

**Send completed form to:**

Northern Kentucky University  
Vice Provost for Graduate Education,  
Research & Outreach  
1 Nunn Drive, UC 415  
Highland Heights, KY 41076

**File No.** \_\_\_\_\_

**NORTHERN KENTUCKY UNIVERSITY**  
**Intellectual Property Disclosure**

Please provide a title for your research and a brief description. Use additional sheets and attach descriptive materials to expand answers to questions. (Sketches, drawings, photos, report, grant applications and manuscripts will be helpful)

**1. Title of Research:**

**1a. Suggested "Keywords" (3-10 total):**

**2. Description of Research (please use an extra page if necessary and include the best way you presently know of practicing the research):**

**3. What are the immediate and/or future applications of the research? Please list as many actual or hypothetical products, processes or services that might use the benefit of your research. Try to think of broader and narrow applications for the research than those immediately come to mind, as well as applications outside your field.**

- a.
- b.
- c.
- d.
- e.
- f.
- g.

**4. Why is the research better—more advantageous—than present technology? What are its novel and unusual features? What problems does it solve? Encouraging companies to invest in the commercial development of your research will require that we describe for them its advantages over alternative products, processes or services.**

- a.
- b.
- c.
- d.
- e.
- f.
- g.
- h.

**5. List as many existing, competitive products, processes or services that you can think of which would compete with the applications listed in 3 above. Indicate the company that provides each such product, process or service.**

Product, Process or Service

Company

- a.
- b.
- c.
- d.
- e.

**6. Prior to practical application, what limitations must be overcome?**

**7. What is the market potential/use for the potential product?**

**8. If the research was developed with any grant (federal agencies, foundations, etc.), Industry research contract funds or other sponsor's funds list below (attach copy if possible):**

<b>A. Government Agency/Corporate/Sponsor Name:</b>	<b>Contract/grant No.:</b>
<b>B. Does the research or any component of the research incorporate material subject to a Material Transfer Agreement? If so, please name the company/organization involved and attach a copy of the Agreement.</b>	
<b>C. Has the research been disclosed to anyone outside of direct NKU Faculty or Employees? [ ] YES (if Yes, please provide details, including the names of companies and their representatives.) [ ] NO</b>	
<b>D. If the research was not funded by grants or industry contracts, how was the work funded (e.g. university funds, state funds)?</b>	

9. Chronology of your invention	Date	References and Comments
<b>A. Initial Idea</b>		
<b>B. First written description</b>		
<b>C. First public disclosure (written, oral or electronic)</b>		
<b>D. Future public disclosures</b>		
<b>E. Proof of concept</b>		
<b>F. Model or prototype complete</b>		

**10. Please list the names of any companies that you think might be interested in using your research to make, use or sell products or services. Please provide a contact name and telephone number. (Please do not disclose the technology to any company as a confidentiality agreement is required before doing so or patent rights may be compromised.)**

<u>Company</u>	<u>Contact</u>	<u>Contact Info</u>
a.		
b.		
c.		
d.		
e.		
f.		
g.		

**11. Please describe briefly the stage of development of the research (i.e. theoretical design or product, product or prototype created, testing of product or prototype completed, etc.). Please describe what further work needs to be completed to establish proof of concept and/or what needs to be done to lead to a commercial product.**

**12. Researcher(s) Information (First listed is point of contact, unless otherwise requested by researchers. Please type or print and list all researchers, copy this page if needed for more researchers):**

**Researcher's Name:**  **Citizenship:**   
**Employee ID#:**  **Position:**  **Department:**   
**Work Phone:**  **Work Fax:**  **E-Mail:**   
**Work Address:**   
**Home Address:**   
**Percentage Effort**

**Researcher's Name:**  **Citizenship:**   
**Employee ID#:**  **Position:**  **Department:**   
**Work Phone:**  **Work Fax:**  **E-Mail:**   
**Work Address:**   
**Home Address:**   
**Percentage effort**

**Researcher's Name:**  **Citizenship:**   
**Employee ID#:**  **Position:**  **Department:**   
**Work Phone:**  **Work Fax:**  **E-Mail:**   
**Work Address:**   
**Home Address:**   
**Percentage effort**

<p><b>13. In consideration for NKU to accept this New Invention Notification Form I hereby assign and promise to execute any additional documents necessary to make and record the assignment of my rights in this invention to NKU pursuant to the NKU Intellectual Property policy. I understand and agree that all rights, obligations and financial interests, if any, pertaining to or derived from this research are determined under the NKU Intellectual Property Policy.</b></p> <p><b>All statements and information I have made or provided in this form are true and complete to the best of my knowledge. I agree to assist NKU in the evaluation, possible protection, and commercialization of the research as described in this form.</b></p> <p>_____                      _____  <b>Researcher's Signature                      Date</b></p> <p>_____                      _____  <b>Researcher's Signature                      Date</b></p> <p>_____                      _____  <b>Researcher's Signature                      Date</b></p>	<p><b>14. Research Disclosed and Understood By:</b></p> <p>_____                      _____  <b>Signature of Non-Researcher Witness                      Date</b></p> <p>_____</p> <p><b>Printed Name of Non-Researcher Witness</b></p> <p>_____</p> <p><b>Title of Non-Researcher Witness</b></p> <p><i>The Department Head (if not a Researcher) should act as the Non-Researcher Witness whenever possible.</i></p>
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*Submission of a completed invention disclosure from does not endure that a patent application will be filed. NKU reserves the right to determine those inventions upon which it will seek patent protection. By signing this form, the researchers agree to assist in the filing process of the patent application if necessary and commercialization efforts of the technology.*