



<b>Internal Regulation Title</b>	Conflict of Interest – Institutional Biosafety Committee				
<b>Date Last Revised</b>	05/01/2018	<b>Date Created</b>	06/2010	<b>Revision #</b>	2.0
<b>Regulation Number</b>	1	<b>Required by:</b>	<input type="checkbox"/> OHRP	<input type="checkbox"/> Funding Agency	<input type="checkbox"/> OLAW
<b>Applicability</b>	<input type="checkbox"/> RGC Internal	<input type="checkbox"/> Researcher	<input checked="" type="checkbox"/> Institutional		
<b>Subgroup</b>	<input type="checkbox"/> NKU Compliance	<input type="checkbox"/> IRB	<input type="checkbox"/> IACUC	<input checked="" type="checkbox"/> IBC	

## 1.0 PURPOSE

The purpose of this Institutional Biosafety Committee (IBC) internal regulation is to provide information regarding how the IBC will identify and manage potential conflicts of interest when reviewing IBC protocol applications.

## 2.0 GENERAL INFORMATION AND SCOPE

This regulation applies to all members of the NKU Institutional Biosafety Committee, NKU Principal Investigators (PI), and NKU employees and staff involved in the review or execution of a biosafety protocol at Northern Kentucky University.

## 3.0 DETAILS

### A. IDENTIFICATION OF CONFLICT OF INTEREST

No member of an Institutional Biosafety Committee may be involved (except to provide information requested by the Institutional Biosafety Committee) in the review or approval of a project in which he/she has a conflict of interest.

This includes, but is not limited, to the following:

- The IBC member is currently engaged, or expects to be engaged, in the research project under review, as defined by Section IV B-2-a (4) of the [National Institute of Health Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules \(NIH Guidelines\)](#).
- The IBC member has a significant financial interest in the research project or the entity funding the research project under review, by Section IV B-2-a (4) of the [NIH Guidelines](#).
- The IBC member has a familial relationship with an individual engaged in the research.
- The IBC member has other reasons to feel that he/she cannot render an independent assessment of an application.

### B. MANAGEMENT OF CONFLICT OF INTEREST

The IBC member shall disclose the conflict of interest at the following times, as appropriate:

- When the IBC member is assigned to participate in the review of a project with which the IBC member has a conflict of interest.

- Prior to the discussion at a convened meeting of a project for which the IBC member has a conflict of interest.

Although the IBC member shall be recused from voting on the final disposition of projects for which he/she has a conflict of interest, the IBC member shall remain eligible to provide information related to the review of the project to the IBC. In most cases where a direct conflict is identified, the IBC member shall also leave the room for the review discussion.

If any PI feels there is a scientific justification for an exception to this policy, a written request signed by the PI should be submitted to the IBC Administrator for committee distribution. Approval of the request for exception will be at the IBC discretion and the PI will be notified via email of the decision.

#### 4.0 REFERENCES

[National Institute of Health Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules \(NIH Guidelines\)](#)

#### 5.0 FORMS OR ATTACHMENTS

#### 6.0 DEFINITIONS

##### Approvals

Title	Approved	Date Approved	Not Applicable
Manager of Research Compliance	<input checked="" type="checkbox"/>	04/23/2018	<input type="checkbox"/>
IBC Chair	<input checked="" type="checkbox"/>	04/23/2018	<input type="checkbox"/>
Institutional Official	<input type="checkbox"/>		<input checked="" type="checkbox"/>

##### Revisions

Title	Approved	Date Approved	N/A	Summary
Manager of Research Compliance	<input type="checkbox"/>		<input type="checkbox"/>	
IACUC Chair	<input type="checkbox"/>		<input type="checkbox"/>	
Institutional Official	<input type="checkbox"/>		<input type="checkbox"/>	