Rights and Responsibilities and provide the Vice President of Student Affairs/Dean of Students with any concerns or issues resulting from said review.
- iii. Shall review university policies that may affect the rights of students.
- iv. Shall recommend and draft any amendments to the SGA Constitution or Bylaws regarding Student Rights.
- d. Ad Hoc Committees
  - i. Shall be recommended by the President, and convened by a majority vote of the Student Senate, when a specific necessity arises. The purpose and need of such committees must be clearly defined by the President.
  - ii. When the President deems the purpose to be fulfilled, the committee shall be dissolved.
  - iii. Ad hoc subcommittee chairpersons and committee members may be any member of the student body in good standing as defined by the University.
  - iv. The chairperson shall serve as a non-voting ex-officio member of the Student Senate for the duration of the chairmanship, unless they are already a member with voting privileges.
- e. Committee Chairs
  - i. The President, with consultation of the Vice President, shall appoint chairs and members of the Student Senate committees with a majority approval by the Student Senate.
    - 1. Shall direct and oversee legislation within the purview of his or her committee.
    - 2. Shall only be eligible to vote in his or her committee in case of a tie.

## C. Judicial Council

### 1. Membership

- a. The SGA President, with the consultation of the Executive Cabinet and with a majority vote of the Judicial Council and majority vote of the Student Senate, shall appoint a chairperson from the Judicial Council membership to be designated Chief Justice.
- b. The Chief Justice shall serve as a non-voting ex-officio member of the Student Senate.
  - i. The Chief Justice shall attend all regularly scheduled meetings of the Student Senate.
  - ii. The Chief Justice shall preside over the meetings of the Judicial Council.
  - iii. The appointment of Chief Justice shall occur by the second (2<sup>nd</sup>) meeting of the fall semester or within ten (10) official school days following the resignation or impeachment of the current Chief Justice.
    - 1. The Judicial Council shall select an interim Chief Justice until a replacement is appointed by the President and confirmed by a supermajority vote the Student Senate.
  - iv. Shall maintain the office for no longer than two (2) consecutive year-long terms.

### 2. Judicial Council Powers and Duties

- a. Shall attend all meetings of the Judicial Council, which shall be set by the Chief Justice. Meetings of the Judicial Council shall be open to all interested parties.
- b. Shall attend all SGA Retreats during their term of office and shall attend all regularly scheduled meetings of the Student Senate.
- c. Shall review and approve the election rules and guidelines as recommended by the Election Commission; shall confirm dates for all elections.
  - i. Election rules and guidelines shall be submitted to the SGA Advisors for their review prior to the release of candidate election packets.



- d. Shall be assigned to a committee and will assist Senators in that committee with research for SGA initiatives.
  - e. Shall create and retain up-to-date contacts with comparison institutions.
  - f. Shall review all constitutional and by-law amendments before the second reading of proposed changes takes place.
    - i. Failure to supply a report to the Student Senate within five (5) official school days on the proposed amendment, the Student Senate shall assume that the amendment is constitutional.
  - g. When any question of the Constitution shall arise, the Judicial Council shall define the meaning of the Constitution to the SGA within five (5) official school days.
    - i. If the SGA is aggrieved by the Judicial Council interpretation, it may appeal to the Office of the Vice President of Student Affairs/Dean of Students within five (5) official school days for a final determination.
  - h. If a student concludes that an action of the SGA is unconstitutional, he or she may appeal in writing by means of the Office of the Vice President of Student Affairs/Dean of Students and no more than thirty (30) official school days following the SGA action, to the Judicial Council which shall review the appeal and make a decision.
    - i. The Judicial Council must conduct a hearing and render a decision on such an appeal within ten (10) official school days after the appeal is submitted unless an extension is necessary or reasonable due to the circumstances of the case.
    - ii. If the Judicial Council decides that the said action is unconstitutional, the SGA must revoke the action and nullify any actions or legislation taken pursuant to the revoked action unless an appeal is filed within five (5) official school days with the Office of the Vice President of Student Affairs/Dean of Students.
    - iii. If the student is aggrieved by the Judicial Council interpretation, he or she may appeal to the Office of the Vice President of Student Affairs/Dean of Students within five (5) official school days for a final determination.
3. Filling Vacancies
- a. Any vacancies occurring on the Judicial Council shall be filled through appointment by the President with a supermajority of the Student Senate. Those individuals shall assume their respective position until the next regularly scheduled election.
  - b. Should any vacancies occur in the Judicial Council after the Spring elections conclude, but before the Fall semester session begins, the individual(s) with the next highest amount of votes in the Spring elections shall be appointed by the President.

## Section 2: Terms of Office

- A. A term of office shall be one (1) academic year.
- B. All officials elected in the spring election shall assume office at the last regularly scheduled meeting of the spring semester following the oath of office delivered by the Chief Justice.
- C. All officials elected in the fall election shall assume office at the first regularly scheduled meeting after the fall election following the oath of office delivered by the Chief Justice.
- D. The Student Regent shall assume this position following the oath of office for said position at the month of July Board of Regents meeting.

## Section 3: Advisors

- A. The Student Government Association shall be advised under the division of Student Affairs. Advisors, including the head advisor, shall be appointed by the Vice President of Student Affairs/Dean of Students. The Office of the Vice President of Student Affairs/Dean of Students shall act as the official account manager for the Student Government Association budget.

B. Duties and Responsibilities

1. Along with the Vice President of Student Affairs/Dean of Students, shall serve as the liaison between SGA and NKU administration.
2. Shall provide counsel to SGA toward its goals and in meeting its objectives.
3. Shall attend SGA meetings unless it interferes with his or her other duties as a University administrator.
4. Shall serve as a resource to SGA regarding university policies, regulations and parliamentary procedures.
5. Shall oversee the efforts of the Secretary of Administration regarding financial matters, and shall review and approve all SGA expenditures.
6. Shall coordinate elections in conjunction with the Judicial Council and the Election Commission.
7. Shall ensure that university regulations, institutional policies, federal, state laws, and the SGA Constitution and Bylaws are upheld and adhered to by the SGA and shall take any reasonable or prudent steps necessary to ensure compliance and protect SGA, students and the institution.
8. Shall review and approve all contracts and external agreements to be entered into by SGA.
9. Shall ensure that all members of SGA adhere to the minimum qualifications of their positions and shall remove those who fail to maintain said qualifications.

**ARTICLE V  
ELECTIONS**

Section 1: Election Schedules

A. Elections of Positions

1. The President, Vice President, Secretary of Administration, Secretary of Student Involvement, and Secretary of Public Relations shall be elected in the spring.
  - a. Candidates for President and Vice President may run as a slate.
2. Twenty-five (25) Senators shall be elected in the spring.
3. Five (5) Senators who are in their first semester of college shall be elected in the fall.
4. Five (5) Justices shall be elected in the spring.
5. No Candidate may declare candidacy for more than one (1) elected office during any SGA election.

B. Election Dates

1. Fall election dates shall be the Wednesday and Thursday after the third Monday of the fall semester.
2. Spring elections shall begin on the last Wednesday of March.
3. Elections shall start at 7:00am on Wednesday and end at 10:00pm on Thursday.

Section 2: Election Commission

A. Election Commission Rules and Guidelines

1. No current executive officer, senator or justice of the SGA may serve on the Spring Election Commission. No one running for election or having a significant relationship to a candidate (i.e. family, significant other, etc.) may serve on the Commission. Commission members who file for office at a later date or are determined to have a significant relationship to a candidate will be removed from the Commission by the Judicial Council. The Judicial Council shall appoint new members to the Commission if vacancies occur.
2. The Election Commission shall be responsible for the following:
  - a. Drafting election rules and guidelines,

- b. Providing final election rules and guidelines recommendations to the Advisors,
  - c. Preparing election packets,
  - d. Deciding when election packets shall be distributed for the fall and spring elections.
  - e. Resolving questions or concerns regarding elections,
  - f. Enforcing election rules,
  - g. Creating election ballots,
  - h. Staffing polling areas.
3. The Election Commission will serve as the initial interpreter of all disputes involving election rules and guidelines.
  4. The Election Commission may take action by a majority vote of those present and voting.
  5. The Chief Justice or his or her designee and the Vice President of Student Affairs/Dean of Students or his or her designee shall certify the election and publish all election results.
- B. Fall Election Commission
1. For the fall election, the Chief Justice shall stand as Election Commissioner and shall approve the election rules and guidelines on behalf of the student body. The Election Commission for the fall election shall consist of the Chief Justice and Judicial Council.
  2. Any decision of the Election Commission may be appealed to the Office of the Vice President of Student Affairs/Dean of Students within 5 official school days.
- C. Spring Election Commission
1. By the third full week of the spring semester, the Chief Justice, in consultation with the Judicial Council, shall recommend five (5) individuals to serve on the Election Commission, from the student body, to be reviewed by the Vice President of Student Affairs/Dean of Students and ratified by a majority vote of the Judicial Council.
  2. The Judicial Council and the Vice President of Student Affairs/Dean of Students shall ensure that the Commission represents a broad and diverse range of student constituencies.
  3. Any decision of the Election Commission may be appealed to the Office of the Vice President of Student Affairs/Dean of Students.
  4. An Election Commissioner shall be chosen by a majority vote of Commission members. The Commissioner shall schedule and chair Election Commission meetings and meet regularly with the Vice President of Student Affairs/Dean of Students on all matters relating to SGA elections.

### Section 3: Election Rules and Guidelines

- A. Applicable to fall and spring elections
1. Election Packets are due five (5) official school days prior to the beginning of the fall and spring elections.
  2. Use of university list serves and phone rosters is strictly prohibited.
  3. Candidates may present their platform for election via the SGA website to be approved and administered by the Office of the Vice President of Student Affairs/Dean of Students. This submission shall be limited to 200 words. Deadlines for submission will coincide with the due date of election packets.
  4. No type of campaign material may be libelous in nature.
  5. Any dispute involving election rules and guidelines must be submitted in writing to the Vice President of Student Affairs/Dean of Students.
  6. All materials and posting of materials must follow established University policies.
- B. Applicable to Fall Elections
1. The previous spring election rules and guidelines shall be enforced during the following fall election.
  2. Any dispute shall be brought before the Judicial Council who shall stand as the Election

- Commission for the fall election.
- C. Applicable to Spring Elections
    1. Spring election rules and guidelines must be made available to the Judicial Council from the Elections Commission by February 1.
    2. Judicial Council must approve spring election rules and guidelines approval by February 15. If the packet is not approved by this date, the most recently enacted guidelines shall be enforced.
    3. Election packet due dates shall be determined by the election commission.
  - D. Election Regulation and Grievance Procedures
    1. The Judicial Council shall have jurisdiction in cases involving alleged infractions of the election regulations under the constitution.
    2. Any grievance made by a student or a member of the Board of Regents must be filed in writing and turned in to the Office of the Vice President of Student Affairs/Dean of Students via the Judicial Council within **three (3) official school days** following the election. Within **three (3) official school days** following the date on which the grievance was filed, the Judicial Council must conduct a hearing on said grievance. A decision on the matter needs to be decided with **three (3) official school days**.
    3. The Judicial Council may declare a candidate disqualified or an election void if there is evidence of violations of standing election guidelines, fraud, and corrupt practice in the voting, the miscounting of votes, significant campaign violations, or ineligibility regarding a candidate's qualifications.
    4. If a party is aggrieved by a decision of the Judicial Council, that party may further appeal to the Office of the Vice President of Student Affairs/Dean of Students **within three (3) official school** days of the Judicial Council's decision for final determination of the matter.

## ARTICLE VI MEETINGS

Section 1: Regular meetings shall be held at least once per week during the fall and spring semesters, unless the University is closed or has a planned holiday that conflicts with the normally scheduled Student Senate meeting, to carry out the business of the Student Senate. A special meeting may be called by the President or upon petition by a majority of the Student Senate. Sufficient notice shall be given to the student population no less than three (3) official school days prior to the meeting unless extraordinary circumstances occur.

- A. All meetings shall be open to the academic community. Any person recognized by the chair may participate in the discussion and raise any questions. A majority vote of those present and voting in the Student Senate also allows participation for specific individuals who wish to speak.
- B. Legislation presented for the Student Senate's consideration must be introduced, seconded, and voted upon by members of the Student Senate.
- C. The Student Senate may meet as a committee of the whole in closed sessions, but may take no action. The official advisor shall be in attendance whenever possible.

## ARTICLE VII IMPEACHMENT AND REMOVAL PROCESS

Section 1: Impeachment

- A. Grounds for Impeachment

- a. Any member of the SGA that has repeatedly neglected his or her duties or who has committed any act that has brought discredit to the student body, is subject to impeachment. Neglected duties subject to impeachment include, but are not limited to, not fulfilling office hours, acquiring three (3) or more unexcused absences in a semester, and not attending retreats or other mandatory events. The SGA advisor shall determine approved absences.

#### B. Impeachment Process

1. A petition for impeachment proposed at a regularly scheduled meeting, seconded, debated and signed by a supermajority of the Student Senate, shall constitute an impeachment. This petition must contain grounds for impeachment.
2. Any SGA member impeached shall stand for possible removal by the Judicial Council. The Judicial Council will consider the impeachment charges and consider removal of the official member through a private hearing with the person(s) involved.
3. If the impeached member does not meet with the SGA President within five (5) official school days from the signing of the Student Senate impeachment petition, then an acceptance of removal shall be assumed.

#### C. Removal Process

1. All removal proceedings of executive officers, senators, or Justices shall be handled through the Judicial Council. The Chief Justice will serve as a moderator for these proceedings.
2. An author of the formal impeachment petition shall present evidence before the Judicial Council during the removal hearing.
  - a. The individual impeached shall represent herself or himself at the removal hearing.
3. The Vice President of Student Affairs/Dean of Students or his or her designee must be present at all removal hearings.
4. A majority vote of the Judicial Council shall constitute a decision.
  - a. In the case of a Justice's impeachment, he or she may not vote on the matter of his or her own impeachment.
  - b. In the case of no decision being made by the Judicial Council during the impeachment of a Justice, the Vice President of Student Affairs/Dean of Students shall cast the final vote on the matter.
5. A Justice or committee member will be disqualified and removed by the Judicial Council or the Impeachment Committee when sufficient proof of conflict of interest is established in the preliminary motions. A Justice or committee member may, with cause, remove himself or herself from a case.
6. The Judicial Council shall establish its own procedures in accordance with the provisions of the constitution.
7. Any decision made by the Impeachment Committee shall be based on substantial evidence relating to:
  - a. Unfulfilled duties as defined in this Constitution or the bylaws of SGA.
  - b. Any actions deemed as causing defamation or damages to the status of SGA.
8. Both parties may appeal the decision of the Judicial Council to the Vice President of Student Affairs/Dean of Students within ten (10) official school days of the Judicial Council's decision for final determination of the matter.
9. Once any member is removed, he or she may not return to, or be affiliated with the Student Government Association for the remainder of their tenure as a student at NKU.

**ARTICLE VIII**  
**STUDENT INITIATIVE, REFERENDUM, AND RECALL**

Section 1: The student body reserves the power to propose any act, measure or motion; or propose and enact amendments to the SGA Constitution and Bylaws by referendum independent of SGA. In addition, the student body has the authority to adopt or reject any measure, act or motion passed by SGA, and to recall any member of the SGA.

- A. A petition for enactment of some bill or provision, or a repeal of current SGA legislation, or recall of a member of SGA, must adhere to the following provisions:
  - 1. The petition is signed by no less than three percent (3%) of the current student body.
  - 2. Must be filed with the Office of the Vice President of Student Affairs/Dean of Students and the Judicial Council.
- B. The Judicial Council shall declare a referendum or recall to be held no sooner than fifteen (15) official school days and no later than thirty (30) official school days for the purpose of voting on the measure. There must be sufficient notice provided to the student body before a vote can occur. The Office of the Vice President of Student Affairs/Dean of Students shall take whatever action is necessary to ensure adequate notice.
- C. For a referendum to pass, it must receive a majority vote in an election and is subject to approval by the Vice President of Student Affairs/Dean of Students, the President of the University, and the Board of Regents (KRS 164.350).

**ARTICLE IX**  
**RULES OF ORDER**

Section 1: Robert's Rules of Order Newly Revised (latest edition) shall govern the conduct of the meetings. The Constitution shall take precedence over Robert's Rules of Order in any conflicting issue.

Section 2: All legislation must adhere to the following prescribed procedures:

- A. Shall be distributed in writing to the Student Senate at least 24 hours prior to the first reading at a regularly scheduled Student Senate meeting.
- B. Shall be formally introduced to the body by a first reading before the Student Senate.
- C. Shall stand for a vote following a second reading before the Student Senate. This meeting shall occur no sooner than five (5) official school days following the first reading.
- D. Shall be passed by a majority vote of the Student Senate.

**ARTICLE X**  
**AMENDMENTS TO THE CONSTITUTION**

Section 1: Constitutional amendment proposals and ratification

- A. Proposals will be accepted for consideration and possible ratification from:
  - 1. The Student Senate following a supermajority vote, or
  - 2. A student petition signed by three percent (3%) of the student body, or
  - 3. The Board of Regents.
- B. Ratification to the Constitution must adhere to the following provisions:
  - 1. Must be reviewed by the Vice President of Student Affairs/Dean of Students and the President of the University with his/her recommendations submitted to the Executive Council, and

2. Must receive a (2/3) majority vote from the Student Senate, and
3. Must receive a majority vote in a student body election, and
4. Must be approved by the Board of Regents (KRS 164.350).

## **ARTICLE XI BYLAWS**

Section 1: In order to further the goals of SGA, bylaws to this Constitution are established by the Student Senate.

- A. Amendments to the bylaws must follow the legislative process as described in Article VIII and must be passed by a majority vote.
- B. In no way may any bylaw contradict this Constitution.

## **ARTICLE XII LIMITATIONS**

Section 1: Nothing in this Constitution shall be construed in such a manner as to be in conflict with law (federal and state), university policy, or the bylaws and regulations of the Board of Regents of NKU.

- A. No proposed legislation is in order that conflicts with the laws of the nation or state, University regulations or policies, or with SGA's Constitution or bylaws, and if such proposed legislation is adopted, even by a unanimous vote, it is null and void.
- B. The NKU Board of Regents may amend or repeal any portion of this Constitution or action of SGA when, in the judgment of the Board, the interests of the university may require it.
- C. This Constitution supersedes all previous constitutions. All bylaws now in effect and not in conflict with any provision of this Constitution are hereby declared to be binding and valid.

**RECOMMENDATION:**

The Board of Regents adopt the attached Resolution which provides for the issuance of approximately \$49,725,000 in General Receipt Obligations for the purpose of (i) refunding all or a portion of the outstanding General Receipts Bonds, 2007 Series A and General Receipts Bonds, 2008 Series A (if economically feasible); (ii) financing the acquisition costs of Campbell Hall and (iii) paying costs of issuance in connection with the bonds.

**BACKGROUND:**

The intent of the Resolution is to authorize the refunding of some or all the outstanding General Receipts Bonds, 2007 Series A, dated June 7, 2007 and General Receipts Bonds, 2008 Series A, dated June 18, 2008. The University's Fiscal Agent, J.J.B. Hilliard, W.L. Lyons, LLC, analyzed both issues based on current bond interest rates. The analysis of the 2007 Series A bonds indicates that the University could realize net present value savings in excess of \$2,100,000 on future debt service. The analysis of the 2008 Series A bonds indicates that the University could realize net present value savings in excess of \$850,000. The actual savings achieved will be dependent upon market conditions at the date of the sale.

In addition, the Resolution authorizes the issuance of tax-exempt bonds to finance the acquisition of a building and land which is currently owned by Gateway Community and Technical College. The University is currently leasing the building (Campbell Hall) from Gateway. As authorized by the Board of Regents, the University is exercising its option to purchase the property per the terms of the lease agreement.

Bonds may be issued in one or more series as the University Chief Financial Officer deems appropriate.

The attached resolution was prepared by the University's bond counsel, Dinsmore & Shohl, LLP. The University is requesting the necessary approvals from the State Property and Buildings Commission and the Capital Projects and Bond Oversight Committee. The bonds are tentatively scheduled to be sold in April 2016, if market conditions are favorable.



## SERIES RESOLUTION

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF GENERAL RECEIPTS OBLIGATIONS AND GENERAL RECEIPTS REFUNDING OBLIGATIONS OF NORTHERN KENTUCKY UNIVERSITY, IN ONE OR MORE SERIES, IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$49,725,000 PURSUANT TO THE TRUST AGREEMENT DATED AS OF MAY 1, 2007.

WHEREAS, Northern Kentucky University (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Regents of the University on May 2, 2007 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of May 1, 2007, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act"), the University is authorized to issue its obligations to pay all or part of the costs of educational buildings, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board of Regents of the University (the "Board") has determined that it is necessary to finance the remaining costs of the acquisition of an authorized project identified as Acquire Land/Master Plan 2010-2012 (the "Project"); and

WHEREAS, the University, under the General Bond Resolution and certain Series Resolutions (as defined in the Trust Agreement) heretofore adopted by the Board, has previously issued, and there are outstanding, certain General Receipts Bonds under the Trust Agreement, including the outstanding General Receipts Bonds, 2007 Series A and General Receipts Bonds 2008 Series A (collectively, the "Prior Bonds"); and

WHEREAS, the Board, in order to achieve debt service savings has determined, if interest rates prevailing in the bond market at the time of sale of the Obligations hereby authorized are favorable, to refund some or all of the Prior Bonds so that the Prior Bonds will no longer be outstanding under the Trust Agreement; and

WHEREAS, the Board of Regents, in order to finance the Project and to refund all or a portion of the Prior Bonds, desires to provide for issuance and sale of Northern Kentucky University General Receipts Bonds and General Receipts Refunding Bonds, in one or more series, and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF NORTHERN KENTUCKY UNIVERSITY, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the Trust Agreement and all interpretations therein provided shall have the same meanings,

respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the “Refunding Bond Resolution,” the Obligations authorized by this Resolution are referred to herein (and in the Supplemental Trust Agreement or Supplemental Trust Agreements hereby authorized) as the “2016 Obligations” and the terms “hereof,” “hereby,” “hereto,” “herein,” and “hereunder,” and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act. The University hereby finds and determines that the Project and the facilities financed with the Prior Bonds will generate sufficient funds to retire the bonded indebtedness and pay for ongoing operating expenses and will not result in an increase in tuition.

SECTION 3. Authorization, Designation and Purpose of 2016 Obligations. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, General Receipts Bonds and/or General Receipts Refunding Bonds in the principal amount or respective principal amounts required to accomplish the financing of the Project and the refunding of the Prior Bonds, which shall be issued as General Receipts Bonds (the “2016 Obligations”), and which shall be issued in an aggregate principal amount not to exceed \$49,725,000. Such 2016 Obligations shall be designated as “Northern Kentucky University General Receipts [Refunding] Bonds,” may be issued in one or more series and shall bear such further series designation or designations as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such 2016 Obligations shall be issued for the purpose of (i) paying the \$2,000,000 budgeted costs of the Project, (ii) refunding all or a portion of the Prior Bonds, and (iii) paying costs of issuance in connection with such 2016 Obligations. The proceeds from the sale of such 2016 Obligations shall be deposited and allocated as provided in Section 6 hereof.

In the event subsequent to the date of adoption of this Resolution, the conditions of the municipal bond market shall be such that the Fiscal Officer determines it is necessary to defer the refunding of all, or any, of the Prior Bonds until a later date, the authorization contained in this Section 3 shall extend to the issuance of a separate subseries of 2016 Obligations for the purpose of refunding all or a portion of the Prior Bonds (“Series Refunding Obligations”), bearing such series or subseries designations as the Fiscal Officer may subsequently determine. If there shall be issued a series of Series Refunding Obligations hereunder, separate from the 2016 Obligations, all subsequent sections of this Resolution referring to 2016 Obligations shall be deemed to apply to the Series Refunding Obligations.

SECTION 4. Terms and Provisions Applicable to the 2016 Obligations.

(a) Form, Numbering and Designation. The 2016 Obligations shall be issued in the form of fully registered 2016 Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, by series, and shall bear such series designations as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The 2016 Obligations shall be in such denominations as requested by the successful bidder(s) for the 2016 Obligations (the “Original Purchaser(s)”), and shall be dated on the date or dates determined by the Fiscal Officer and may be issued in installments (each installment being a part of the 2016 Obligations, as applicable, herein authorized) with maturity dates approved by the Fiscal Officer; provided that in the case of Series Refunding Obligations, the Series Refunding Obligations shall have a final maturity that is no later than the last day of the fiscal year in which the refunded Prior Bonds are scheduled to mature.

(c) Interest. The 2016 Obligations shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The 2016 Obligations shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The 2016 Obligations shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of J.J.B. Hilliard, W.L Lyons, LLC (the “Financial Advisor”) and set forth in the related Supplemental Trust Agreement. If less than all of the outstanding 2016 Obligations, as applicable, are called for redemption at one time, they shall be called in the order of the maturities and series as directed by the Fiscal Officer. If less than all of the outstanding 2016 Obligations of one maturity are to be called, the selection of such 2016 Obligations or portions of 2016 Obligations of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. Notice of call for redemption of 2016 Obligations shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The 2016 Obligations may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered 2016 Obligations shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The 2016 Obligations shall be executed in the manner provided in the General Bond Resolution.

SECTION 5. Award and Sale of 2016 Obligations. The 2016 Obligations shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Campbell County Recorder*, a legal newspaper published in the City of Fort Thomas, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford local notice and statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the respective installments of the 2016 Obligations.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of 2016 Obligations is contingent upon the issuance and delivery of the respective series of the 2016 Obligations.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of the applicable installment of 2016 Obligations that are required to be issued in order to (i) pay the budgeted costs of the Project, (ii) refund the Prior Bonds (if the Fiscal Officer determines that it is economical to refund the Prior Bonds) and (iii) pay the costs of issuing the 2016 Obligations, and the final principal amount, interest rates and maturities of the 2016 Obligations shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

**SECTION 6. Allocation of Proceeds of 2016 Obligations.** All of the proceeds from the sale of the 2016 Obligations and other lawfully available funds of the University shall be received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the applicable Supplemental Trust Agreement approved hereby.

**SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended.** This Board hereby finds and determines that all of the proceeds from the sale of the 2016 Obligations will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that, unless it is determined by the Fiscal Officer with the advice of bond counsel to the University that compliance with the requirements of the Code cannot be accomplished with respect to a particular installment of the 2016 Obligations, it will restrict the use of the proceeds of the 2016 Obligations in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable

expectations at the time of issuance of such 2016 Obligations, so that the 2016 Obligations will not constitute obligations the interest on which is subject to federal income taxation or “arbitrage bonds” under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the “Code”), and regulations prescribed under such Sections. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the 2016 Obligations is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the 2016 Obligations, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning “rebate” as set forth in the Code, as they apply to the 2016 Obligations.

**SECTION 8. Supplemental Trust Agreements.** The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, one or more Supplemental Trust Agreements pursuant to the Trust Agreement and in connection with the issuance of each series or subseries of the 2016 Obligations, in the prescribed form, with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

**SECTION 9. Official Statements.** The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to each series or subseries of the 2016 Obligations for the purpose of making available to potential investors the information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the related 2016 Obligations, and such other information with respect to the University and the 2016 Obligations, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisor. The Chairman and the Fiscal Officer are each hereby authorized to deem each Preliminary Official Statement and final Official Statement “near final” and “final” for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the “Rule”).

**SECTION 10. Compliance With Rule 15c2-12.** The Board of Regents hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, related Continuing Disclosure Agreements in the usual and customary form are hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreements.

**SECTION 11. Escrow Trust Agreements.** The Chairman of the Board and the Fiscal Officer are each separately authorized and directed, if the refunding of the Prior Bonds is determined to be economically feasible, to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, one or more Escrow Trust Agreements relating to the defeasance of the Prior Bonds under the provisions of the General Bond Resolution, as applicable, in substantially the form described to this Board, as may be permitted by the Act or required by the General Bond Resolution, and approved by the officer executing the same on

behalf of the University. The approval of the final Escrow Trust Agreements shall be conclusively evidenced by the execution of such Escrow Trust Agreements by such officer.

SECTION 12. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 13. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the 2016 Obligations and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with sale and delivery of the 2016 Obligations.

SECTION 14. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 15. Effective Date. This resolution shall take effect from and after its passage.

SECTION 16. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted March 16, 2016.

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Chairman, Board of Regents  
Northern Kentucky University

(SEAL)

Attest:

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Secretary, Board of Regents

**CERTIFICATION**

The undersigned, Secretary of the Board of Regents of Northern Kentucky University, Highland Heights, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Regents of said University at a meeting held on March 16, 2016, as recorded in the official Minute Book of said Board of Regents, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.800 to 61.850, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this \_\_\_\_ day of \_\_\_\_\_, 2016.

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Secretary, Board of Regents  
Northern Kentucky University

(SEAL)

**RECOMMENDATION:**

That the attached organizational chart receives the Board of Regents approval.

**BACKGROUND:**

The attached organizational chart reflects all NKU Administrative updates through March 16, 2016. The reporting lines listed are for Director level and above, but include; individuals who directly report to the President; Department Chairs under Academic Affairs; Coaching areas under Intercollegiate Athletics; and the Manager of the Bookstore/Follet and Food Services/Chartwells, which are separate entities from the University.



# NORTHERN KENTUCKY UNIVERSITY ORGANIZATIONAL STRUCTURE<sup>1</sup>

## BOARD OF REGENTS

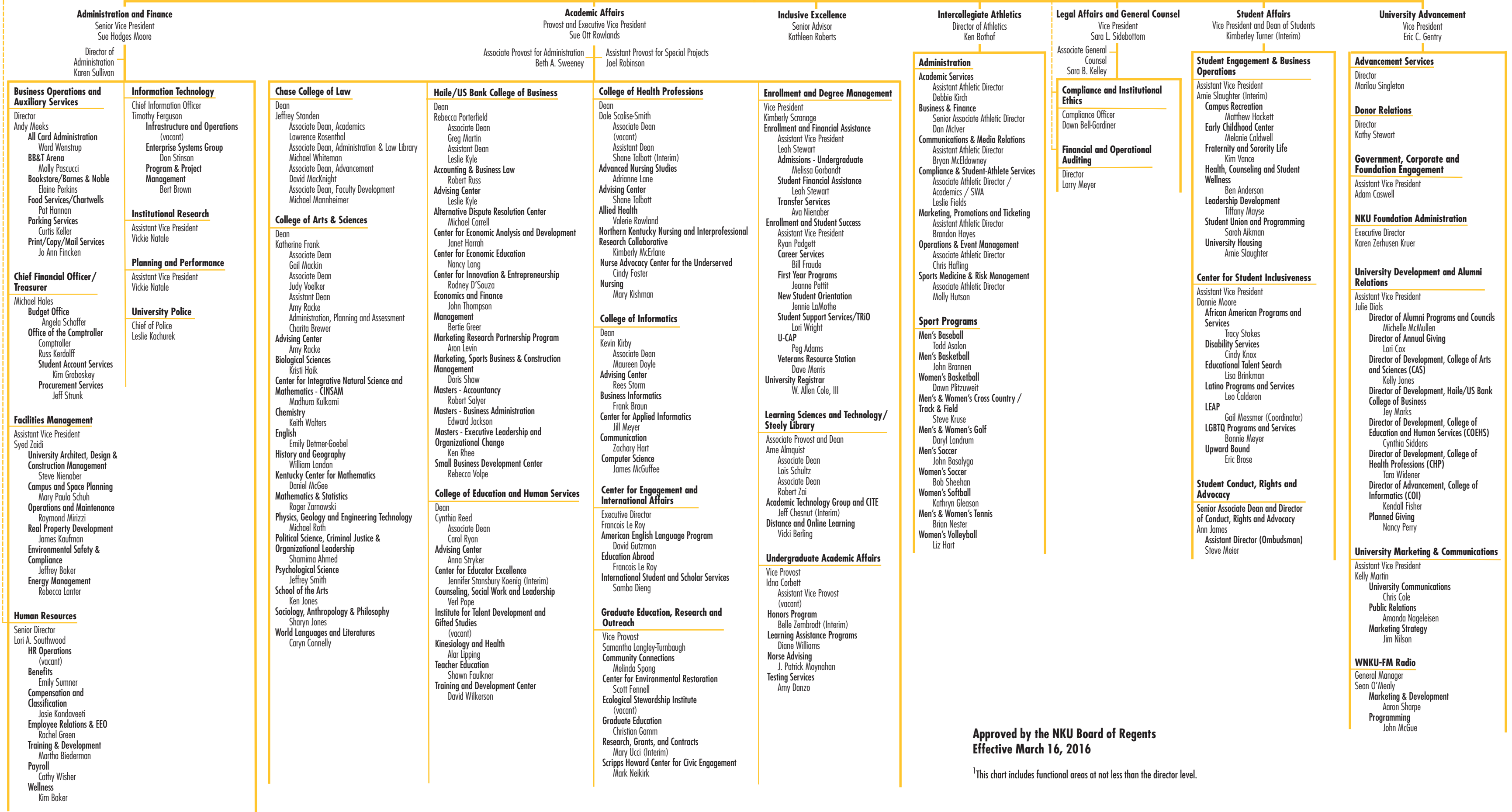
Nathaniel G. Smith (Chair), Richard A. Boehne (Vice Chair), Virginia G. Fox (Secretary), Richard L. Boyce, Katherine Hahnel, Terry L. Mann, Dennis Repenning, W. Lee Scheben, Arnie D. Slaughter, Elizabeth L. Thompson, André R. Ward

## PRESIDENT

Geoffrey S. Mearns

Executive Assistant to the President/Secretary to the Board of Regents: Kathryn J. Herschede

Assistant to the President: Tammy Knochelmann



Approved by the NKU Board of Regents  
Effective March 16, 2016

<sup>1</sup>This chart includes functional areas at not less than the director level.

**RECOMMENDATION:**

That Kathryn Young receive a posthumous Bachelor of Arts degree in Public Relations during the May, 2016 commencement. The recommendation comes from Kevin Kirby, dean of the College of Informatics, and Zach Hart, chair of the Department of Communication, and has been approved by the provost and executive vice president for academic affairs, as well as the president.

**BACKGROUND:**

Ms. Young passed away unexpectedly in a tragic accident on January 25, 2016. Her death was a devastating loss to her family, friends, and the local community.

Ms. Young was on schedule to graduate in May, 2016. She had senior standing with an excellent grade point average and made the Dean's List in Fall, 2015. Ms. Young was enrolled in her final three classes in Spring, 2016 to complete her degree in Public Relations with a minor in Sociology.

Ms. Young was well respected by her professors and fellow students. She was active in NKU's chapter of the Public Relations Student Society of America. Ms. Young worked as a docket specialist with the Wood, Herron, and Evans Law Firm and was a member of Team Red White and Blue Veterans Reintegration Group, the Ft. Wright Cross Fit group, and the Bengals Bomb Squad. She was a supporter of the Homeless Animals Rescue Team.

Ms. Young meets all of the requirements stipulated in the posthumous degree policy.

**RECOMMENDATION:**

That the following recommendations on reappointment, promotion and tenure receive Board of Regents approval.

**BACKGROUND:**

The following recommendations have been made according to the policies of the Faculty Policies and Procedures Handbook which include the appropriate review by departmental committees, chairs, deans, and the provost.

## RECOMMENDED FOR REAPPOINTMENT

The following faculty have been recommended for reappointment for the 2016-2017 academic year:

<b>Name</b>	<b>Department</b>
<b><u>COLLEGE OF ARTS AND SCIENCES</u></b>	
Dr. John Carmen	Biological Sciences
Dr. Kirsten Schwarz	Biological Sciences
Dr. Emily Shifley	Biological Sciences
Dr. Charlisa Daniels	Chemistry
Dr. Kebede Gemene	Chemistry
Dr. Celeste Morris	Chemistry
Dr. Amber Onorato	Chemistry
Dr. Jessica Hindman	English
Dr. Mark Lancaster	Mathematics and Statistics
Dr. Nathan De Lee	Physics, Geology and Engineering Technology
Dr. Mauricio Torres	Physics, Geology and Engineering Technology
Dr. Thomas Lambert	Political Science, Criminal Justice and Organizational Leadership
Dr. Arelys Madero-Hernandez	Political Science, Criminal Justice and Organizational Leadership
Dr. Marcos Misis	Political Science, Criminal Justice and Organizational Leadership
Dr. Ryan Salzman	Political Science, Criminal Justice and Organizational Leadership
Dr. Jeffrey Zimmerman	Political Science, Criminal Justice and Organizational Leadership
Dr. Justin Yates	Psychological Science
Ms. Tracey Bonner	School of the Arts
Ms. Ronnie Chamberlain	School of the Arts
Ms. Corrie Danieley	School of the Arts
Dr. Kimberly Gelbwasser	School of the Arts
Dr. Karen Koner	School of the Arts
Dr. Jason Vest	School of the Arts
Dr. Jaime McCauley	Sociology, Anthropology and Philosophy
Dr. Monica Wakefield	Sociology, Anthropology and Philosophy
<b><u>COLLEGE OF EDUCATION AND HUMAN SERVICES</u></b>	
Dr. Susannah Coaston	Counseling, Social Work and Leadership
Dr. Neal Duchac	Counseling, Social Work and Leadership
Dr. Suk-hee Kim	Counseling, Social Work and Leadership
Dr. Christopher Lawrence	Counseling, Social Work and Leadership
Dr. Jennifer Sharp	Counseling, Social Work and Leadership
Dr. Jessica Averitt Taylor	Counseling, Social Work and Leadership
Dr. Hayley Ericksen	Kinesiology and Health
Dr. Roger Kollock	Kinesiology and Health
Dr. Gabriel Sanders	Kinesiology and Health
Dr. Cory Sheadler	Kinesiology and Health
Dr. Rachele Vogelpohl	Kinesiology and Health
Dr. Patricia Bills	Teacher Education

<b>Name</b>	<b>Department</b>
Dr. David Childs	Teacher Education
Dr. Michael DiCicco	Teacher Education
Dr. Susan Griebling	Teacher Education
Dr. Porsha Robinson-Ervin	Teacher Education
Dr. Tammie Sherry	Teacher Education
Dr. Kimberly Yates	Teacher Education

### **COLLEGE OF HEALTH PROFESSIONS**

Ms. Shannon Alexander	Allied Health
Ms. Deborah Engel	Nursing
Dr. Catherine Gannon Tagher	Nursing
Ms. Julie Hart	Nursing
Ms. Kesha Nelson	Nursing
Ms. Lynn Smith	Nursing

### **COLLEGE OF INFORMATICS**

Dr. Samuel Goh	Business Informatics
Dr. Joseph Rubleske	Business Informatics
Dr. Sookyung Cho	Communication
Ms. Sara Drabik	Communication
Dr. Monica Gallegos	Communication
Dr. Stephanie Klatzke	Communication
Dr. Austin Lee	Communication
Dr. Alexis Pulos	Communication
Mr. Nicholas Zeman	Communication
Dr. Qi Li	Computer Science

### **HAILE/U.S. BANK COLLEGE OF BUSINESS**

Dr. Lee Kersting	Accounting and Business Law
Dr. Abdullah Al-Bahrani	Economics and Finance
Dr. Alain Krapl	Economics and Finance
Dr. Carole Cangioni	Management

### **SALMON P. CHASE COLLEGE OF LAW**

Professor Eric Alden	Law Instruction
Professor Ursula Doyle	Law Instruction
Professor Amy Halbrook	Law Instruction
Professor Jack Harrison	Law Instruction
Professor Jennifer Kinsley	Law Instruction
Professor David Singleton	Law Instruction
Professor Barbara Wagner	Law Instruction
Professor Jane Underwood	Law Library

### **STEELY LIBRARY**

Ms. Andrea Brooks	Library
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## RECOMMENDED FOR PROMOTION

The following faculty have been recommended for promotion beginning with the 2016-2017 academic year:

Name	Department	Current Rank	Proposed Rank
<b><u>COLLEGE OF ARTS AND SCIENCES</u></b>			
Dr. Kristi Haik	Biological Sciences	Associate Professor	Professor
Dr. Erin Strome	Biological Sciences	Assistant Professor	Associate Professor
Dr. Lindsey Walters	Biological Sciences	Assistant Professor	Associate Professor
Dr. Keith Walters	Chemistry	Associate Professor	Professor
Dr. Emily Detmer-Goebel	English	Associate Professor	Professor
Dr. Donelle Dreese	English	Associate Professor	Professor
Dr. Andrea Gazzaniga	English	Assistant Professor	Associate Professor
Dr. Brian Hackett	History and Geography	Assistant Professor	Associate Professor
Dr. Janet Bertog	Physics, Geology and Engineering Technology	Associate Professor	Professor
Dr. Cecile Marczinski	Psychological Science	Associate Professor	Professor
Mr. Matthew Albritton	School of the Arts	Assistant Professor	Associate Professor
Mr. Michael Hatton	School of the Arts	Assistant Professor	Associate Professor
Dr. Kurt Sander	School of the Arts	Associate Professor	Professor
Dr. Kajsa Larson	World Languages and Literatures	Assistant Professor	Associate Professor
<b><u>COLLEGE OF EDUCATION AND HUMAN SERVICES</u></b>			
Dr. Vanessa Hunn	Counseling, Social Work and Leadership	Assistant Professor	Associate Professor
Dr. Tara McLendon	Counseling, Social Work and Leadership	Assistant Professor	Associate Professor
Dr. Shawn Faulkner	Teacher Education	Associate Professor	Professor
<b><u>COLLEGE OF HEALTH PROFESSIONS</u></b>			
Dr. Erin Robinson	Nursing	Assistant Professor	Associate Professor
<b><u>COLLEGE OF INFORMATICS</u></b>			
Dr. Teuta Cata	Business Informatics	Associate Professor	Professor
Dr. Christopher Strobel	Communication	Associate Professor	Professor
Dr. Traian Marius Truta	Computer Science	Associate Professor	Professor
<b><u>HAILE/U.S. BANK COLLEGE OF BUSINESS</u></b>			
Dr. Teresa Elliott	Accounting and Business Law	Associate Professor	Professor
Dr. Darius Fatemi	Accounting and Business Law	Assistant Professor	Associate Professor
Dr. Linda Dynan	Economics and Finance	Associate Professor	Professor
Dr. Young Kim	Economics and Finance	Associate Professor	Professor
Dr. Benjamin Baran	Management	Assistant Professor	Associate Professor
Dr. Greg Martin	Marketing, Sports Business and Construction Management	Associate Professor	Professor
Dr. Bridget Nichols	Marketing, Sports Business and Construction Management	Assistant Professor	Associate Professor

**RECOMMENDED FOR PROMOTION**

The following faculty have been recommended for promotion beginning with the 2016-2017 academic year:

<b>Name</b>	<b>Department</b>	<b>Current Rank</b>	<b>Proposed Rank</b>
Dr. Doris Shaw	Marketing, Sports Business and Construction Management	Associate Professor	Professor

**SALMON P. CHASE COLLEGE OF LAW**

Professor Ursula Doyle	Law Instruction	Assistant Professor	Associate Professor
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## RECOMMENDED FOR TENURE

The following faculty have been recommended for tenure beginning with the 2016-2017

<b>Name</b>	<b>Department</b>	<b>Date of Initial Appt.</b>
<b><u>COLLEGE OF ARTS AND SCIENCES</u></b>		
Dr. Erin Strome	Biological Sciences	2011-12
Dr. Lindsey Walters	Biological Sciences	2010-11
Dr. Andrea Gazzaniga	English	2010-11
Dr. Brian Hackett	History and Geography	2010-11
Mr. Michael Hatton	School of the Arts	2011-12
Mr. Matthew Albritton	School of the Arts	2012-13
Dr. Kajsa Larson	World Languages and Literatures	2010-11
<b><u>COLLEGE OF EDUCATION AND HUMAN SERVICES</u></b>		
Dr. James Allen	Counseling, Social Work and Leadership	2010-11
Dr. Vanessa Hunn	Counseling, Social Work and Leadership	2010-11
Dr. Tara McLendon	Counseling, Social Work and Leadership	2010-11
<b><u>COLLEGE OF HEALTH PROFESSIONS</u></b>		
Dr. Erin Robinson	Nursing	2009-10
<b><u>HAILE/U.S. BANK COLLEGE OF BUSINESS</u></b>		
Dr. Darius Fatemi	Accounting and Business Law	2010-11
Dr. Benjamin Baran	Management	2011-12
Dr. Bridget Nichols	Marketing, Sports Business and Construction Management	2010-11



## **RECOMMENDED FOR TERMINAL REAPPOINTMENT**

The following faculty have been recommended for terminal reappointment for 2016-2017 under the conditions of the Faculty Policies and Procedures Handbook:

<b>Name</b>	<b>Department</b>
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### **COLLEGE OF ARTS AND SCIENCES**

Dr. Kasiani Kotsidou	Physics, Geology and Engineering Technology
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### **COLLEGE OF INFORMATICS**

Ms. Renee Human	Communication
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