

Request for RGC Risk Account Form (RGC-7)

Use this form to request a new or existing sponsored project risk account. Please submit completed, signed form RGC@nku.edu.

Risk Account Request Type

Risk account request is for the following (select one):

New Financial Account

Existing Financial Account

Project Information

Principal Investigator:

Proposal GMS #:

Existing Account #:

Project Title:

Compliance

In order for a risk account to be established, all required protocols and sponsor agency trainings must be satisfied.

Specialized Regulatory Compliance

1. Does the project involve human subjects?

Yes. If yes, IRB protocol #:

No

2. Does the project involve vertebrate animals?

Yes. If yes, IACUC approval #:

No

3. Does the project involve radioisotopes or radiation producing equipment?

Yes. If yes, radiation training expiration date:

No

4. Does the project involve recombinant DNA, toxins, or any biological agents?

Yes. If yes, IBC #:

No

Risk Account Dates and Amount

Risk Account Start Date:

Risk Account End Date:

***Risk account duration should not exceed 90 days.**

Amount of Risk Account Request:

***Please provide budget detail form for risk amount.**

Acknowledgements

The undersigned requests that a risk account or the extension of an existing financial account be established for the above listed sponsored project before the University receives the award document.

1. We have submitted a budget and time frame for the project. All paperwork for expenditures will be within the allotted budget and time frame.
2. We understand that if the approved award document is not received, we will cover the costs incurred on this project. These costs may be charged to the following financial account #: .
3. We understand that if obligations or expenditures are incurred prior to the official award start date and the costs are subsequently disallowed, these costs will be charged to other cost center/unit funds. These costs may be charged to the following financial account #: .
4. We agree that the project will not be included on the Board of Regents Report until the fully executed award document is received. There will be no billing generated by the Comptroller until and official award document is received.
5. We will assume the responsibility for follow-up on receipt of the award agreement and subsequent routing to the RGC office.

Agreed to by (sign and date below):

Principal Investigator:

Date:

Chair/Director:

Date:

Dean/Vice President:

Date: