OBJECTIVE AND PURPOSE
The purpose of the Fall Protection Procedure is to reasonably reduce the risk of injury or fatality when working at heights at or above six feet from ground level. This procedure is administered under the authority of University Occupational Safety Policy.

DEFINITIONS
Aerial Lift - Vehicle mounted elevating work platform (e.g. Boom Lifts, Articulating Telescoping Boom Lifts).

Certification - ANSI (American National Standards Institute) defines certification as documentation that determines criteria meets the requirements of the standard through testing or proven analytical method (e.g. engineering calculations) or both, carried out under the supervision of a Qualified Person.

Competent Person - A person who is capable of identifying existing and predictable hazards in the surroundings or working conditions which are hazardous to personnel and who has authorization to quickly correct the situation.

Fall Arrest System - an approved full body harness, shock absorbing lanyard or self-retractable lifeline, locking snap hooks and anchor points approved for a static load of 5000 pounds or engineered to meet a two to one safety factor.

Fall Prevention - a structural design to limit a fall to the same level (e.g., guardrails, positioning/restraint systems).

Fall Protection System - Fall Protection Systems are designed to protect personnel from the risk of falls when working at elevated heights. Recognized systems include:

Qualified Person - A person with a recognized degree or professional certificate, (e.g. civil or mechanical engineering professional or Certified Safety Professional) with extensive knowledge and experience in this area, capable of design, analysis, evaluation, and specifications.

RULES AND PROCEDURES
All work performed in elevated areas such as aerial lifts, roofs, elevated platforms, on top of industrial equipment, building ledges, etc. shall be in accordance with this procedure and the referenced fall protection program.

One of the following systems should be in place whenever an employee is exposed to a fall of greater than six feet.

Guardrail Systems
Guardrails are needed at the edge of work areas 6 feet or more in height to protect employees from falling. This includes the edge of excavations greater than six feet in depth. Guardrail systems need to meet the following criteria:

• Toprail is 42 inches, +/- 3 inches above the walking/working level
• Midrail is located midway between the top rail and the walking/working level
  • It is important to remember that the working level is that level where the work is being done. Someone working on a stepladder next to an edge may raise his/her working surface well above the walking surface.
• Both top and midrails should be constructed of materials at least one-quarter inch in thickness or diameter. If wire rope is used for toprails, it needs to be flagged with a high-visibility material at least every 6 feet and can have no more than 3” of deflection
• The toprail needs to withstand a force of 200 pounds when applied in any downward or outward direction
• The midrail needs to withstand a force of 150 pounds applied in any downward or outward direction
• The system should be smooth to prevent punctures, lacerations or snagging of clothing
• The ends of the top rail should not overhang the terminal posts, except when such overhang does not present a projection hazard
• When a hoisting area is needed, a chain, gate, or removable guardrail section must be placed across the access opening when hoisting operations are not taking place.

Personal Fall Arrest Systems
Personnel requiring the use of personal fall protection equipment shall employ the "Buddy System" or have an observer to render assistance when and if required.

There are three main components to the personal fall arrest system. This includes the personal protective equipment the employee wears, the connecting devices, and the anchorage point. Prior to tying off to perform the work a means of rescue in the event of a fall must be immediately available. The system needs to meet the following criteria for each component:

Personal Protective Equipment
• Full body harnesses are required. The use of body belts is prohibited.
• The attachment point of the body harness is the center D-ring on the back.
• Employees must always tie off at or above the D ring of the harness except when using lanyards 3 feet or less in length.
• Harnesses or lanyards that have been subjected to an impact load shall be destroyed.
• Load testing shall not be performed on fall protection equipment.

Connecting devices
This device can be a rope or web lanyard, rope grab or retractable lifeline.
• Only locking snaphooks may be used.
• Horizontal lifelines will be designed by a qualified person and installed in accordance with the design requirements.
• Lanyards and vertical lifelines need a minimum breaking strength of 5,000 pounds.
• Lanyards may not be clipped back to itself (e.g. around an anchor point) unless specifically designed to do so.
• If vertical lifelines are used, each employee will be attached to a separate lifeline.
• Lifelines need to be protected against being cut or abraded

Anchorage
Secure anchor points are the most critical component when employees must use fall arrest equipment. NKU buildings may have existing structures (e.g., steel beams that may meet the criteria for a secure anchor point). Other work locations and assignments may require the installation of a temporary or permanent anchor. As a minimum, the following criteria must be considered for each type of anchor point:

- Structure must be sound and capable of withstanding a 5000 lb. static load/person attached.
- Structure/anchor must be easily accessible to avoid fall hazards during hook up.
- Direct tying off around sharp edged structures can reduce breaking strength by 70% therefore; chafing pads or abrasion resistant straps must be used around sharp edged structures to prevent cutting action against safety lanyards or lifelines.
- Structures used as anchor points must be at the worker's shoulder level or higher to limit free fall to 6 feet or less and prevent contact with any lower level (exception – when self-retracting lifelines and or 3 foot lanyards are used)
- Choose structures for anchor points that will prevent swing fall hazards. Potentially dangerous "pendulum" like swing falls can result when a worker moves horizontally away from a fixed anchor point and falls. The arc of the swing produces as much energy as a vertical free fall and the hazard of swinging into an obstruction becomes a major factor. Raising the height of the anchor point can reduce the angle of the arc and the force of the swing. Horizontal lifelines can help maintain the attachment point overhead and limit the fall vertically. A qualified person must design a horizontal lifeline.

Permanent Anchor Requirements
In addition to all the criteria listed above, the following points must be considered:
- Environmental factors and dissimilarity of materials can degrade exposed anchors.
- Compatibility of permanent anchors with employee's fall arrest equipment.
- Inclusion of permanent anchors into a Preventive Maintenance Program with scheduled annual re-certification.
- Visibly label permanent anchors.
- Anchors must be immediately removed from service and re-certified if subjected to fall arrest forces.

Reusable Temporary Anchors
- Reusable temporary roof anchors must be installed and used following the manufacturer's installation guidelines.
- Roof anchors must be compatible with employee's fall arrest equipment.
- Roof anchors must be removed from service at the completion of the job and inspected prior to reuse following the manufacturer's inspection guidelines.
- Roof anchors must be immediately removed from service and disposed of if subjected to fall arrest forces.

Complete system
- If a fall occurs, the employee should not be able to free fall more than 6 feet nor contact a lower level.
- To ensure this, add the height of the worker, the lanyard length and an elongation length of 3.5 feet. Using this formula, a six-foot worker with a six-foot lanyard would require a tie-off point at least 15.5 feet above the next lower level.
• A personal fall arrest system that was subjected to an impact needs to be removed from service immediately.
• Personal fall arrest systems need to be inspected prior to each use and damaged or deteriorated components removed from service.
• Personal fall arrest systems should not be attached to guardrails or hoists.

Work from Aerial Lifts and Self Powered Work Platforms
Body harnesses must be worn with a shock-absorbing lanyard (preferably not to exceed 3 feet in length) and must be worn when working from an elevated work platform (exception: scissor lifts and telescoping lifts that can move only vertically do not require the use of a harness and lanyard as long as the work platform is protected by a guardrail system). The point of attachment must be the lift’s boom or work platform. Personnel cannot attach lanyards to adjacent poles, structures or equipment while they are working from the aerial lift.

Inspection
The employee will inspect the entire personal fall arrest system prior to every use. The competent person will inspect the entire system in use at the initial installation and weekly thereafter. The visual inspection of a personal fall arrest system periodically will follow the manufacturer’s recommendations. An example of a complete inspection is in Appendix A.

Warning Line Systems and Controlled Access Zones
Warning line systems and work in controlled access zones must be developed in accordance with OSHA regulation 1926.502 and must be approved by the Safety and Emergency Management Office or their designee before employees are exposed to fall hazards.

RESPONSIBILITIES
Safety and Emergency Management Responsibilities
Safety and Emergency Management is responsible for procedure development, review, and compliance with all applicable federal and state regulations. Safety and Emergency Management will coordinate training as needed. Safety and Emergency Management staff are authorized to halt any unsafe work practice that is not in accordance with this or any other NKU safety policy or procedure.

Chair/Director Responsibilities
It is the responsibility of the chair/director to comply with applicable environmental, health and safety laws and regulations, University policies and procedures, and accepted safe work practices. Chairs/directors shall ensure that their employees receive required training prior to beginning work and annual/refresher training as needed. The chair/director is also responsible for maintaining their employee training records.

Chairs and Directors may delegate the details of program implementation to appropriate personnel within their authority. The ultimate responsibility, however, for ensuring implementation of these programs at the academic department/administrative unit level remains with the chairs/directors.

Supervisor Responsibilities
Supervisors must identify and provide the necessary personal fall protection equipment required for working in fall hazard situations. The supervisor should be a competent person, as defined by OSHA, or assign someone to be the competent person for the work group. OSHA defines a competent person as:
• a person who is capable of identifying existing and predictable hazards in the surroundings or identifying working conditions which are hazardous or dangerous to employees and
• a person who has authorization to take prompt corrective measures to eliminate them.

Employee Responsibilities
Employees are responsible for wearing the appropriate fall protection equipment when directed and for following the procedure specified in this procedure. Employees are responsible for the proper care, use, and inspection of their assigned fall protection equipment. Employees are expected to report any unsafe conditions to a supervisor.

TRAINING
Departments shall ensure adequate training for each employee prior to performing work related to this procedure. Documentation shall be maintained for each employee. Additional information on training and documentation requirements can be found in corresponding regulations. For additional assistance contact Safety and Emergency Management.

For additional information, forms, training, and other resources visit nku.edu/safety.
Appendix A
Personal Fall Arrest System Inspection

All fall protection equipment shall be inspected before each use in accordance with the manufacturers instructions. The following is general guidance for the inspection of this equipment.

Harness Inspection
Webbing
- Inspect the entire surface of webbing for damage. Beginning at one end, bend the webbing in an inverted “U”. Holding the body side of the belt toward you, grasp the belt with your hands six to eight inches apart. This surface tension makes the damaged fibers or cuts easier to see. Watch for frayed edges, broken fibers, pulled stitches, cuts, burns, and chemical damage.

“D” Rings/Back Pads
- Check “D” rings for distortion, cracks, breaks, and rough or sharp edges. The “D” ring should pivot freely. “D” ring back pads should also be inspected for damage.

Attachment of Buckles
- Note any unusual wear, frayed or cut fiber, or distortion of the buckles.

Tongue/Grommet
- The tongue receives heavy wear from repeated buckling and unbuckling. Inspect for loose, distorted or broken grommets. The webbing should not have any additional punched holes.

Tongue Buckle
- Buckle tongues should be free of distortion in shape and motion. They should overlap the buckle frame and move freely back and forth in their socket. The roller should turn freely on the frame. Check for distortion or sharp edges.

Friction and Mating Buckles
- Inspect the buckle for distortion. The outer bars and center bars must be straight. Pay special attention to corners and attachment points of the center bar.

Lanyard Inspection
Hardware
- Snaps: Inspect closely for hook and eye distortions, cracks, corrosion, or pitted surfaces. The keeper (latch) should seat into the nose without binding and should not be distorted or obstructed. The keeper spring should exert sufficient force to firmly close the keeper. Keeper locks must prevent the keeper from opening when the keeper closes.
- Thimbles: The thimble must be firmly seated in the eye of the splice, and splice should have no loose or cut strands. The edges of the thimble must be free of sharp edges, distortion, or cracks.

Web Lanyard
- While bending the webbing over a curved surface such as a pipe, observe each side of the webbed lanyard. This will reveal any cuts or breaks. Examine the webbing for swelling, discoloration, cracks, or burns. Observe closely for any breaks in the stitching.