

NON-EMPLOYEE PARTICIPATION ON SPONSORED PROJECTS

POLICY NUMBER: RESERVED FOR FUTURE USE

POLICY TYPE: HYBRID

RESPONSIBLE OFFICIAL TITLE: PROVOST

RESPONSIBLE OFFICE: PROVOST

EFFECTIVE DATE: 5/4/2017

NEXT REVIEW DATE: 5/4/2021

SUPERSEDES POLICY DATED: N/A

REQUIRES LEGAL/COMPLIANCE REVIEW:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

REQUIRES I.T. POLICY COUNCIL REVIEW:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

REQUIRES PROFESSIONAL CONCERNS COMMITTEE REVIEW:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

REQUIRES FACULTY SENATE APPROVAL:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

REQUIRES HUMAN RESOURCES REVIEW:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

REQUIRES BOARD OF REGENTS APPROVAL:

(PER SECTION V. OF THE APPROVED POLICY REQUEST FORM) YES NO

I. POLICY STATEMENT

Only NKU employees may serve as principal investigators, project directors or personnel for sponsored projects at NKU. All sponsored projects are subject to approval by the appropriate chair, dean, and Vice Provost for Graduate Education, Research, and Outreach. Credentialing by the Office of the Provost is required for anyone who supervises students.

Compensation for effort from the sponsored project must be consistent with the guidelines of the funding agency and federal, state and NKU policies. Returning employee appointments will be determined on a case-by-case basis by Human Resources. In the case of a retired employee, rate of pay will be set at the rate of compensation at the time of retirement, consistent with the Uniform Guidance in Title 2 in the Code of Federal Regulations (2 CFR), subtitle A, chapter II, part 200.430 (see below). In no case may total compensation exceed the available funding from the sponsored project.

II. ENTITIES AFFECTED

Faculty, Deans, Provost, Office of Research, Grants & Contracts, Vice Provost for Graduate Education, Research and Outreach, Department Charis, Human Resources and Office of the Comptroller

III. AUTHORITY

Uniform Guidance Title 2 in the Code of Federal Regulations (2 CFR), subtitle A, chapter II, part 200.430 states that institutions must follow their own policies and salaries are to be consistent with those paid by the institution.

https://www.ecfr.gov/cgi-bin/text-idx?node=2:1.1.2.2.1&rgn=div5#se2.1.200_1430

IV. RESPONSIBILITIES

Department Chairs, Deans, and Vice Provost for Graduate Education, Research and Outreach must approve requests. Credentialing requires approve by Provost if student supervision involved.

V. EXCEPTIONS

Exceptions to this policy may be requested in writing to the Vice Provost for Graduate Education, Research and Outreach.

VI. REFERENCES AND RELATED MATERIALS

REFERENCES & FORMS

Link any forms or instructions needed to comply or implement this policy. If links are unavailable, attach forms to this policy as examples.

RELATED POLICIES

Link any currently existing policies related to this policy. If unable to obtain a link, simply list the names of the related policies.

REVISION HISTORY

REVISION TYPE	MONTH/YEAR APPROVED
New Policy	05/2017
Choose an item.	
Choose an item.	
Choose an item.	
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Choose an item.	