The Student Undergraduate Research and Creative Awards (SURCA) competition encourages and supports students to undertake research and creative projects that will contribute new insights in the student’s chosen academic field. Research and creative projects must contain a basic or applied research component that involves the generation of new knowledge to the discipline, or documentation of a “beginning to end” creative process.

The proposal must be written and prepared by the student with guidance from a faculty mentor. Each proposal submitted to the College of Arts & Sciences by the appropriate date and that follows the guidelines stated below, will be distributed to a Faculty Committee representing various disciplines, who will review the proposal using the selection criteria defined below. PROPOSALS THAT DO NOT FOLLOW THE GUIDELINES WILL NOT BE DISTRIBUTED TO THE COMMITTEE FOR REVIEW.

Important Dates

Deadline for Submission for Fall Semester: October 27, 2017
Notification of Award: November 28, 2017
Final Report Due: One month after project completion and no later than December 31, 2018

Deadline for Submission for Spring Semester: March 23, 2018
Notification of Award: April 24, 2018
Final Report Due: One month after project completion and no later than May 31, 2019

Purpose: To facilitate undergraduate research and creativity in the College of Arts & Sciences at Northern Kentucky University.

Student Eligibility: This grant is available to College of Arts & Sciences undergraduate students who will complete the project before they graduate. Students who plan to graduate the same semester that they submit a SURCA application should not apply. The student must demonstrate intent to present the findings minimally at the Celebration of Student Research & Creativity held annually in April. A student must submit a final report before applying for a second award, and priority is given to students applying for a first award. Final reports must be submitted within one month of project completion. Multiple students may apply under the same award (only one faculty mentor) and request up to an additional $600 per student, but these awards may be judged more critically based on the number of students on one award and the amount requested.

Grant Amount and Use: The maximum amount of this grant will be $600 (minimum of $100) per student. Allowable expenses are research and creative projects-related only and include equipment, supplies, project-related student travel, printing, software and other justified costs. All tangible items and materials, including books and software, purchased with grant funds remain the property of NKU. All purchases must conform to University policies set by the Purchasing Office. Unallowable expenses include research participant incentives (i.e. gift cards, prizes), stipends, wages and/or travel in order to present research findings or perform creative
projects. If support is being sought to present research findings or perform projects at conferences or events, please refer to the Student Presentation/Publication Award offered by the College of Arts & Sciences.

**Selection Criteria:** All proposals will be reviewed and rated by a committee made up of faculty reviewers and a member from the Dean’s Office of the College of Arts & Sciences. Proposals will be reviewed for content and adherence to guidelines. Below is a list of criteria used for evaluation. As you compose each section of the proposal, keep these criteria in mind and be sure to convey to the reviewers as effectively as possible the following considerations:

1) Quality and clarity of written proposal
2) Purpose and significance
3) Feasibility of successful completion of the project within the proposed framework
4) Likelihood the student will learn and utilize appropriate techniques and methodology
5) Potential for publication, exhibition and/or presentations (in addition to the Celebration of Student Research and Creativity)
6) Documented proof of animal/human/biosafety subjects protocol submission, if applicable
7) Faculty recommendation (submitted separately by the faculty member using form provided)

**Proposal Format:** Students must submit a narrative of no more than three (3) double-spaced typed pages that includes a description of the purpose and significance of the research or creative project, objectives, procedures, timeline and a references cited list. Students must submit the narrative with the sections clearly identified in the order specified below. The cover page and budget form are separate pages.

All pages must be numbered. Margins on all sides, no smaller than 1 inch. The minimum font used must be 12 point.

**THE COMMITTEE WILL NOT ACCEPT AND REVIEW IF:**
- The **cover page** is missing or is missing signatures.
- The provided **budget format** is not used.
- The **faculty recommendation** is missing.
- The guidelines are not followed.

**PROPOSALS THAT DO NOT FOLLOW THE GUIDELINES WILL NOT BE DISTRIBUTED TO THE COMMITTEE FOR REVIEW.**

**PROPOSALS SHOULD CONSIST OF THE FOLLOWING:**

Page 1          **COVER PAGE (Use Form Provided)**

Pages 2-4        **PROPOSAL NARRATIVE**

I. **PURPOSE AND SIGNIFICANCE.** Provide a clear, concise statement of the purpose and significance of the problem in non-technical language, easily understood by those not experts in the field. For social and natural sciences, summarize pertinent research. For humanities and arts proposals, establish relevancy of the proposed project to current activities, accomplishments and developments in the field. Cite sources of major influence in this section and explain their importance.

II. **OBJECTIVES.** Clearly present objectives, hypotheses and/or questions that will be addressed by the project.

III. **PROCEDURE.** Briefly describe how you will undertake the project for which you are
requesting funding. Be concise and specific so that reviewers from outside your discipline will understand.

- In Social and Natural Sciences, your project may require specific information on: (a) population and sample, (b) design, (c) data and instrumentation, (d) analysis, and (e) projected end results.
- Projects in the Humanities or Creative Arts will have different requirements such as (a) project design, (b) demonstrations, showings, performances, (c) data collections, equipment, media to be used, etc., and (d) projected end results.

If you have any questions concerning the specific information required in the application, please consult your faculty mentor and/or a style manual for your particular discipline.

IV. TIMELINE. Provide a basic timeline for completion of this project, which should be no longer than 12 months. Include starting and anticipated end dates, as well as any milestones within the project. While the project may be started before the notification of award date, expenses incurred before the notification of the award date will not be reimbursed.

V. REFERENCES CITED. Include only the references that are cited in the proposal narrative.

Page 5  BUDGET (see Excel spreadsheet)

Page 6  CONFIRMATION OF IBC/IACUC/IRB POLICIES (IF APPLICABLE). If the project involves recombinant DNA, biological materials, and select agents as defined by U.S. federal agencies, then approval from the Institutional Biosafety Committee (IBC) must be obtained before funds are released. If the project involves the use of animals, approval from the Institutional Animal Care and Use Committee (IACUC) must be obtained before funds are released. If human subjects are to be used, approval from the Institutional Review Board (IRB) must be obtained before funds are released. Policy and forms for IBC, IACUC and IRB may be viewed at http://gero.nku.edu/research/rgc/irb.html. Please include a statement that you understand these requirements and a confirmation that you will submit. “I (name) have read and understand the policies of NKU’s (IBC, IRB or IACUC). I will abide by these policies and will, along with my faculty mentor, complete the appropriate paperwork before starting my project. If my project is awarded, I will not begin my project or spend any funds until I receive approval from the appropriate compliance committee.” After this statement, please sign your name.

You may include no more than two pages of appendices that support your project directly.

Students must email ONE pdf document of the entire proposal packet to the College of Arts & Sciences Dean’s Office (DeanAandS@nku.edu) by 4 pm on the deadline date.
**Award Administration**

Applicants will be notified by email (the NKU email address provided on the cover page) whether their research and creative project proposal will be funded and, if so, at what funding level. In all proposals where required, students must secure approval by the IBC, IACUC, or IRB before funds are released. (See instructions above, “Confirmation of IBC/IACUC/IRB Policies”) The student’s faculty mentor should work with their department academic coordinator regarding spending of the funds.

**Final Report**

Acceptance of a grant under this program carries with it an obligation by the student to submit a final report of the project to the faculty mentor, department chairperson, and to the College of Arts & Sciences within one month of project completion and no later than 13 months from the award date. This report (which can be the poster, Powerpoint, or paper from a presentation) must include a summary of the methodology used, the results of the project and any publications/presentations resulting from the project. All publications/presentations resulting from this grant must acknowledge the “Northern Kentucky University College of Arts & Sciences, Student Undergraduate Research & Creative Award (SURCA)” for partial or full funding. All projects must be presented at the Celebration of Student Research and Creativity held annually in April.

**The Role of a Faculty Mentor**

The mentor coaches the student through the proposal writing as well as through project implementation and completion. It is important that the student have primary responsibility for his/her own project, even though it may be part of an ongoing project currently being conducted by the mentor. Although collaboration between student and mentor will vary with the nature of the student's project, mentoring a student requires the following:

- Consult with the student on the design of the project and review a draft of the student's proposal. **The mentor may not write the proposal; this is the student's responsibility.**
- Submit a brief recommendation to the College of Arts & Sciences Dean’s Office (DeanAandS@nku.edu) by 4 pm on the deadline date. *(Use the form provided)*
- Oversee the student’s work on the project and consult at appropriate intervals **through completion of the project.**
- Facilitate spending for this project ensuring that only items approved for this award are purchased. Faculty members will have signature authority over the account created and may want to work with their department academic coordinators to determine the easiest way to manage the funds.
- Advise the student about writing the final report. If the mentor will be away when the final report is due, he/she should authorize a colleague to approve the report.
- Help the student prepare for the presentation at the Celebration of Student Research & Creativity. This presentation is a requirement of the program.

If you have any questions, please contact Dr. Bethany Bowling ([bowlingb2@nku.edu](mailto:bowlingb2@nku.edu)) or (859)572-5415.

**RFP SURCA FY 17-18**